

# The Story Of Neutrinos And The Secretes They Carry

## 中微子 的故事和它们携带的秘密

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Colloquium at the Cross Interdisplenary Center of USTC  
中科大交叉学科理论研究中心&彭桓武高能基础理论研究中心学术报告

10, November, 2023

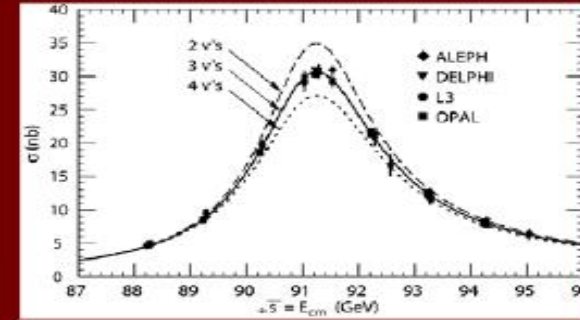
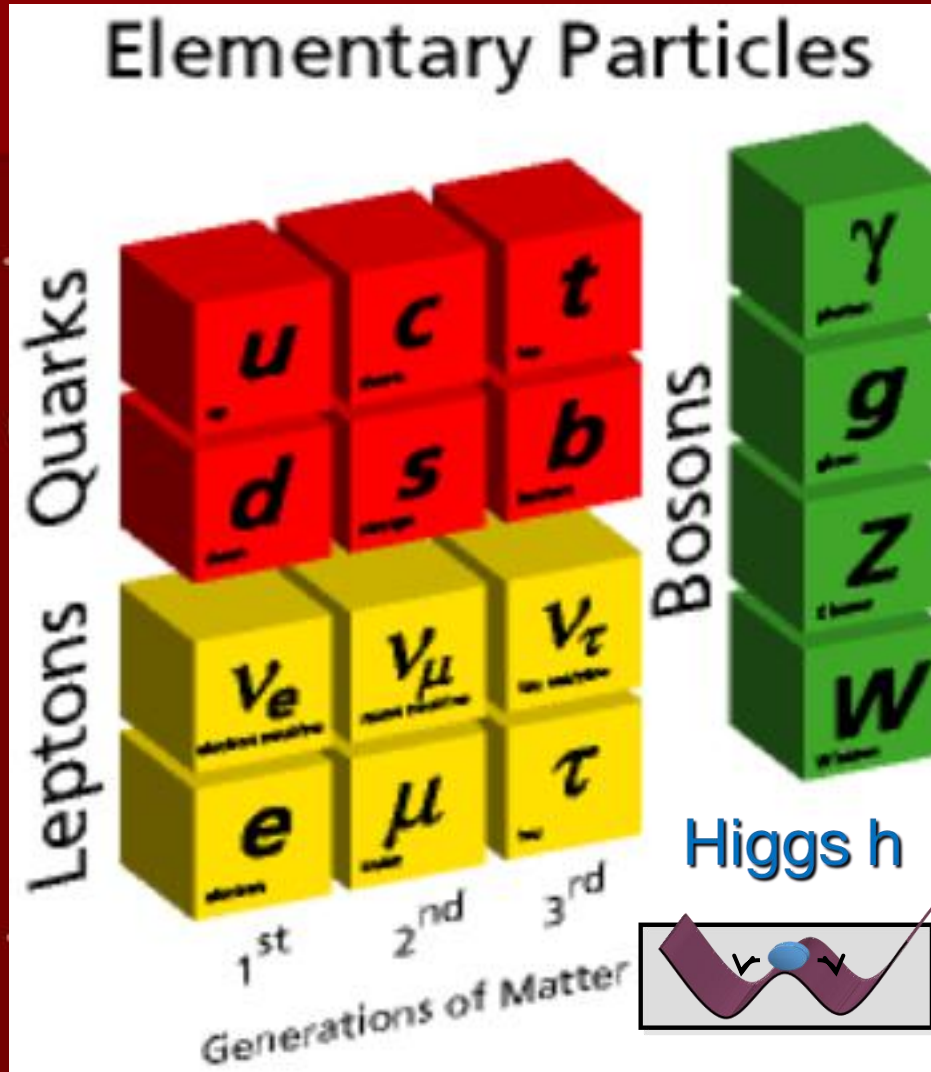
1. A Brief History Of Neutrino

2. Oscillation, Mass, Mixing, Dirac Or Majorana

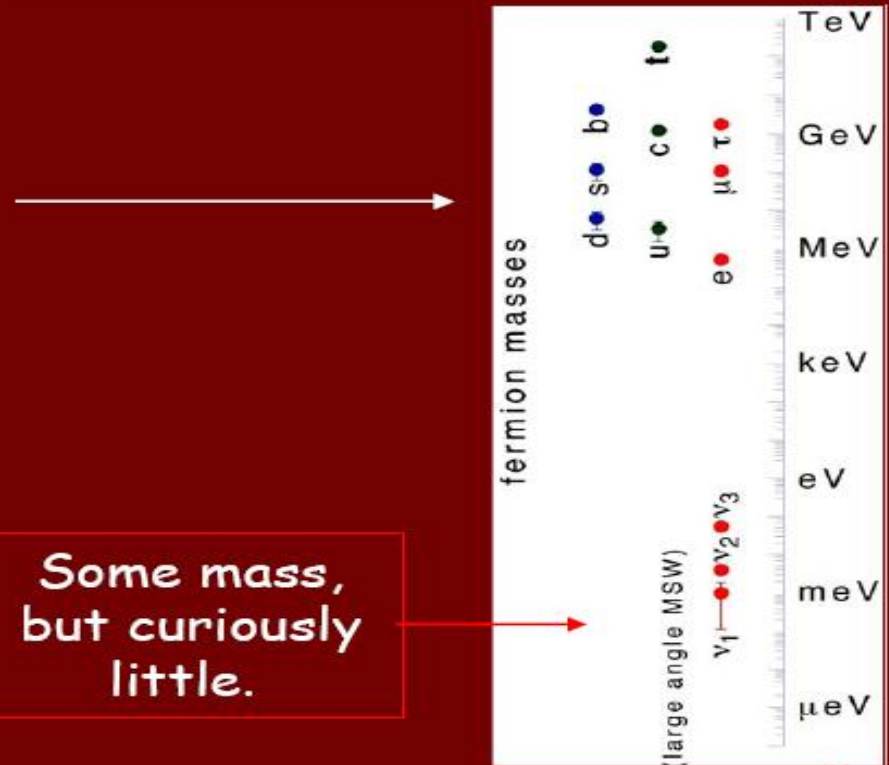
3. Neutrinos In Cosmology And Astrophysics

# 1. A Brief History Of Neutrino

## Nature's building blocks



Three flavors or generations, and no more, and we do not know why.



Some mass, but curiously little.

# The Surprising Discovery Of Radioactivity

November 1895 : William Roentgen discovers X-rays from Cathode Ray Tubes (Wuerzburg)

- January 1896: Henri Becquerel (Paris) hears about the X-rays in a lecture by Poincare
- February 1896: H.B. discovers Radioactivity (in Uranium ore) while trying to find natural sources for X-rays!

## Beta decay, the birth of modern weak interaction

In 1899, [Ernest Rutherford](#) separated radioactive emissions into two types: alpha and beta (now beta minus), based on penetration of objects and ability to cause ionization.

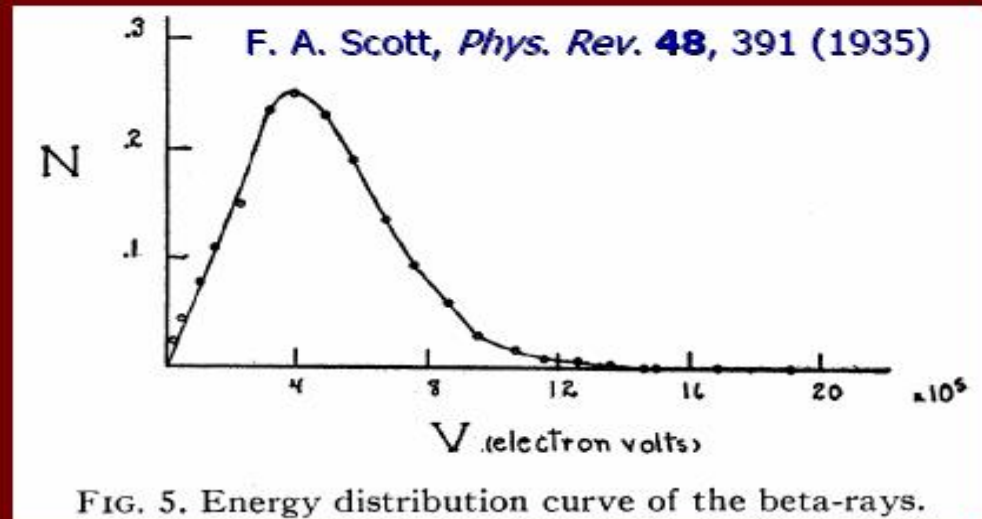
In 1900, [Paul Villard](#) identified a still more penetrating type of radiation, which Rutherford identified as a fundamentally new type in 1903 and termed [gamma rays](#).

In 1900, Becquerel measured the [mass-to-charge ratio](#) ( $m/e$ ) for beta particles by the method of [J.J. Thomson](#) used to study cathode rays and identify the electron. He found that  $m/e$  for a beta particle is the same as for Thomson's electron, and therefore suggested that the beta particle is in fact an electron.

# The Surprising Energy Conservation Crisis

## Puzzle with Beta Spectrum

- Three-types of radioactivity:  $\alpha$ ,  $\beta$ ,  $\gamma$
- Both  $\alpha$ ,  $\gamma$  discrete spectrum because
$$E_{\alpha, \gamma} = E_i - E_f$$
- But  $\beta$  spectrum continuous



*Bohr: At the present stage of atomic theory, however, we may say that we have no argument, either empirical or theoretical, for upholding the energy principle in the case of  $\beta$ -ray disintegrations*

# Neutrino Hypothesis

A weakly interacting particle in weak interaction, no EM and strong interactions

December 4, 1930

$\beta$  decay

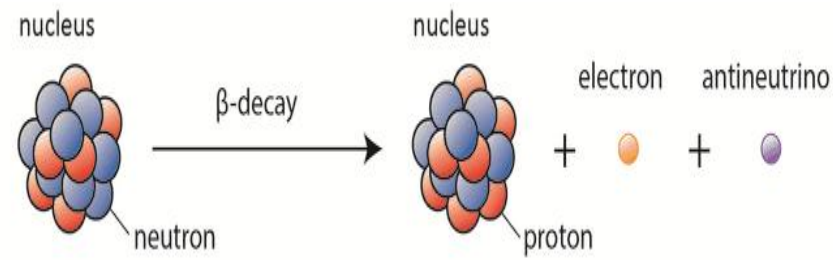
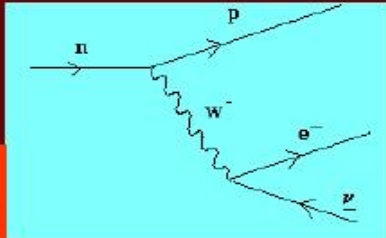
Dear radioactive ladies and gentlemen,

...I have hit upon a 'desperate remedy' to save...the law of conservation of energy. Namely the possibility that there exists in the nuclei electrically neutral particles, that I call neutrons...I agree that my remedy could seem incredible...but only the one who dare can win...

Unfortunately I cannot appear in person, since I am indispensable at a ball here in Zurich.

Your humble servant  
W. Pauli

Note: this was before the discovery of the real neutron

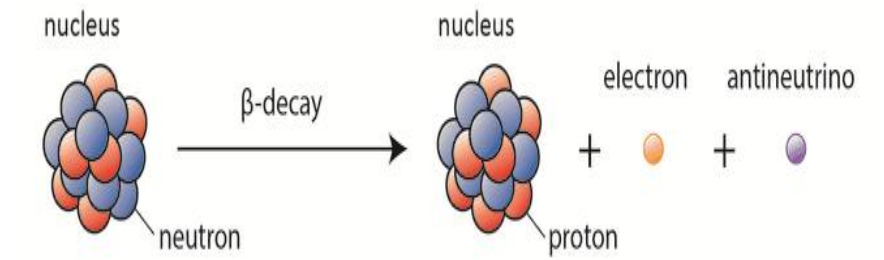


Physikalisches Institut  
der Eidg. Technischen Hochschule  
Zürich

Zürich, 4. Dez. 1930  
Gloriastrasse

Liebe Radioaktive Damen und Herren,

Wie der Ueberbringer dieser Zeilen, den ich mildvöllig anhören bitte, Ihnen des näheren auseinandersetzen wird, bin ich angesichts der "falschen" Statistik der N- und Li-6 Kerne, sowie des kontinuierlichen beta-Spektrums auf einen verweifelten Ausweg verfallen um den "Wechselsatz" (1) der Statistik und den Energiesatz zu retten. Nämlich die Möglichkeit, es könnten elektrisch neutrale Teilchen, die ich Neutronen nennen will, in den Kernen existieren, welche den Spin 1/2 haben und das Ausschliessungsprinzip befolgen und sich von Lichtquanten ausserdem noch dadurch unterscheiden, dass sie nicht mit Lichtgeschwindigkeit laufen. Die Masse der Neutronen müsste von derselben Grössenordnung wie die Elektronenmasse sein und jedenfalls nicht grösser als 0,01 Protonenmasse.- Das kontinuierliche beta-Spektrum wäre dann verständlich unter der Annahme, dass beim beta-Zerfall mit dem Elektron jeweils noch ein Neutron emittiert wird, derart, dass die Summe der Energien von Neutron und Elektron konstant ist.



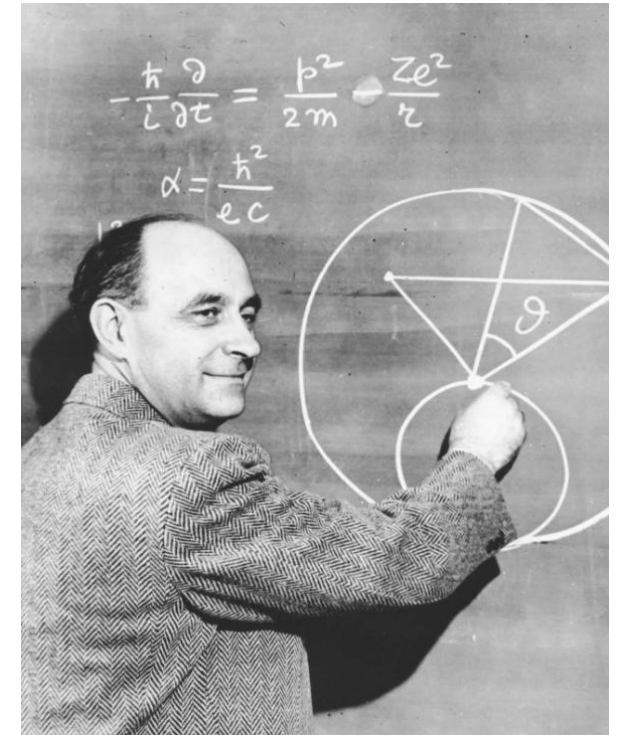
# Theory Of Neutrino Interaction

**December 1933: Enrico Fermi**

submits a paper to Nature: "Tentativo di Una Teoria Della Emissione di raggi Beta"

It was rejected: "speculations too remote from reality to be of interest to the readers".

Eventually published in Nuovo Cimento This paper laid out the essential theory of beta decay that has survived almost unchanged until now, with "small" modifications. It predicted the spectrum, obtained the correct value for the coupling etc.....



$$G(\bar{\psi}_p \gamma_\mu \psi_n)(\bar{\psi}_e \gamma^\mu \gamma_5 \psi_\nu)$$

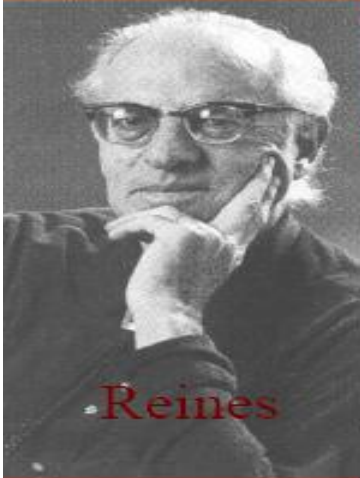
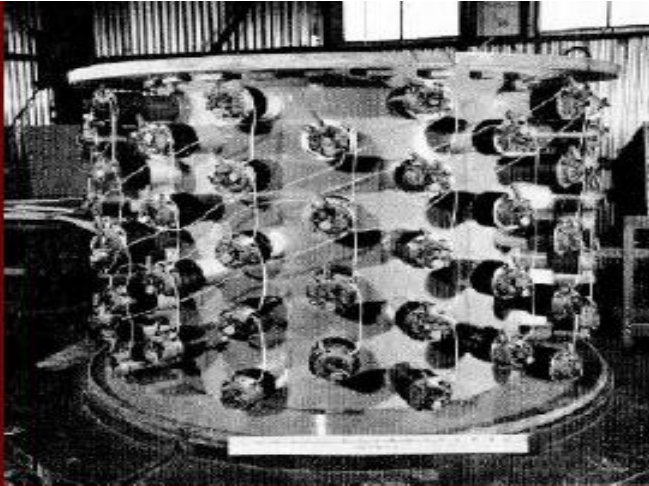
# Three Types Of Neutrinos

Project  
Poltergeist  
1956

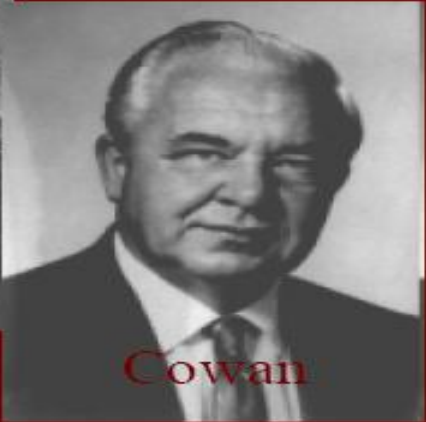
$$\nu + p^+ \rightarrow n^0 + e^+$$

$$e^+ + e^- \rightarrow 2\gamma$$

$$n^0 + \text{Cd} \rightarrow (\text{several}) \gamma$$



Reines



Cowan

Signal  $2\gamma$ , then several  $\gamma$  ~few  $\mu\text{s}$  later

Experiment attempted at Hanford in 1953, too much background. Repeated at Savannah River in 1955. [Flux:  $10^{13}$  neutrinos/( $\text{cm}^2 \text{s}$ )]





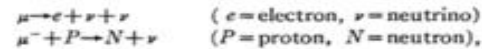
# Universality And Left-handedness

## Interaction of Mesons with Nucleons and Light Particles

T. D. LEE, M. ROSENBLUTH, AND C. N. YANG  
*Institute for Nuclear Studies, University of Chicago, Chicago, Illinois*  
 January 7, 1949

WE have been making a phenomenological study of the various experiments which have been done in recent years on the interaction between the various types of particles. In the course of this investigation two interesting points have come to light.

First, we found that if the decay of the  $\mu$ -mesons and the capture of the  $\mu^-$ -mesons by nuclei are described by the reactions<sup>1</sup>



and that the Fermi type interactions are assumed to be responsible for these processes, the coupling constants would have the values

$$g_{\mu e} \sim 3 \times 10^{-48} \text{ erg cm}^2$$

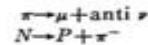
and

$$g_{\mu P} \sim 2 \times 10^{-49} \text{ erg cm}^2,$$

respectively. These values are so determined as to fit the experimental lifetime<sup>2</sup> of the  $\mu$ -mesons and the capture probability of the  $\mu^-$ -mesons by nuclei.<sup>3</sup> It is remarkable that the three independent experiments: the  $\beta$ -decay of the nucleons and the  $\mu$ -mesons and the interaction of the nucleons with the  $\mu$ -mesons lead to coupling constants of the same order of magnitude.

One can perhaps attempt to explain the equality of these interactions in a manner analogous to that used for the Coulomb interactions, i.e. by assuming these interactions to be transmitted through an intermediate field with respect to which all particles have the same "charge." The "quanta" of such a field would have a very short lifetime and would have escaped detection.

Second, if we assume the  $\pi$ -mesons to have integral spin and assume direct couplings for the processes



with coupling constants determined from the lifetime of the

$\pi$ -mesons<sup>4</sup> and the strength of nuclear forces,<sup>5</sup> the interaction between the  $\mu$ -mesons and the nucleons can be quantitatively explained as a second-order interaction through the virtual creation and annihilation of  $\pi$ -mesons.

After the completion of our work Mr. A. Ore has kindly informed us that similar considerations have been carried out by J. A. Wheeler and J. Tiomno.

<sup>1</sup> The masses of the  $\pi$ - and  $\mu$ -mesons are taken to be  $m_\pi = 286m_e$ ,  $m_\mu = 212m_e$ .

<sup>2</sup> B. Rossi, *Rev. Mod. Phys.* **20**, 537 (1948).

<sup>3</sup> B. Rossi, *Rev. Mod. Phys.* **20**, 537 (1948). In the calculation for the capture process the Fermi model for the nucleus is assumed and only single particle excitations are considered. See M. Rosenbluth, *Phys. Rev.* **75**, 532 (1949).

<sup>4</sup> J. R. Richardson, *Phys. Rev.* **74**, 1720 (1948).

<sup>5</sup> H. Bethe, *Phys. Rev.* **57**, 390 (1940).

## Parity Nonconservation and a Two-Component Theory of the Neutrino

T. D. LEE, *Columbia University, New York, New York*

AND

C. N. YANG, *Institute for Advanced Study, Princeton, New Jersey*

(Received January 10, 1957; revised manuscript received January 17, 1957)

A two-component theory of the neutrino is discussed. The theory is possible only if parity is not conserved in interactions involving the neutrino. Various experimental implications are analyzed. Some general remarks concerning nonconservation are made.

## Neutrinos are Left-handed

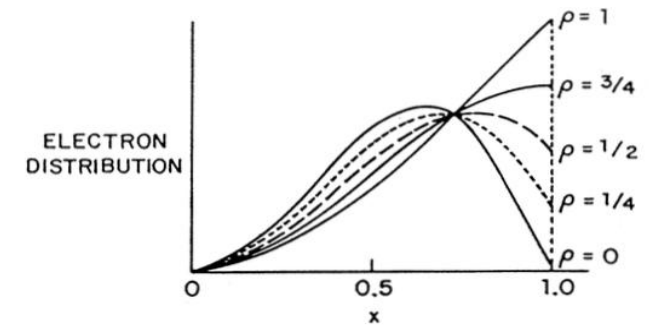
### Helicity of Neutrinos\*

M. GOLDHABER, L. GRODZINS, AND A. W. SUNYAR

*Brookhaven National Laboratory, Upton, New York*

(Received December 11, 1957)

A COMBINED analysis of circular polarization and resonant scattering of  $\gamma$  rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with  $\text{Eu}^{152m}$ , which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,<sup>1</sup>  $0^-$ , we find that the neutrino is "left-handed," i.e.,  $\sigma_\nu \cdot \hat{p}_\nu = -1$  (negative helicity).



Universality properties: T.-D Lee, Rosenbruth and C.-N Yang ;

Independently by O. Klein;

G. Puppi, J. Tiomno and J. Wheeler.

Active neutrinos are left-handed particles.

## Parity Nonconservation and a Two-Component Theory of the Neutrino

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# Use of Neutrino As Probes for New Physics

FEASIBILITY OF USING HIGH-ENERGY NEUTRINOS TO STUDY THE WEAK INTERACTIONS

M. Schwartz\*

Columbia University, New York, New York

(Received February 23, 1960)

THEORETICAL DISCUSSIONS ON POSSIBLE HIGH-ENERGY NEUTRINO EXPERIMENTS\*

T. D. Lee

Columbia University, New York, New York

and

C. N. Yang

Institute for Advanced Study, Princeton, New Jersey

(Received February 23, 1960)



# More than one kind of neutrino?



**Date:** 1962

**Intent:** Measure weak force at high energies

**Expectation:** Since neutrinos are created with muons and electrons, the neutrino beam should create both electrons and muons in the detector.

**Result:** No electrons produced, only muons

**Conclusion:** There must be two kinds of neutrinos.



# Observation of the third neutrino FermiLab 2000

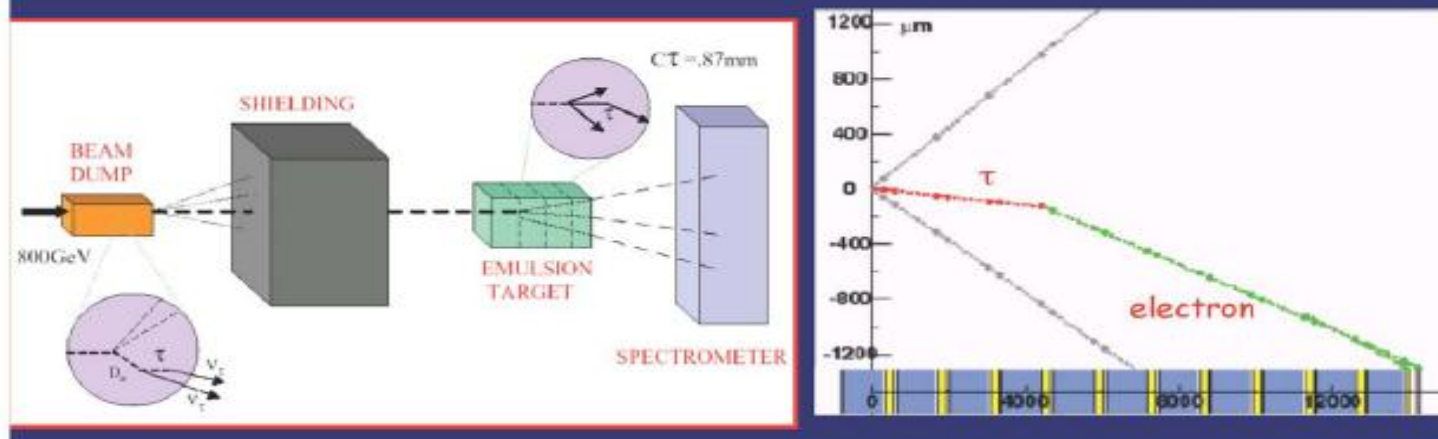
$\nu_\tau$  discovery: year 2000

DONUT experiment at Fermilab

Protons  $\rightarrow$  target  $\rightarrow$  X +  $D_s$

$\hookrightarrow \tau + \nu_\tau \rightarrow$  detector  $\rightarrow \tau$

$\hookrightarrow X + \nu_\tau \rightarrow$  detector  $\rightarrow \tau$



There are three types of neutrinos!

# Neutrino Mixing

B. Pontecorvo (1957). "Mesonium and anti-mesonium". *Zh. Eksp. Teor. Fiz.* 33: 549–551.

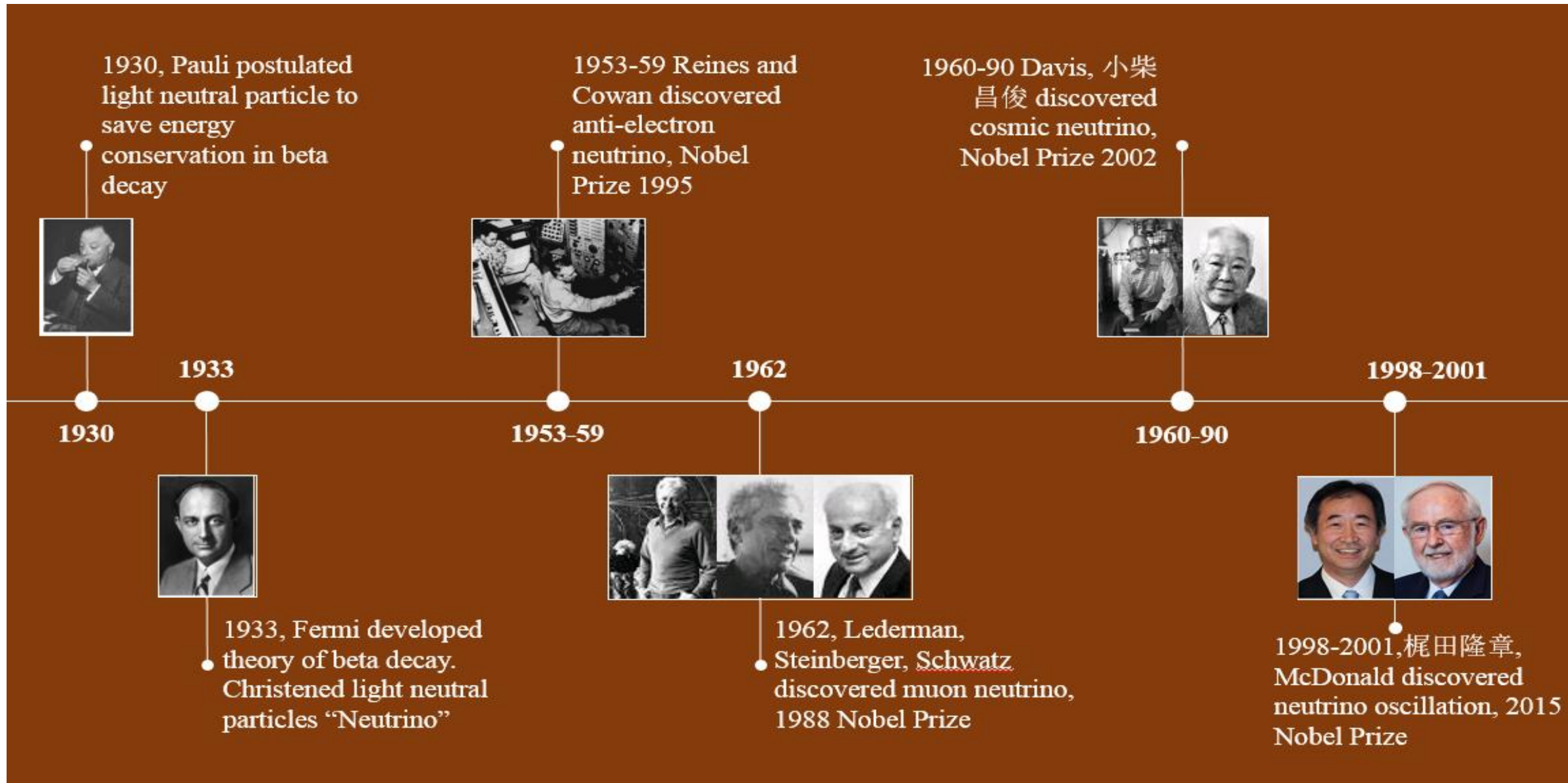
Oscillation between neutrino and anti-neutrino.

Z. Maki, M. Nakagawa, and S. Sakata (1962).  
"Remarks on the Unified Model of Elementary Particles".  
*Progress of Theoretical Physics* 28 (5): 870.






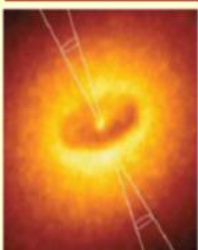

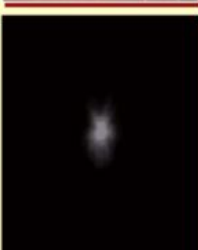
B. Pontecorvo (1967).  
"Neutrino Experiments and the Problem of Conservation  
of Leptonic Charge". *Zh. Eksp. Teor. Fiz.* 53: 1717.

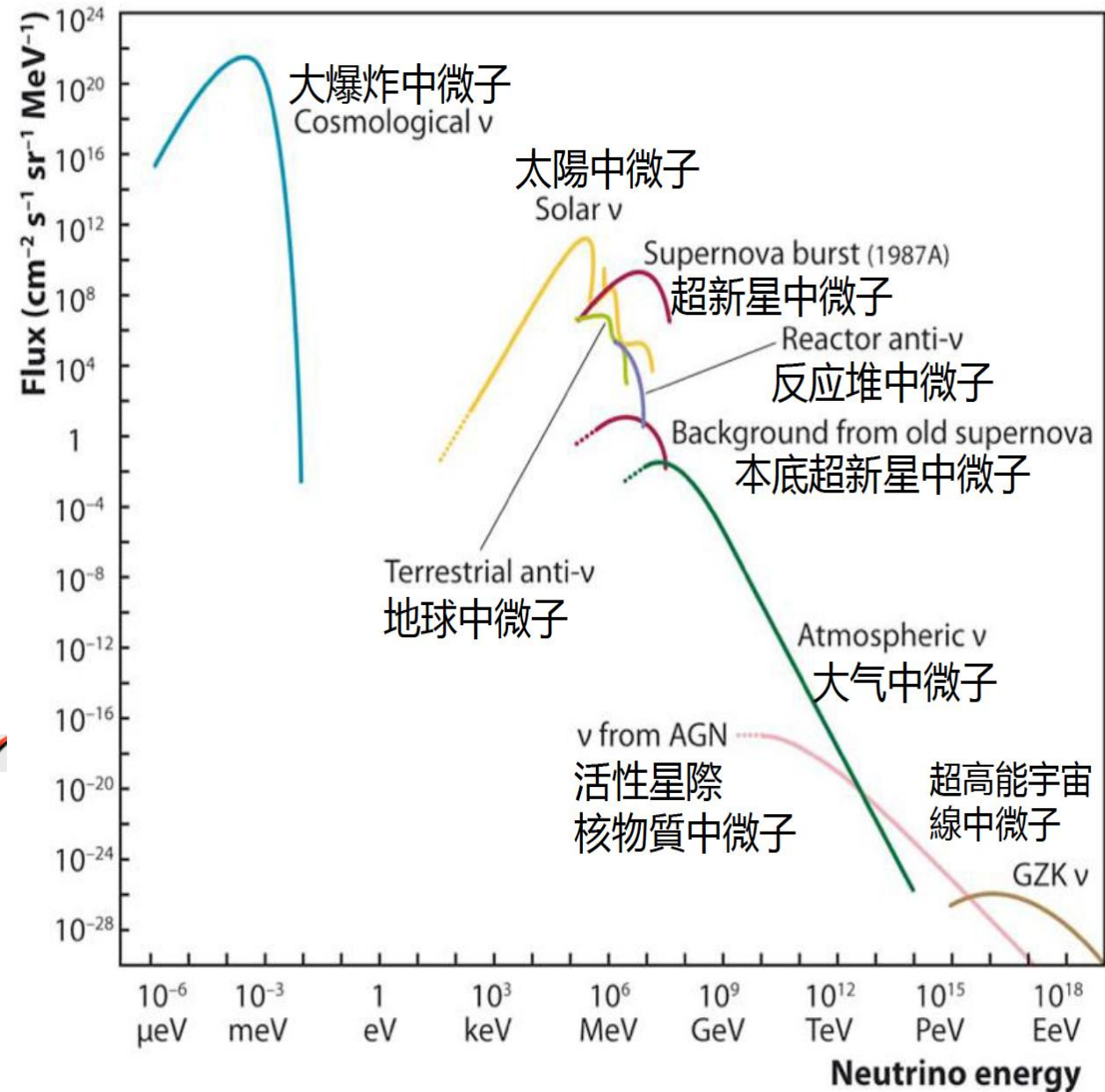
Oscillations between different flavors.





# Where neutrinos come from ?

✓ Nuclear Reactors (power stations, ships)			Sun ✓
✓ Particle Accelerator			Supernovae (star collapse) SN 1987A ✓
✓ Earth's Atmosphere (Cosmic Rays)			Astrophysical Accelerators ✓
✓ Earth's Crust (Natural Radioactivity)			Big Bang (here 330 $\nu/\text{cm}^3$ ) Indirect Evidence



Although weakly interacting, can be seen almost everywhere!

## 2. Oscillation, Masses, Mixing, Dirac Or Majorana

In the minimal SM: Gauge group:  $SU(3)_C \times SU(2)_L \times U(1)_Y$

$$G(8, 1) (0), W(1, 3) (0), B(1, 1)(0) ,$$

$$Q_L = \begin{pmatrix} U_L \\ D_L \end{pmatrix} (3, 2)(1/6) , \quad U_R (3, 1)(2/3) , \quad D_R (3, 1)(-1/3) ,$$

$$L_l = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} (1, 2)(-1/2) , \quad E_R (1, 1)(-1) ,$$

$$H = \begin{pmatrix} h^+ \\ (v + h^0)/\sqrt{2} \end{pmatrix} (1, 2, 1/2) , \quad v - \text{vev of Higgs} .$$

Quark and charged lepton masses are from the following Yukawa couplings

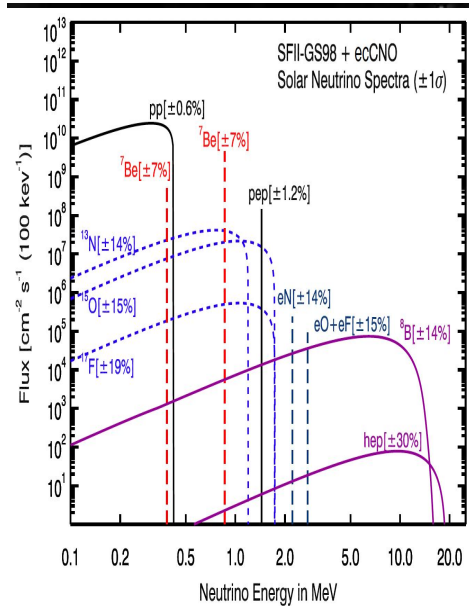
$$\bar{Q}_L \tilde{H} U_R , \quad \bar{Q}_L H D_R , \quad \bar{L}_L H E_R .$$

Nothing to pair up with  $L_L(\nu_L)$ . In minimal SM, neutrinos are massless!

Extensions needed: Give neutrino masses and small ones!



# Experimental Discovery Of Neutrino Mixing



## Homestake Gold Mine

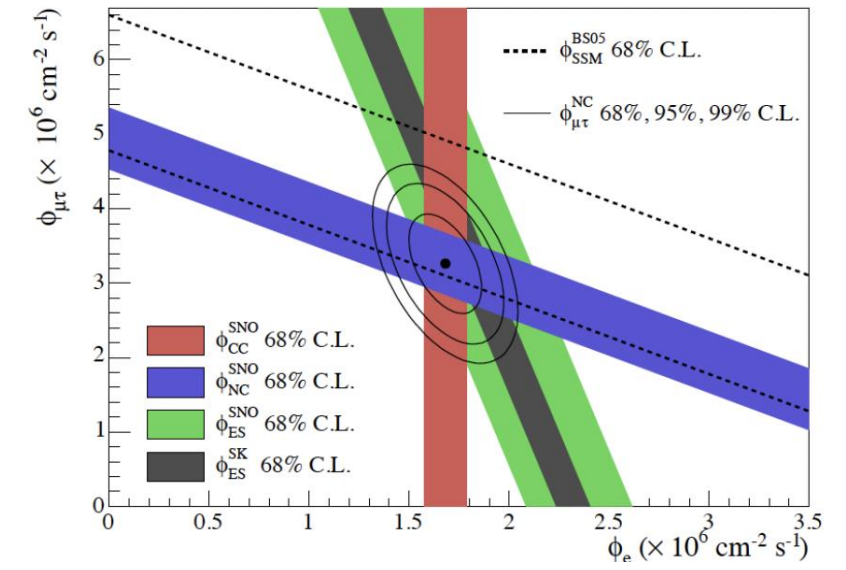
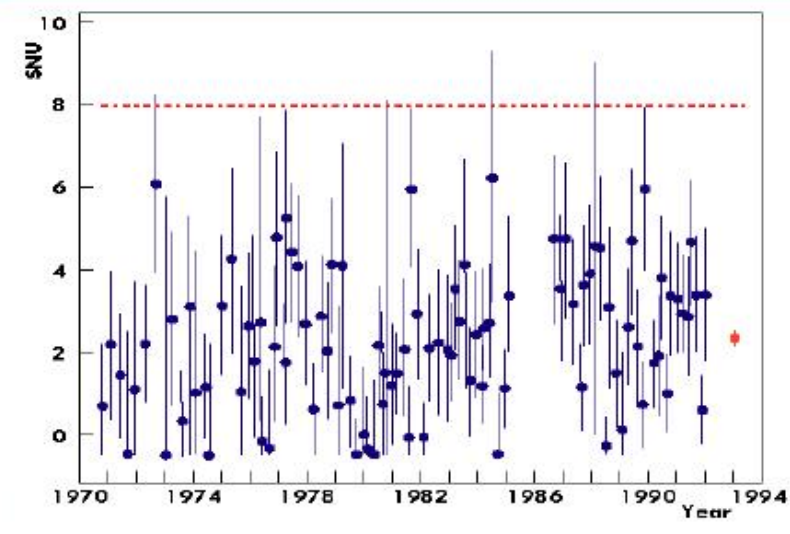
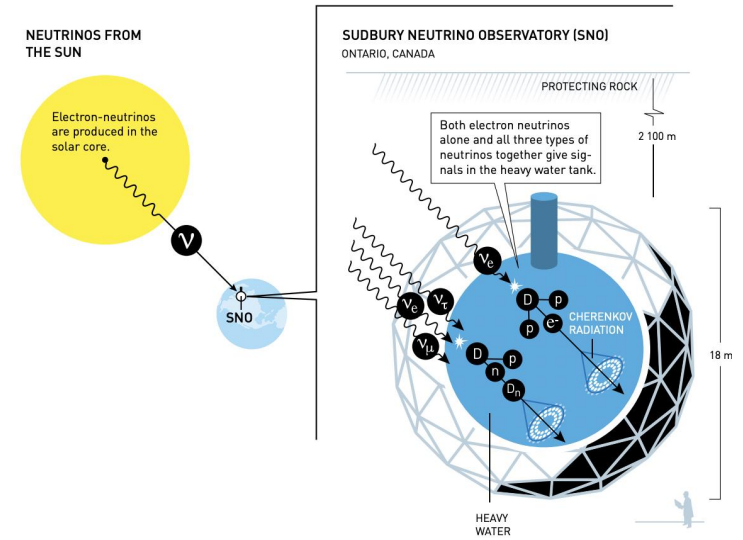
100,000 gallons of cleaning fluid  $\text{C}_2\text{Cl}_4$

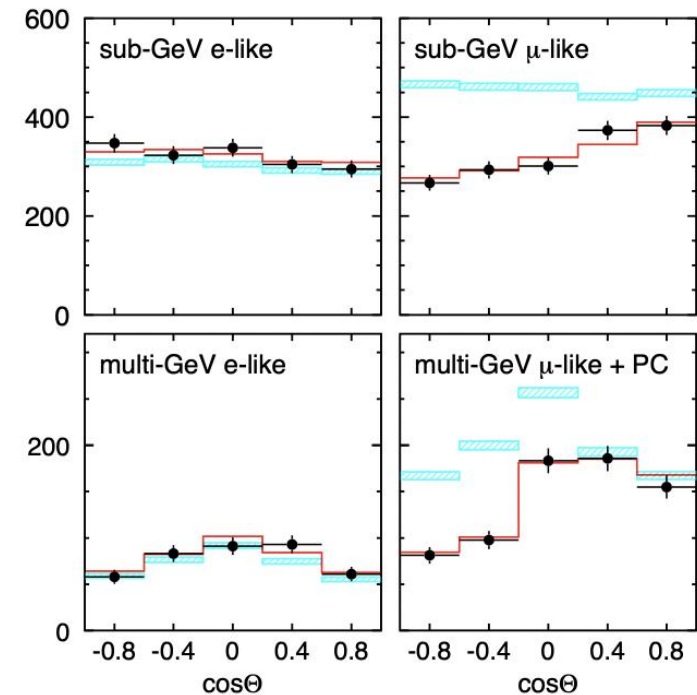
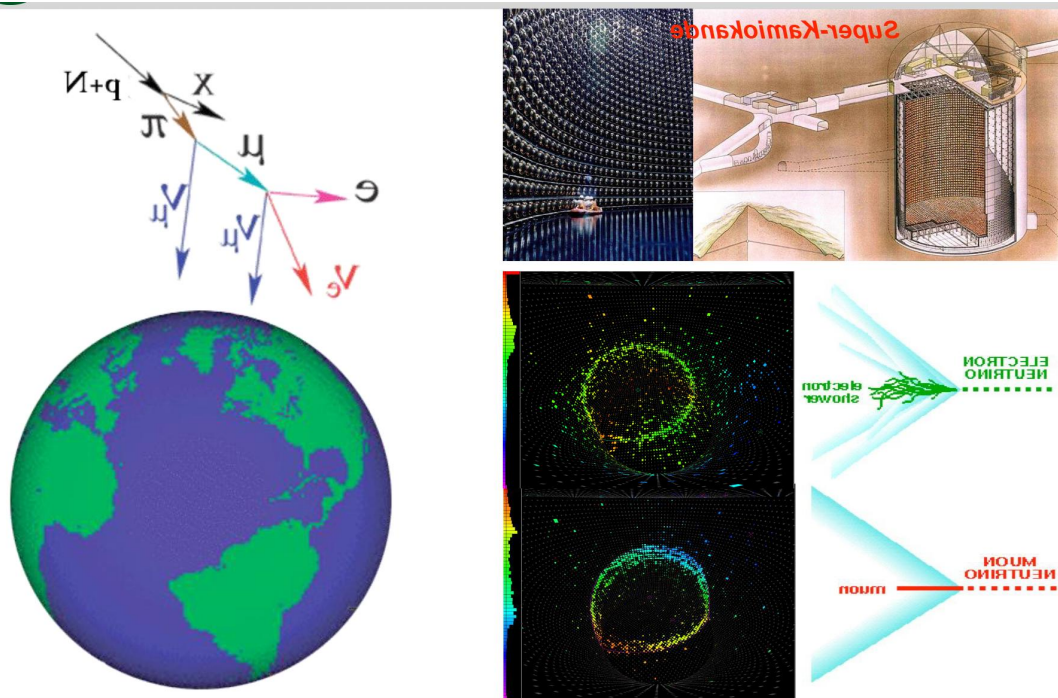
Expected 1.5 interactions per day  
Measured 0.5 interactions per day

Sensitive to  ${}^8\text{B}$  solar neutrinos only

1968!

$\nu_e + {}^{37}\text{Cl} \rightarrow e^- + {}^{37}\text{Ar}$





## Evidence for Oscillation of Atmospheric Neutrinos

Y. Fukuda *et al.* (Super-Kamiokande Collaboration)

Phys. Rev. Lett. 81, 1562 — Published 24 August 1998

Measurement of the Rate of  $\nu_e + d \rightarrow p + p + e^-$  Interactions  
Produced by  $^8B$  Solar Neutrinos at the Sudbury Neutrino  
Observatory

Q. R. Ahmad *et al.* (SNO Collaboration)

Phys. Rev. Lett. **87**, 071301 — Published 25 July 2001

Abundant data show that neutrinos have non-zero masses and mix

Solar neutrino oscillation: Homestake, Sage+Gallex/GNO, Super-K, SNO, Borexino ...

Atmospherical neutrino oscillation: Super-Kamokande, ...

Accelerator neutrino source: K2K, Minos , Nova ...

Reactor neutrino source: Kamland, T2K, Chooz, Daya-Bay, Reno...

have observed neutrino oscillation phenomenon.

LSND and Miniboon...?



# Mixing and Non-zero Neutrino Masses

$$\begin{pmatrix} \nu_1(0) \\ \nu_2(0) \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_{m1} \\ \nu_{m2} \end{pmatrix}$$

At  $t=0$ , neutrino  $\nu_1(0)$  produced, at  $t$ , the state becomes

$$|\nu_1(0)\rangle = \cos \theta e^{-i(Et - p_1 L)} |\nu_{m1}\rangle - \sin \theta e^{-i(Et - p_2 L)} |\nu_{m2}\rangle.$$

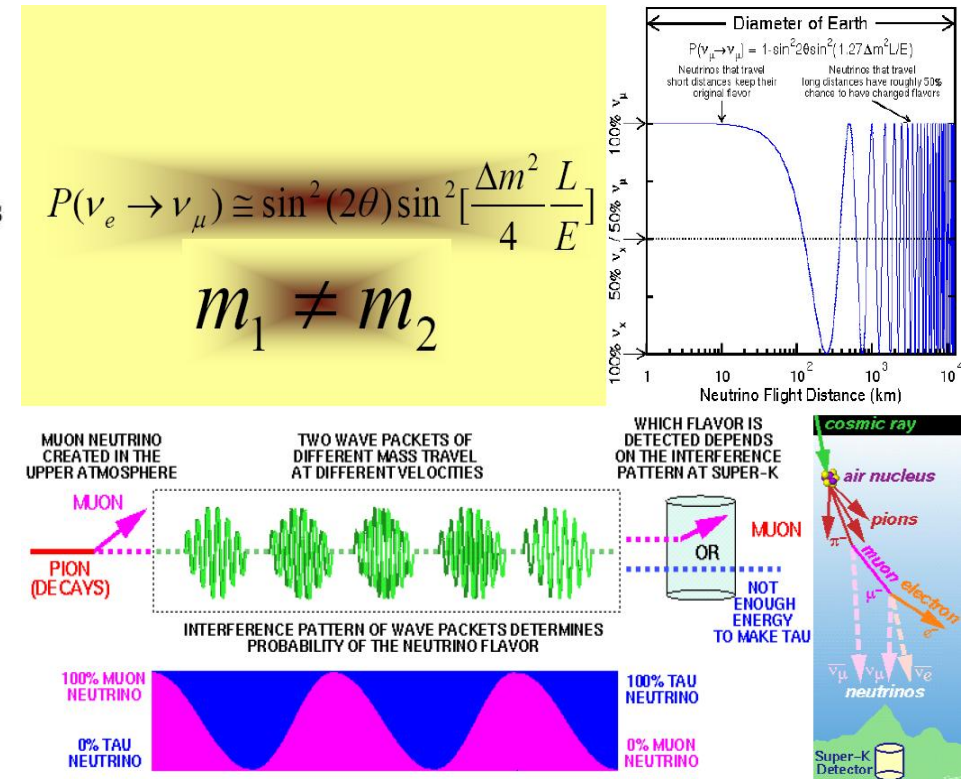
Using  $t \approx L$  and  $E - P_i = E - \sqrt{E^2 - m_i^2} \approx m_i^2/2E$ , the probability amplitude of find  $\nu_2(0)$  at time  $t$  is given by

$$\langle \nu_2(0) | \nu_1(t) \rangle = \cos \theta \sin \theta (e^{-im_1^2 L/2E} - e^{-im_2^2 L/2E})$$

which leads to the probability of finding  $\nu_2(0)$  is

$$P(\nu_1 \rightarrow \nu_2) = |\langle \nu_1(0) | \nu_2(t) \rangle|^2 = \sin^2(2\theta) \sin^2(\Delta m_{21}^2 L/4E),$$

$$\Delta m_{21}^2 = m_2^2 - m_1^2.$$



**Need mixing and non-zero mass to have oscillation!**

**Active neutrinos mix with each other and have non-zero masses!**

**Must go beyond SM to have neutrino masses!**

# How To Generate Masses For Neutrinos?

Neutrinos have small mass, they mix with each other

There may be additional light sterile neutrinos

Electrically neutral, has the possibility of being its own anti-particle,  
Majorana particle. Dirac or Majorana particle?

To have Dirac mass, need to introduce right handed neutrinos  $\nu_R$ : (1,1)(0)

Dirac neutrino mass term

$$L = -\bar{L}_L Y_\nu \tilde{H} \nu_R + H.C , \rightarrow -\bar{\nu}_L m_\nu \nu_R , \rightarrow m_\nu = \frac{v}{\sqrt{2}} Y_\nu$$
$$m_{\nu_e} < 0.3 \text{ eV}, \rightarrow Y_{\nu_e}/Y_e < 10^{-5}, \text{ very much fine tuned!}$$



# Majorana Masses For Neutrinos?

Neutrino is its own anti-particle, particle and anti-particle to pair up for mass terms

The Seesaw Mechanism  $L = \bar{\nu}_L(Y_\nu v/\sqrt{2})\nu_R + \bar{\nu}_R^c M_R \nu_R/2$

The Seesaw mechanism refers to the neutrino mass matrix of the form

$$L_m = -\frac{1}{2}(\nu_L^c, \nu_R) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix}.$$

For one generation, if  $M_R \gg m_D$ , the eigenmasses are

$$m_\nu \approx -m_D M_R^{-1} m_D^T, \quad m_N \approx M_R$$

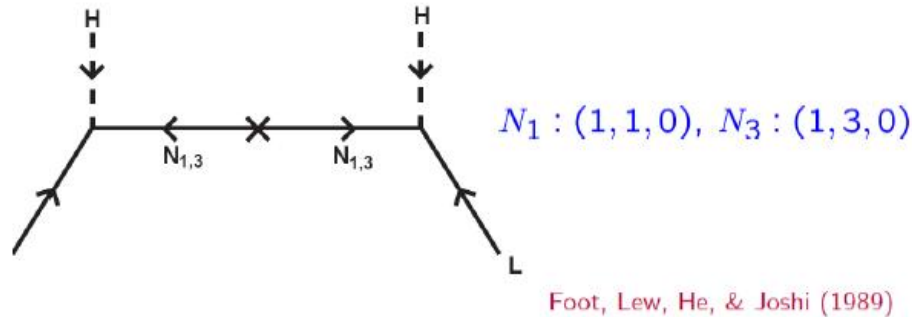
A very nice way to explain why light neutrino masses are so much lighter than their charged lepton partners.

(Minkowski (1977); Gell-Mann, Ramond, and Slansky (1979); Yanagida (1979); Glashow (1980); Mohapatra and Senjanovic(1980))



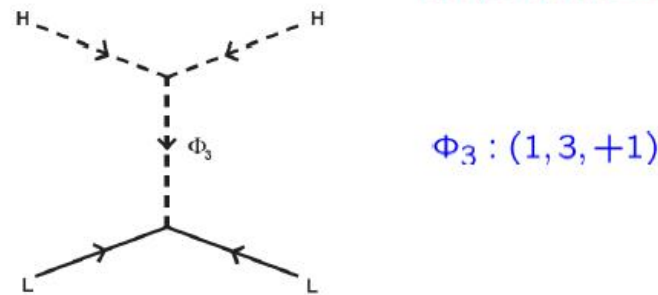
# Majorana Neutrinos and Seesaw Mechanism

Type (I,III) seesaw



Minkowski (1977)  
Yanagida (1979)  
Gell-Mann, Ramond, & Slansky (1980)  
Mohapatra & Senjanovic (1980)

Type II seesaw

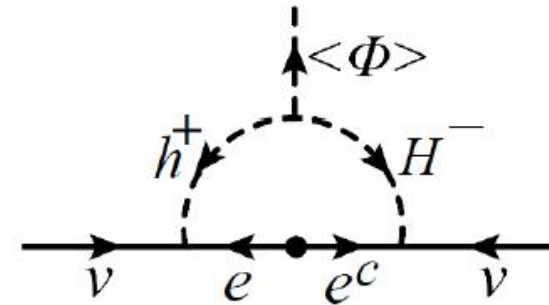


Mohapatra & Senjanovic (1980)  
Schechter & Valle (1980)  
Lazarides, Shafi, & Wetterich (1981)

$$\mathcal{L}_{\text{eff}} = \frac{LLHH}{M} \Rightarrow m_\nu \sim \frac{v^2}{M}$$

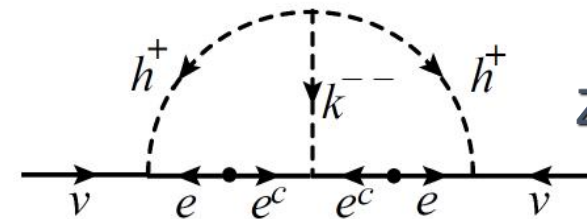
## Loop generation neutrino masses

Zee, 1980



Simplest model ruled out  
XG He, EPJC34(2004)371

Zee, 1985, Babu 1988



Provide understand why neutrino masses are small  
but no information about mixing!

# Three Generations and Their Mixing

Quark mixing      the Cabibbo -Kobayashi-Maskawa (CKM) matrix  $V_{\text{CKM}}$ ,  
 lepton mixing      the Pontecorvo -Maki-Nakawaga-Sakata (PMNS) matrix  $U_{\text{PMNS}}$

$$L = -\frac{g}{\sqrt{2}}\bar{U}_L\gamma^\mu V_{\text{CKM}}D_LW_\mu^+ - \frac{g}{\sqrt{2}}\bar{E}_L\gamma^\mu U_{\text{PMNS}}N_LW_\mu^- + H.C. ,$$

$$U_L = (u_L, c_L, t_L, \dots)^T, D_L = (d_L, s_L, b_L, \dots)^T, E_L = (e_L, \mu_L, \tau_L, \dots)^T, \text{ and } N_L = (\nu_1, \nu_2, \nu_3, \dots)^T$$

For n-generations,  $V = V_{\text{CKM}}$  or  $U_{\text{PMNS}}$  is an  $n \times n$  unitary matrix.

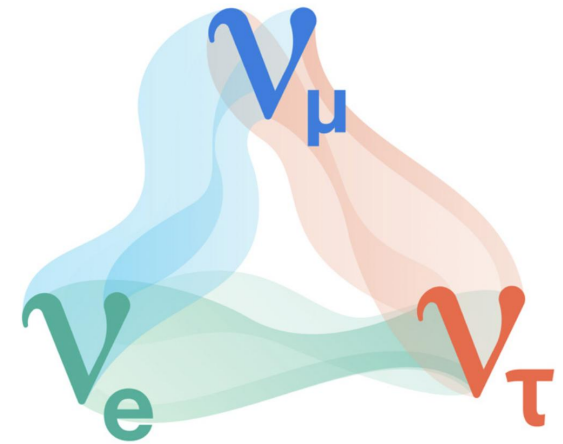
A commonly used form of mixing matrix for three generations of fermions is given by

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix},$$

where  $s_{ij} = \sin\theta_{ij}$  and  $c_{ij} = \cos\theta_{ij}$  are the mixing angles and  $\delta$  is the CP violating phase.

If neutrinos are of Majorana type, for the PMNS matrix one should include an additional diagonal

matrix with two Majorana phases  $\text{diag}(e^{i\alpha_1/2}, e^{i\alpha_2/2}, 1)$  multiplied to the matrix from right in the above.





# Neutrino Chiral Oscillation

Equation of motion for a neutrino in free space

$$(i\cancel{\partial} - m)\psi = 0, \quad i\cancel{\partial}\psi_L - m\psi_R = 0, \quad i\cancel{\partial}\psi_R - m\psi_L = 0,$$

$$\psi_L = \frac{1-\gamma_5}{2}\psi, \quad \psi_R = \frac{1+\gamma_5}{2}\psi, \quad \psi = \psi_L + \psi_R. \quad U = e^{-iHt}, \quad H = \gamma^0\boldsymbol{\gamma} \cdot \mathbf{p} + m\gamma^0 = \boldsymbol{\alpha} \cdot \mathbf{p} + m\beta$$

How left-handed and right-handed are entangled in free space?

In chiral representation:

$$\gamma^0 = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}, \quad \gamma^i = \begin{pmatrix} 0 & \sigma^i \\ -\sigma^i & 0 \end{pmatrix}, \quad \gamma^5 = \begin{pmatrix} -I & 0 \\ 0 & I \end{pmatrix}$$

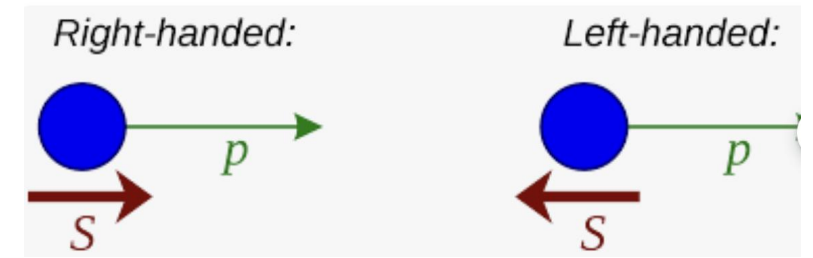
$$\psi^h(t, \mathbf{x}) = U(t)\psi^h(0)e^{i\mathbf{p}\cdot\mathbf{x}} = \psi^h(0)e^{-i(Et-\mathbf{p}\cdot\mathbf{x})}, \quad \psi^h(0) = \frac{1}{\sqrt{2E}} \begin{pmatrix} \sqrt{E-h\cdot\mathbf{p}} u^h \\ \sqrt{E+h\cdot\mathbf{p}} u^h \end{pmatrix}$$

$$\psi(0)^\dagger\psi(0) = 1. \quad h = \pm 1 - \text{helicity}, \quad \mathbf{p} \cdot \boldsymbol{\sigma} u^h = (h \cdot p)u^h, \quad \mathbf{p} = (p_x, p_y, p_z) = p(\cos\phi \sin\theta, \sin\phi \sin\theta, \cos\theta).$$

$$u^{h=+1} = \begin{pmatrix} \cos(\theta/2) \\ \sin(\theta/2)e^{i\phi} \end{pmatrix}, \quad u^{h=-1} = \begin{pmatrix} -\sin(\theta/2)e^{-i\phi} \\ \cos(\theta/2) \end{pmatrix},$$

Oscillation probability from i to k for Dirac neutrinos:

$$P(\psi_i \rightarrow \psi_k) = |\langle \psi(0)_k | \psi(t)_i \rangle|^2 = \left| \sum V_{ij} V_{kj}^* e^{-i(E_j t - \mathbf{p}\cdot\mathbf{x})} \right|^2$$

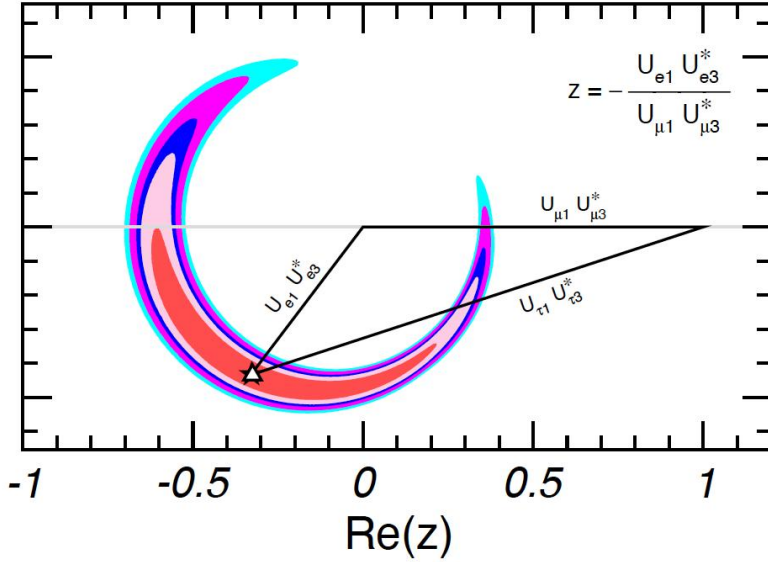


$$\psi(t, \mathbf{x}) = U(t)\psi(0)e^{i\mathbf{p}\cdot\mathbf{x}}$$

# Neutrino Oscillation With Three Generations

**Table 14.7:**  $3\nu$  oscillation parameters obtained from different global analyses of neutrino data. In all cases, the numbers labeled as NO (IO) are obtained assuming NO (IO), *i.e.*, relative to the respective local minimum. SK-ATM makes reference to the tabulated  $\chi^2$  map from the Super-Kamiokande analysis of their data in Ref. [97].

$$\begin{pmatrix} c_{12} c_{13} & & s_{12} c_{13} & & s_{13} e^{-i\delta_{CP}} \\ -s_{12} c_{23} - c_{12} s_{13} s_{23} e^{i\delta_{CP}} & & c_{12} c_{23} - s_{12} s_{13} s_{23} e^{i\delta_{CP}} & & c_{13} s_{23} \\ s_{12} s_{23} - c_{12} s_{13} c_{23} e^{i\delta_{CP}} & & -c_{12} s_{23} - s_{12} s_{13} c_{23} e^{i\delta_{CP}} & & c_{13} c_{23} \end{pmatrix}$$



PDG 2023

	Ref. [185] w/o SK-ATM		Ref. [185] w SK-ATM		Ref. [186] w SK-ATM		Ref. [187] w SK-ATM	
NO	Best Fit Ordering		Best Fit Ordering		Best Fit Ordering		Best Fit Ordering	
Param	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$3.10^{+0.13}_{-0.12}$	2.75 $\rightarrow$ 3.50	$3.10^{+0.13}_{-0.12}$	2.75 $\rightarrow$ 3.50	$3.04^{+0.14}_{-0.13}$	2.65 $\rightarrow$ 3.46	$3.20^{+0.20}_{-0.16}$	2.73 $\rightarrow$ 3.79
$\frac{10^{-1}}{\theta_{12}/^\circ}$	$33.82^{+0.78}_{-0.76}$	31.61 $\rightarrow$ 36.27	$33.82^{+0.78}_{-0.76}$	31.61 $\rightarrow$ 36.27	$33.46^{+0.87}_{-0.88}$	30.98 $\rightarrow$ 36.03	$34.5^{+1.2}_{-1.0}$	31.5 $\rightarrow$ 38.0
$\sin^2 \theta_{23}$	$5.58^{+0.20}_{-0.33}$	4.27 $\rightarrow$ 6.09	$5.63^{+0.18}_{-0.24}$	4.33 $\rightarrow$ 6.09	$5.51^{+0.19}_{-0.80}$	4.30 $\rightarrow$ 6.02	$5.47^{+0.20}_{-0.30}$	4.45 $\rightarrow$ 5.99
$\frac{10^{-1}}{\theta_{23}/^\circ}$	$48.3^{+1.2}_{-1.9}$	40.8 $\rightarrow$ 51.3	$48.6^{+1.0}_{-1.4}$	41.1 $\rightarrow$ 51.3	$47.9^{+1.1}_{-4.0}$	41.0 $\rightarrow$ 50.9	$47.7^{+1.2}_{-1.7}$	41.8 $\rightarrow$ 50.7
$\sin^2 \theta_{13}$	$2.241^{+0.066}_{-0.065}$	2.046 $\rightarrow$ 2.440	$2.237^{+0.066}_{-0.065}$	2.044 $\rightarrow$ 2.435	$2.14^{+0.09}_{-0.07}$	1.90 $\rightarrow$ 2.39	$2.160^{+0.083}_{-0.069}$	1.96 $\rightarrow$ 2.41
$\frac{10^{-2}}{\theta_{13}/^\circ}$	$8.61^{+0.13}_{-0.13}$	8.22 $\rightarrow$ 8.99	$8.60^{+0.13}_{-0.13}$	8.22 $\rightarrow$ 8.98	$8.41^{+0.18}_{-0.14}$	7.9 $\rightarrow$ 8.9	$8.45^{+0.16}_{-0.14}$	8.0 $\rightarrow$ 8.9
$\delta_{CP}/^\circ$	$222^{+38}_{-28}$	141 $\rightarrow$ 370	$221^{+39}_{-28}$	144 $\rightarrow$ 357	$238^{+41}_{-33}$	149 $\rightarrow$ 358	$218^{+38}_{-27}$	157 $\rightarrow$ 349
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.39^{+0.21}_{-0.20}$	6.79 $\rightarrow$ 8.01	$7.39^{+0.21}_{-0.20}$	6.79 $\rightarrow$ 8.01	$7.34^{+0.17}_{-0.14}$	6.92 $\rightarrow$ 7.91	$7.55^{+0.20}_{-0.16}$	7.05 $\rightarrow$ 8.24
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$2.449^{+0.032}_{-0.030}$	2.358 $\rightarrow$ 2.544	$2.454^{+0.029}_{-0.031}$	2.362 $\rightarrow$ 2.544	$2.419^{+0.035}_{-0.032}$	2.319 $\rightarrow$ 2.521	$2.424 \pm 0.03$	2.334 $\rightarrow$ 2.524
IO	$\Delta\chi^2 = 6.2$		$\Delta\chi^2 = 10.4$		$\Delta\chi^2 = 9.5$		$\Delta\chi^2 = 11.7$	
$\sin^2 \theta_{12}$	$3.10^{+0.13}_{-0.12}$	2.75 $\rightarrow$ 3.50	$3.10^{+0.13}_{-0.12}$	2.75 $\rightarrow$ 3.50	$3.03^{+0.14}_{-0.13}$	2.64 $\rightarrow$ 3.45	$3.20^{+0.20}_{-0.16}$	2.73 $\rightarrow$ 3.79
$\frac{10^{-1}}{\theta_{12}/^\circ}$	$33.82^{+0.78}_{-0.76}$	31.61 $\rightarrow$ 36.27	$33.82^{+0.78}_{-0.75}$	31.62 $\rightarrow$ 36.27	$33.40^{+0.87}_{-0.81}$	30.92 $\rightarrow$ 35.97	$34.5^{+1.2}_{-1.0}$	31.5 $\rightarrow$ 38.0
$\sin^2 \theta_{23}$	$5.63^{+0.19}_{-0.26}$	4.30 $\rightarrow$ 6.12	$5.65^{+0.17}_{-0.22}$	4.36 $\rightarrow$ 6.10	$5.57^{+0.17}_{-0.24}$	4.44 $\rightarrow$ 6.03	$5.51^{+0.18}_{-0.30}$	4.53 $\rightarrow$ 5.98
$\frac{10^{-1}}{\theta_{23}/^\circ}$	$48.6^{+1.1}_{-1.5}$	41.0 $\rightarrow$ 51.5	$48.8^{+1.0}_{-1.2}$	41.4 $\rightarrow$ 51.3	$48.2^{+1.0}_{-1.4}$	41.8 $\rightarrow$ 50.9	$47.9^{+1.0}_{-1.7}$	42.3 $\rightarrow$ 50.7
$\sin^2 \theta_{13}$	$2.261^{+0.067}_{-0.064}$	2.066 $\rightarrow$ 2.461	$2.259^{+0.065}_{-0.065}$	2.064 $\rightarrow$ 2.457	$2.18^{+0.08}_{-0.07}$	1.95 $\rightarrow$ 2.43	$2.220^{+0.074}_{-0.076}$	1.99 $\rightarrow$ 2.44
$\frac{10^{-2}}{\theta_{13}/^\circ}$	$8.65^{+0.13}_{-0.12}$	8.26 $\rightarrow$ 9.02	$8.64^{+0.12}_{-0.13}$	8.26 $\rightarrow$ 9.02	$8.49^{+0.15}_{-0.14}$	8.0 $\rightarrow$ 9.0	$8.53^{+0.14}_{-0.15}$	8.1 $\rightarrow$ 9.0
$\delta_{CP}/^\circ$	$285^{+24}_{-26}$	205 $\rightarrow$ 354	$282^{+23}_{-25}$	205 $\rightarrow$ 348	$247^{+26}_{-27}$	193 $\rightarrow$ 346	$281^{+23}_{-27}$	202 $\rightarrow$ 349
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.39^{+0.21}_{-0.20}$	6.79 $\rightarrow$ 8.01	$7.39^{+0.21}_{-0.20}$	6.79 $\rightarrow$ 8.01	$7.34^{+0.17}_{-0.14}$	6.92 $\rightarrow$ 7.91	$7.55^{+0.20}_{-0.16}$	7.05 $\rightarrow$ 8.24
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$-2.509^{+0.032}_{-0.032}$	-2.603 $\rightarrow$ -2.416	$-2.510^{+0.030}_{-0.031}$	-2.601 $\rightarrow$ -2.419	$-2.478^{+0.035}_{-0.033}$	-2.577 $\rightarrow$ -2.375	$-2.50 \pm^{+0.04}_{-0.03}$	-2.59 $\rightarrow$ -2.39

# Neutrino Oscillation Something new?

Chiral oscillation, Majorana phases appear in oscillation... profound implication for cosmic background neutrinos....

M-W Li, Z-L Huang and XG He, arXiv: 2307.12561

In the SM neutrinos are produced by  $W$  and/or  $Z$  interactions.

At production  $t = 0$  point, they are left-handed and normalized,  $\psi_L^h(0) = \sqrt{\frac{2E}{E-h \cdot p}} \frac{1-\gamma_5}{2} \psi^h(0)$ .

$$\psi_L^h(t) = \sqrt{\frac{2E}{E-h \cdot p}} e^{-iHt} \frac{1-\gamma_5}{2} \psi^h(0) = \psi_L^h(t) = \sqrt{\frac{2E}{E-h \cdot p}} \left( e^{-iEt} \frac{1-\gamma_5}{2} \psi^h(0) - i \frac{m}{E} \sin(Et) \left[ \beta, \frac{1-\gamma_5}{2} \right] \psi^h(0) \right).$$

Used  $U(t) = e^{-iHt} = \cos(Et) - i \frac{\boldsymbol{\alpha} \cdot \mathbf{p} + m\beta}{E} \sin(Et)$   $\psi_L^{h\dagger} \psi_L^h(t) = \left( \cos(Et) + i \frac{h \cdot p}{E} \sin(Et) \right) e^{i\mathbf{p} \cdot \mathbf{L}}$ ,  $\psi_R^{h\dagger} \psi_L^h(t) = \left( -i \frac{m}{E} \sin(Et) \right) e^{i\mathbf{p} \cdot \mathbf{L}}$

$$P(\nu_L^h \rightarrow \nu_L^h) = |\psi_L^{h\dagger} \psi_L^h(t)|^2 = 1 - \frac{m^2}{E^2} \sin^2(Et), \quad P(\nu_L^h \rightarrow \nu_R^h) = |\psi_R^{h\dagger} \psi_L^h(t)|^2 = \frac{m^2}{E^2} \sin^2(Et)$$

**Left-handed neutrinos oscillated into right-handed ones!**



$$P(\nu_{Li}^h \rightarrow \nu_{Lk}^h) = |V_{ij} V_{kj}^* (\cos(E_j t) + i \frac{h \cdot p}{E_j} \sin(E_j t))|^2, \quad P(\nu_{Li}^h \rightarrow \nu_{Rk}^h) = \left| -i V_{ij} V_{kj}^* \frac{m_j}{E_j} \sin(E_j t) \right|^2 |\psi_R^{h\dagger} \psi_L^h(t)|^2$$

S-F Ge & P Pasquini, PLB811(2020)135961; V Bittencourt, A. Bernardini & M. Blasone, EPJC81 (2021)411.

Chiral oscillation probability extremely small for relativistic neutrinos because the suppression factor:  $m^2/E^2$ .

**Numerically valid to use Dirac neutrinos to describe neutrino oscillations. Important cosmological implications!**

# Neutrino Oscillation In Matter

When neutrinos travel in matter, due to interaction of neutrinos with matter mediated by W and Z, the Lagrangian is modified

$$\mathcal{L} = \bar{\psi}(i\not{\partial} - m)\psi - j^\mu \bar{\psi} \gamma_\mu \frac{1 - \gamma_5}{2} \psi .$$

$j^\mu$  is the matter current which neutrino can interact.

In the rest frame of the homogeneous, isotropic, unpolarized electrical neutrality medium,  $j^\mu = (\rho, \vec{0})$   
 $\rho = \sqrt{2}G_F (N_e \delta_{\alpha e} - \frac{1}{2}N_n)$ .  $N_e, N_n$  number density of electron and neutron,  $\delta_{\alpha e}$  are zero for  $\nu_\mu$  and  $\nu_\tau$ .

$$H = \mathbf{p} \cdot \boldsymbol{\alpha} + m\beta + \rho \frac{1 - \gamma_5}{2} = \begin{pmatrix} \rho - \mathbf{p} \cdot \boldsymbol{\sigma} & m \\ m & \mathbf{p} \cdot \boldsymbol{\sigma} \end{pmatrix} = \begin{pmatrix} \rho - h \cdot p & m \\ m & h \cdot p \end{pmatrix}$$

The evolution for a given wave function entering the media with momentum  $\vec{p}$  at  $t = 0$  is

$$\psi_L^h(t) = e^{-iHt} \psi_L^h(0) = e^{-\frac{i}{2}\rho t} \begin{pmatrix} \cos(E_h t) + i \frac{h \cdot p - \frac{\rho}{2}}{E_h} \sin(E_h t) & -i \frac{m}{E_h} \sin(E_h t) \\ -i \frac{m}{E_h} \sin(E_h t) & \cos(E_h t) - i \frac{h \cdot p - \frac{\rho}{2}}{E_h} \sin(E_h t) \end{pmatrix} \begin{pmatrix} u^h \\ 0 \end{pmatrix} ,$$

$$P(\psi_L^h \rightarrow \psi_L^h) = 1 - \frac{m^2}{E_h^2} \sin^2(E_h t) , \quad P(\psi_L^h \rightarrow \psi_R^h) = \frac{m^2}{E_h^2} \sin^2(E_h t).$$

Similar as that for free space, but dependent on matter density via  $E_h = \sqrt{m^2 + (h \cdot p - \rho/2)^2}$ .

There is a resonant enhanced chiral oscillation at  $h \cdot p - \rho/2 = 0$ !

# Majorana And Seesaw Neutrinos In Matter

The general seesaw neutrino Lagrangian in matter propagation

$$\begin{aligned}\mathcal{L} &= \bar{\nu}_L i \not{\partial} \nu_L + \bar{N}_R i \not{\partial} N_R - \frac{1}{2} \left( (\bar{\nu}_L^c \quad \bar{N}_R) \begin{pmatrix} M_L & M_D^T \\ M_D & M_R \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix} + \text{h.c.} \right) - (\bar{\nu}_L \quad \bar{N}_R^c) \begin{pmatrix} j_L^\mu & j_{RL}^\mu \\ j_{RL}^{\mu\dagger} & j_R \end{pmatrix} \gamma_\mu \begin{pmatrix} \nu_L \\ N_R^c \end{pmatrix} \\ &= \bar{\psi}_L i \not{\partial} \psi_L - \frac{1}{2} (\bar{\psi}_L^c \mathcal{M} \psi_L + \text{h.c.}) - \bar{\psi}_L J^\mu \gamma_\mu \psi_L\end{aligned}$$

For homogeneous, isotropic, unpolarized electrical neutrality matter medium at rest, only  $j_L^0$  is non-zero

$$j_L^0 = \begin{pmatrix} \rho_e & 0 & 0 \\ 0 & \rho_\mu & 0 \\ 0 & 0 & \rho_\tau \end{pmatrix} = \begin{pmatrix} \sqrt{2} G_F (N_e - \frac{1}{2} N_n) & 0 & 0 \\ 0 & -\frac{G_F}{\sqrt{2}} N_n & 0 \\ 0 & 0 & -\frac{G_F}{\sqrt{2}} N_n \end{pmatrix}.$$

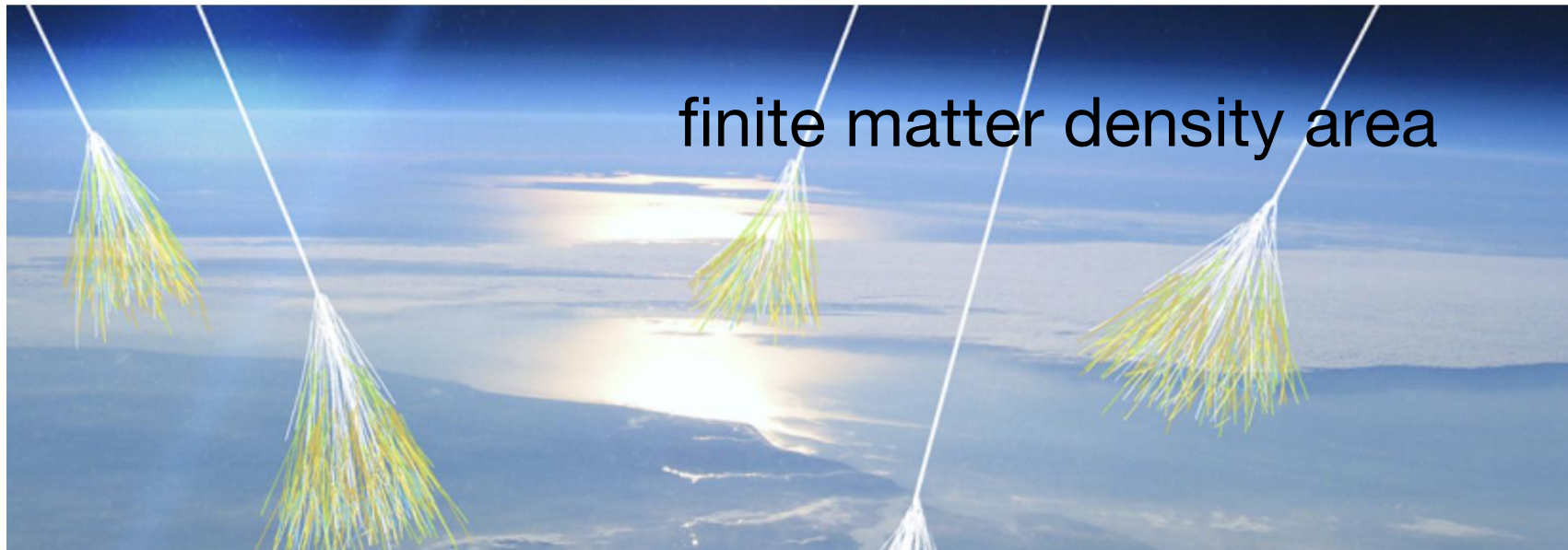
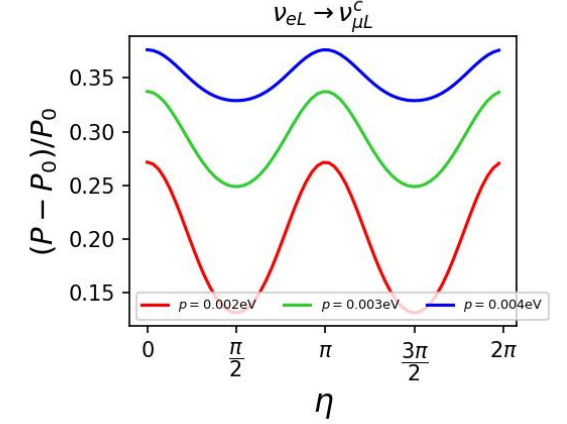
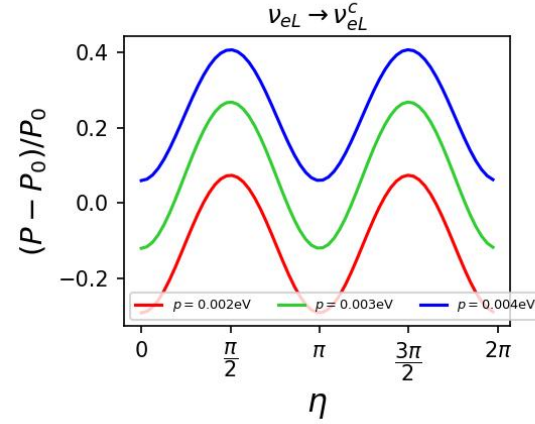
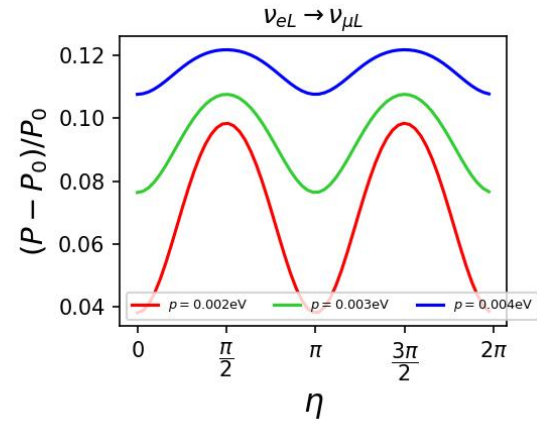
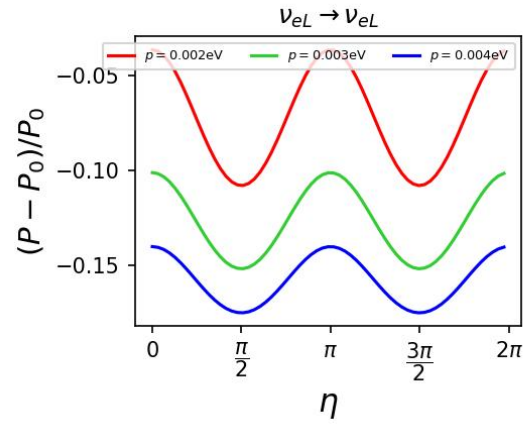
In terms of the mass eigenstate  $\psi_L = V \psi_L^m$ , the Lagrangian is

$$\mathcal{L} = \frac{1}{2} \left( \bar{\psi}^m (i \not{\partial} - \widehat{M}) \psi^m \right) - \bar{\psi}^m \tilde{J}^\mu \gamma_\mu \frac{1-\gamma_5}{2} \psi^m, \quad \psi^m = \psi_L^m + (\psi_L^m)^c, \quad \tilde{J}^\mu = V^\dagger J^\mu V.$$

$$(i \not{\partial} - \widehat{M}) \psi^m - \tilde{J}^\mu \gamma_\mu \frac{1-\gamma_5}{2} \psi^m + (\tilde{J}^\mu)^* \gamma_\mu \frac{1+\gamma_5}{2} \psi^m = 0.$$

$$H = \begin{pmatrix} \frac{\rho}{2}(1 + \cos 2\theta) - \mathbf{p} \cdot \boldsymbol{\sigma} & m & \frac{\rho}{2} e^{i\eta} \sin 2\theta & 0 \\ m & \mathbf{p} \cdot \boldsymbol{\sigma} - \frac{\rho}{2}(1 + \cos 2\theta) & 0 & -\frac{\rho}{2} e^{-i\eta} \sin 2\theta \\ \frac{\rho}{2} e^{-i\eta} \sin 2\theta & 0 & \frac{\rho}{2}(1 - \cos 2\theta) - \mathbf{p} \cdot \boldsymbol{\sigma} & M \\ 0 & -\frac{\rho}{2} e^{i\eta} \sin 2\theta & M & \mathbf{p} \cdot \boldsymbol{\sigma} - \frac{\rho}{2}(1 - \cos 2\theta) \end{pmatrix}$$

$$h = -1, \rho_W = 0.0026\text{eV}, \rho_Z = -0.0013\text{eV}, \theta = 33.41^\circ, m = 10^{-3}\text{eV}, M^2 - m^2 = 7.41 \times 10^{-5}\text{eV}^2.$$



Compare neutrino with different momentum detection to extract information about eta.

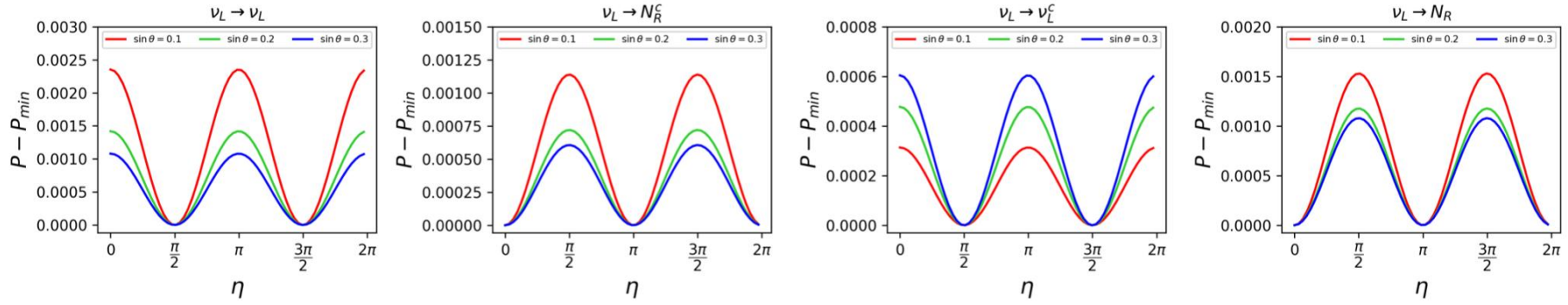


FIG. 3: The time averaged Majorana phase  $\eta$  effects on probabilities. The vertical axis is the difference between time averaged probabilities and their minimum values. The oscillating probabilities depend on the mixing angle  $\theta$ . Other parameters are chosen from a point in matter effect significant region in Fig. 2(a).

$$h = -1, \rho_W = 0.0026\text{eV}, \rho_Z = -0.0013\text{eV}, \theta = 33.41^\circ, m = 10^{-3}\text{eV}, M^2 - m^2 = 7.41 \times 10^{-5}\text{eV}^2.$$

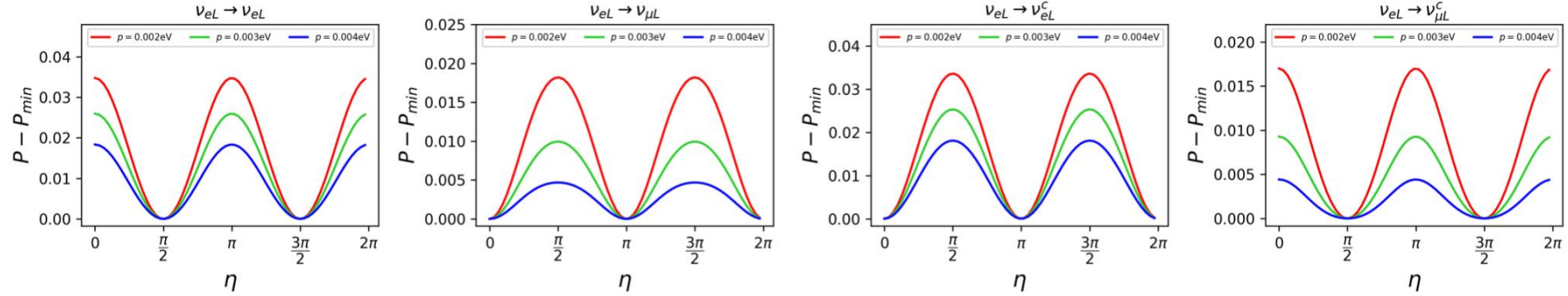


FIG. 4: The time averaged Majorana phase  $\eta$  effects on probabilities in two active Majorana neutrinos case. The vertical axis is the difference between time averaged probabilities and their minimum values. The red, green and blue lines are corresponding to  $p = 0.002\text{eV}$ ,  $0.003\text{eV}$  and  $0.004\text{eV}$ , respectively. The mixing angle  $\theta = \theta_{12}$  and mass square difference  $M^2 - m^2 = \Delta m_{21}^2$ , whose values are from [1].  $\rho_W = 0.0026\text{eV}$  and  $\rho_Z = -0.0013\text{eV}$  are corresponding the mass density  $a_p = 3.4 \times 10^{10}\text{g/cm}^3$  and  $a_n = 3.4 \times 10^{10}\text{g/cm}^3$ , respectively, so the total mass density  $a \approx 6.8 \times 10^{10}\text{g/cm}^3$ , which could be found in neutron star cluster [12].

# Neutrino Mixing Pattern

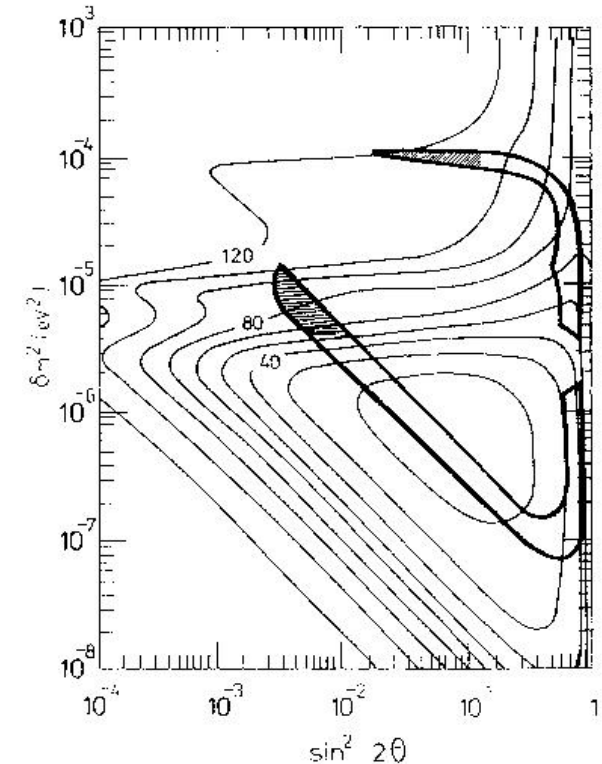
Early days, expecting neutrino mixing might be following a similar pattern as quarks, mixing angles are small.

For example, 1992 people are trying to produce mixing on the right

$\sim 10^{-3}$  to  $0.3 \text{ eV}^2$  [4], and the allowed parameter

$\sin^2 2\theta$

FIG. 1. The figure shows (boldly outlined) the two regions in  $\sin^2 2\theta_{12} - \delta m_{12}^2$  space allowed to solve the solar neutrino problem. The solid contour lines are the  $\nu_e$  flux from the Sun.



(Davies and He, PRD46, 3208)

But both solar and atmospheric show large mixing angles!



# Very different quark and lepton mixing patterns

Mixing pattern in quark sector

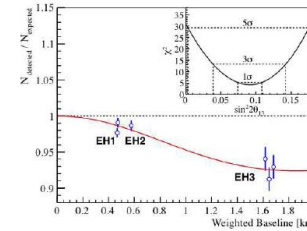
$$V_{CKM} \sim \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$$

$$\begin{pmatrix} 0.97428 \pm 0.00015 & 0.2253 \pm 0.0007 & 0.00347^{+0.00016}_{-0.00012} \\ 0.2252 \pm 0.0007 & 0.97345^{+0.00015}_{-0.00016} & 0.0410^{+0.0011}_{-0.0007} \\ 0.00862^{+0.00026}_{-0.00020} & 0.0403^{+0.0011}_{-0.0007} & 0.999152^{+0.000030}_{-0.000045} \end{pmatrix}$$

Mixing pattern in lepton sector

$$|U| = \begin{pmatrix} 0.801 \rightarrow 0.845 & 0.514 \rightarrow 0.580 & 0.137 \rightarrow 0.158 \\ 0.225 \rightarrow 0.517 & 0.441 \rightarrow 0.699 & 0.614 \rightarrow 0.793 \\ 0.246 \rightarrow 0.529 & 0.464 \rightarrow 0.713 & 0.590 \rightarrow 0.776 \end{pmatrix},$$

$$\theta_{12}^Q = 13.021^\circ \pm 0.039^\circ, \quad \theta_{23}^Q = 2.350^\circ \pm 0.052^\circ, \\ \delta^Q = 0.199^\circ \pm 0.008^\circ, \quad \delta^Q = 68.9^\circ$$



ArXiv:1203.1669 (hep-ex)

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$$

Read as  $\theta_{13} = 8.8^\circ$  with significance  $5.2\sigma$

More precise data needed to determine mixing pattern and neutrino mass hierarchies:  
T2K, NOvA, JUNO, DUNE ... Stay tuned!

Theory before and after Daya-Bay/Reno results

Before: popular mixing -The Tribimaximal Mixing

Harrison, Perkins, Scott (2002), Z-Z. Xing (2002), He& Zee (2003)

The mixing pattern is consistent, within  $2\sigma$ , with the tri-bimaximal mixing

$$V_{tri-bi} = \begin{pmatrix} -\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

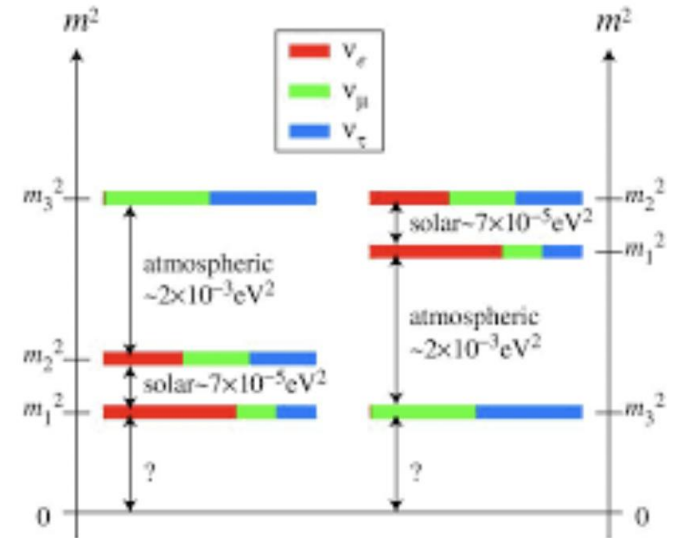
A4 a promising model (Ma&Ranjasekara, 2001) and realizations (Altarelli&Feruglio 2005, Babu&He 2005). Later many realizations: S4, D3, S3, D4, D7, A5, T', S4,  $\Delta(27, 96)$ ,  $PSL_2(7)$  ... discrete groups Altarelli&Feruglio for review. (H. Lam; Mohapatra et al), T. Mahanthanpa&M-C. Chen; Frampton&Kephart; Y-L Wu, ....

After: Need to have a nonzero  $\theta_{13}$

Modification to tri-bimaximal mixing pattern need to be made. (Keum&He&Volkas; He&Zee, 2006).

In fact, more generically,  $A_4$  symmetry leads to

$$V = \begin{pmatrix} \frac{2c}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{2se^{i\delta}}{\sqrt{6}} \\ -\frac{c}{\sqrt{6}} - \frac{se^{-i\delta}}{\sqrt{2}} & \frac{1}{\sqrt{3}} & \frac{c}{\sqrt{2}} - \frac{se^{i\delta}}{\sqrt{6}} \\ -\frac{c}{\sqrt{6}} - \frac{se^{-i\delta}}{\sqrt{2}} & \frac{1}{\sqrt{3}} & -\frac{c}{\sqrt{2}} - \frac{se^{i\delta}}{\sqrt{6}} \end{pmatrix}$$

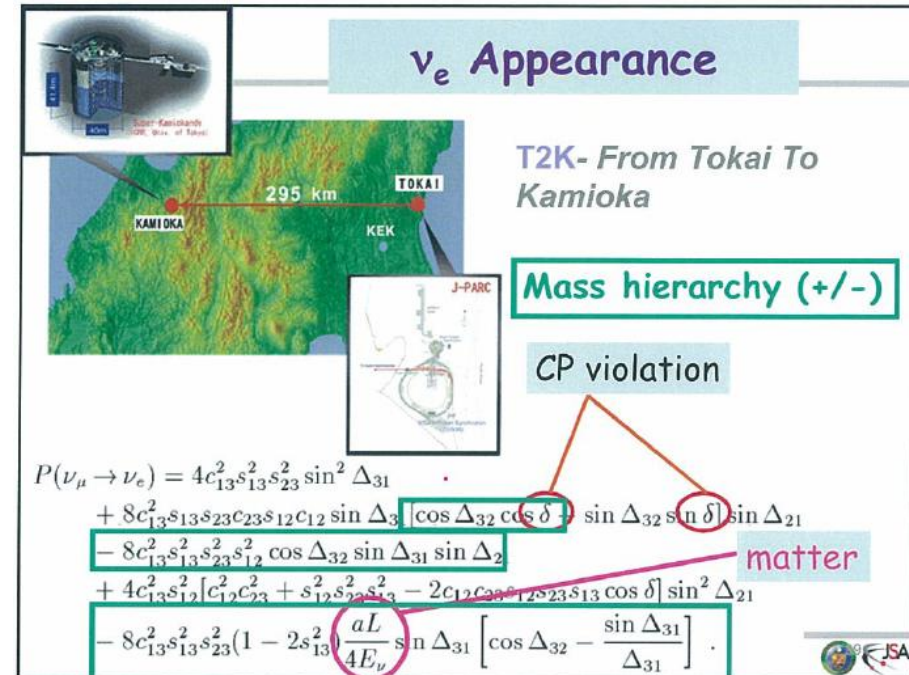


Mixing pattern very different than their quark counterparts. Mass hierarchy not yet determined!

# CP Violation In Neutrino Oscillation

**Table 14.6:** Experiments contributing to the present determination of the oscillation parameters.

Experiment	Dominant	Important
Solar Experiments	$\theta_{12}$	$\Delta m_{21}^2, \theta_{13}$
Reactor LBL (KamLAND)	$\Delta m_{21}^2$	$\theta_{12}, \theta_{13}$
Reactor MBL (Daya-Bay, Reno, D-Chooz)	$\theta_{13},  \Delta m_{31,32}^2 $	
Atmospheric Experiments (SK, IC-DC)		$\theta_{23},  \Delta m_{31,32}^2 , \theta_{13}, \delta_{CP}$
Accel LBL $\nu_\mu, \bar{\nu}_\mu$ , Disapp (K2K, MINOS, T2K, NO $\nu$ A)	$ \Delta m_{31,32}^2 , \theta_{23}$	
Accel LBL $\nu_e, \bar{\nu}_e$ App (MINOS, T2K, NO $\nu$ A)	$\delta_{CP}$	$\theta_{13}, \theta_{23}$



$$P_{\nu_\mu \rightarrow \nu_e, (\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \approx 4 \sin^2 \theta_{13} \sin^2 \theta_{23} \frac{\sin^2 \Delta}{(1-A)^2} + \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \frac{\sin^2 A\Delta}{A^2}$$

$$+ 8 \alpha J_{CP}^{\max} \cos(\Delta \pm \delta_{CP}) \frac{\sin \Delta A}{A} \frac{\sin \Delta(1-A)}{1-A},$$

with

$$J_{CP}^{\max} = \cos \theta_{12} \sin \theta_{12} \cos \theta_{23} \sin \theta_{23} \cos^2 \theta_{13} \sin \theta_{13},$$

and

$$\Delta \equiv \frac{\Delta m_{31}^2 L}{4E_\nu}, \quad A \equiv \frac{2E_\nu V}{\Delta m_{31}^2},$$

$V$  is the effective matter potential in the Earth's crust

$$J_{CP}^{\max} = 0.03359 \pm 0.0006 (\pm 0.0019)$$

**There maybe CP violation in neutrino scillation. Need more confirmation!**

# Model Building with $\theta_{12}=\pi/4$ and $\delta_{CP} = 3\pi/(-\pi/2)$

Structure of the mass matrix (charged lepton is already diagonal)

$$m_\nu = \begin{pmatrix} A & C & C^* \\ C & D^* & B \\ C^* & B & D \end{pmatrix} \quad \begin{array}{l} \text{Grimus and} \\ \text{Lavora; Xing et al} \\ \text{and others} \end{array}$$

A4 model realizations!

(X-G He, Chin. J. Phys 53, 100101(2015);

X-G He and G-N Li, Phys. Lett. B750,620(2015);

E Ma, Phys. Rev. D92, 051301(2015).

Group, Modular theory, Gui-Jun Ding ....

## Grand Unification Theory SO(10) Predictions

$$16_F(Y_{10}10_H + Y_{126}\overline{126}_H + Y_{120}120_H)16_F$$

### Minimal SO(10) Model without 120

$$\mathcal{L}_{\text{Yukawa}} = Y_{10} 16 16 10_H + Y_{126} 16 16 \overline{126}_H$$

$$M_u = \kappa_u Y_{10} + \kappa'_u Y_{126}$$

$$M_d = \kappa_d Y_{10} + \kappa'_d Y_{126}$$

$$M_\nu^D = \kappa_u Y_{10} - 3\kappa'_u Y_{126}$$

$$M_l = \kappa_d Y_{10} - 3\kappa'_d Y_{126}$$

$$M_{\nu R} = \langle \Delta_R \rangle Y_{126}$$

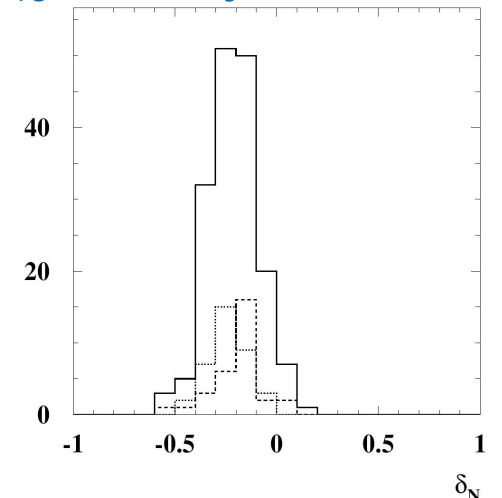
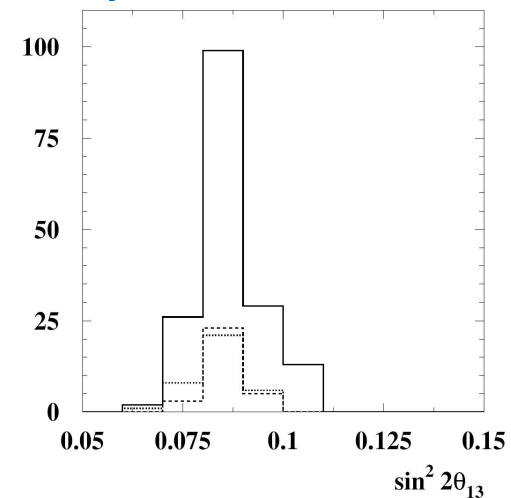
$$M_{\nu L} = \langle \Delta_L \rangle Y_{126}$$

Model has only 11 real parameters plus 7 phases

Loop generated Dirac mass models also work!

Ex: Babu, He, Su & Thapa, JHEP 08 (2022) 140

Good prediction for  $\theta_{13}\delta$  Away from  $-\pi/2!$



More data will help to finally determine mixing pattern, whether there is CPV!

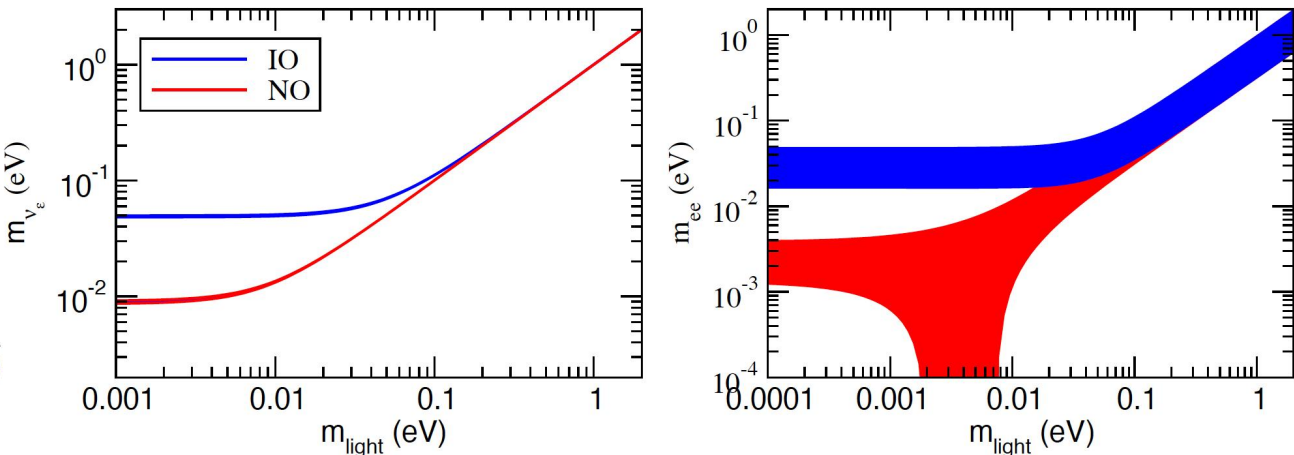
# Absolute Neutrino masses and Neutrinos Are Dirac Or Majorana Particle?

## Tritium decay spectrum Katrin, Mainz, Troits



$$\frac{dN}{dE} = C p E (Q - T) \sqrt{(Q - T)^2 - (m_{\nu_e}^{\text{eff}})^2} F(E) \equiv R(E) \sqrt{(E_0 - E)^2 - (m_{\nu_e}^{\text{eff}})^2}$$

$$1.1 \text{ eV} \geq m_{\nu_e}^{\text{eff}} = \sqrt{\sum_i m_i^2 |U_{ei}|^2} = \begin{cases} \sqrt{m_0^2 + \Delta m_{21}^2 (1 - c_{13}^2 c_{12}^2) + \Delta m_{32}^2 s_{13}^2} & \text{in NO,} \\ \sqrt{m_0^2 + \Delta m_{21}^2 c_{13}^2 c_{12}^2 - \Delta m_{32}^2 c_{13}^2} & \text{in IO,} \end{cases}$$

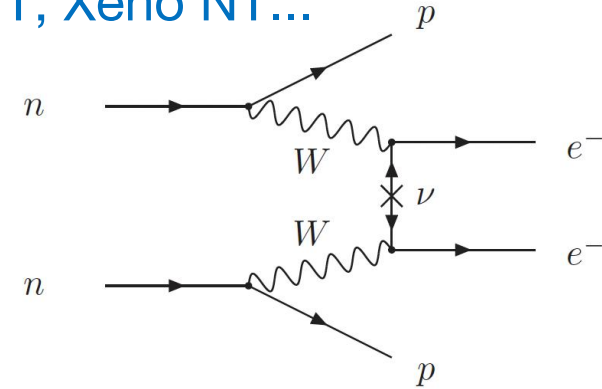


**Figure 14.11:** Allowed 95% CL ranges (1 dof) for the neutrino mass observable determined in  ${}^3\text{H}$  beta decay (left panel) and in  $0\nu\beta\beta$  (right panel) in the framework of  $3\nu$  mixing as a function of the lightest neutrino mass. The ranges are obtained by projecting the results of the global analysis of oscillation data (w/o SK-atm) in Ref. [184]. The region for each ordering is defined with respect to its local minimum.

## Neutrinoless double beta decay

$$m_{ee} = \left| \sum_i m_i U_{ei}^2 \right| = \begin{cases} \left| m_0 c_{12}^2 c_{13}^2 + \sqrt{\Delta m_{21}^2 + m_0^2 s_{12}^2 c_{13}^2} e^{2i(\eta_2 - \eta_1)} + \sqrt{\Delta m_{32}^2 + \Delta m_{21}^2 + m_0^2 s_{13}^2} e^{-2i(\delta_{\text{CP}} + \eta_1)} \right| & \text{in NO,} \\ \left| m_0 s_{13}^2 + \sqrt{m_0^2 - \Delta m_{32}^2 s_{12}^2 c_{13}^2} e^{2i(\eta_2 + \delta_{\text{CP}})} + \sqrt{m_0^2 - \Delta m_{32}^2 - \Delta m_{21}^2 c_{12}^2 c_{13}^2} e^{2i(\eta_1 + \delta_{\text{CP}})} \right| & \text{in IO,} \end{cases}$$

KamLAND-Zen, LEGEND, CUORE, GERDA...  
and more to come, PandaX NT, Xeno NT...



If neutrinoless double beta decay observed, neutrinos are Majorana particles!

# 3. Neutrinos In Cosmology And Astrophysics

In early universe, all energy forms existed in form of elementary particles, or ....  
Temperature is high and were in thermal equilibrium

Criteria for thermal equilibrium: particle interaction length  $1/\Gamma$  ( $\Gamma$  interaction rate) is smaller than Hubble length  $1/H_0$

???Planck mass  $T \sim 10^{19}\text{GeV}$

Inflation

Big Bang  $\sim T > 10^{16}\text{ GeV}$

(Not in thermal equilibrium by SM for particle physics)

Grand Unification  $\sim 10^{16}\text{ GeV}$

EW symmetry breaking  $300\text{GeV}$

Color confinement  $\sim 300\text{ MeV}$

BBN  $\sim 1\text{ MeV}$

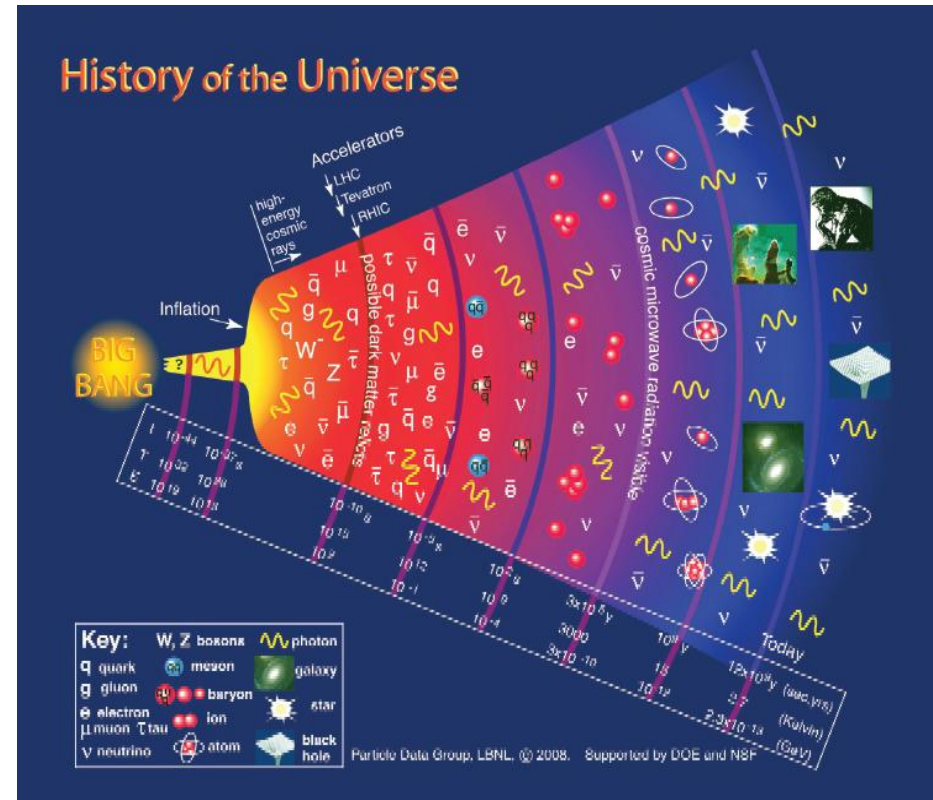
CvB  $\sim 1\text{ MeV}$

CMB  $\sim 0.3\text{ eV}$

Large structure formation

...

Today  $\sim 2.7\text{K}$



Relic neutrino density:

$$\frac{\rho_\nu}{\rho_\gamma} = \frac{7}{8} N_{\text{eff}} \left( \frac{4}{11} \right)^{4/3}$$

Big-Bang Nucleosynthesis:

$N_{\text{eff}} \sim 3$  light neutrinos,  
consistent with Z decay width.

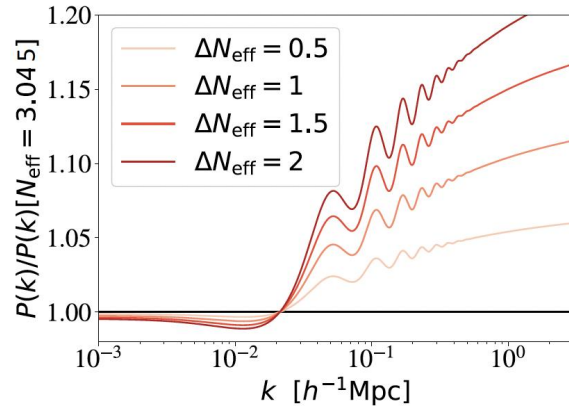


Table 26.1: Summary of  $N_{\text{eff}}$  constraints.

	Model	95%CL	Ref.
<b>CMB alone</b>			
P118[TT,TE,EE+lowE]	$\Lambda\text{CDM}+N_{\text{eff}}$	$2.92^{+0.36}_{-0.37}$	[22]
<b>CMB + background evolution + LSS</b>			
P118[TT,TE,EE+lowE+lensing] + BAO	$\Lambda\text{CDM}+N_{\text{eff}}$	$2.99^{+0.34}_{-0.33}$	[22]
" + BAO + R21	$\Lambda\text{CDM}+N_{\text{eff}}$	$3.34 \pm 0.14$ (68%CL)	[11]
"	" +5-params.	$2.85 \pm 0.23$ (68%CL)	[23]

Neutrino back ground temperature:  $T_\nu/T_\gamma = (4/11)^{1/3} = 1.95$  K

$$n_\gamma = 440/\text{cm}^3, \quad n_\nu = 339/\text{cm}^3$$

Energy density from neutrinos:

$$\Omega_\nu = \frac{\rho_\nu^0}{\rho_{\text{crit}}^0} = \frac{\sum m_\nu}{93.14 h^2 \text{ eV}}$$

# Neutrino Mass On CMB And Matter Spectrum

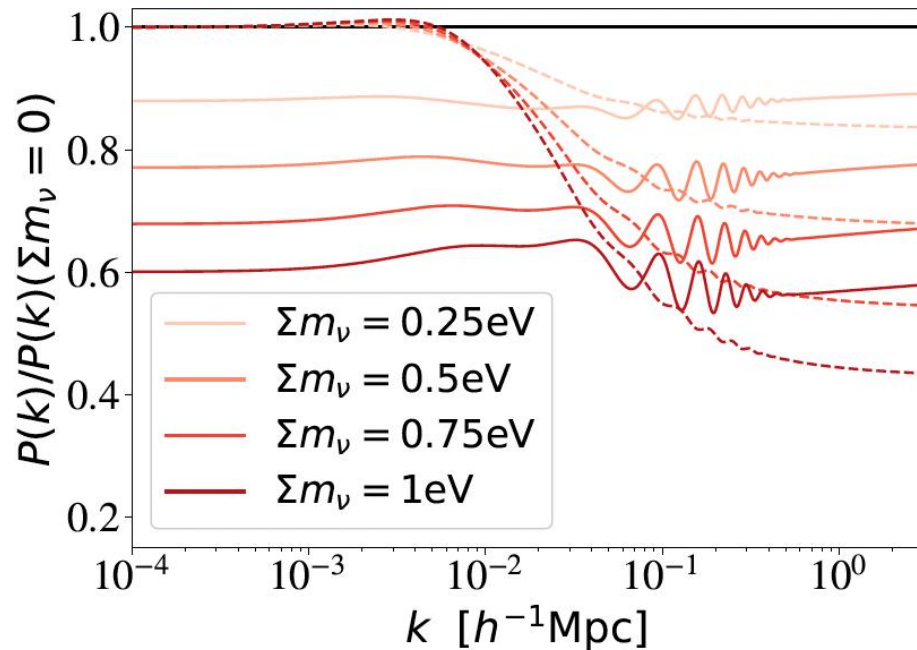


Table 26.2: Summary of  $\sum m_\nu$  constraints.

	Model	95% CL (eV)
<b>CMB alone</b>		
Pl18[TT+lowE]	$\Lambda$ CDM+ $\sum m_\nu$	< 0.54
Pl18[TT,TE,EE+lowE]	$\Lambda$ CDM+ $\sum m_\nu$	< 0.26
<b>CMB + probes of background evolution</b>		
Pl18[TT+lowE] + BAO	$\Lambda$ CDM+ $\sum m_\nu$	< 0.13
Pl18[TT,TE,EE+lowE]+BAO	$\Lambda$ CDM+ $\sum m_\nu$ +5 params.	< 0.515
<b>CMB + LSS</b>		
Pl18[TT+lowE+lensing]	$\Lambda$ CDM+ $\sum m_\nu$	< 0.44
Pl18[TT,TE,EE+lowE+lensing]	$\Lambda$ CDM+ $\sum m_\nu$	< 0.24
<b>CMB + probes of background evolution + LSS</b>		
Pl18[TT,TE,EE+lowE] + BAO + RSD	$\Lambda$ CDM+ $\sum m_\nu$	< 0.10
Pl18[TT+lowE+lensing] + BAO + Lyman- $\alpha$	$\Lambda$ CDM+ $\sum m_\nu$	< 0.087
Pl18[TT,TE,EE+lowE] + BAO + RSD + Pantheon + DES	$\Lambda$ CDM+ $\sum m_\nu$	< 0.13

The sum of the neutrino masses should be less than 0.6 eV.

Future, reach 0.06 eV reaching the allowed range by neutrino oscillation!  
 DESI, Euclid, LSST, SPHEREx, SKA.

Neutrinos play important role in BBN!

Cosmology constraint their masses comparable to neutrino oscillation data!

# Neutrino And Our Matter Universe

In our Universe, matter dominates over anti-matter

- Why this is so is the problem of Baryon Asymmetry of our Universe (BAU)

In cosmological terms, the problem is as follows

If initially, the universe is matter and anti-matter symmetric

$$n_B/n_\gamma = n_{\bar{B}}/n_\gamma \sim 10^{-20}$$

$n_B$  ( $n_{\bar{B}}$ ) - baryon (anti-baryon) number density,  $n_\gamma$  - photon number density

However observation, BBN and CMB, show that

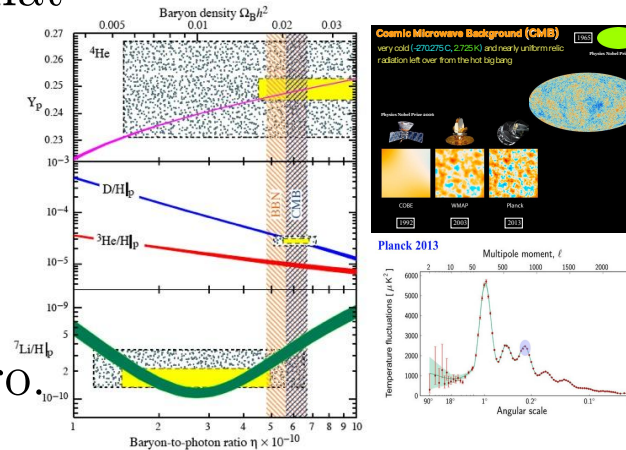
$$\eta = (n_B - n_{\bar{B}})/n_\gamma \sim 6 \times 10^{-10}$$

There is a  $10^{10}$  order of magnitude difference.

Initially, there is a baryon asymmetry?

But inflation will dilute any asymmetry to zero.

Possible to generate a  $\eta$  which fits observation from an, initially, matter anti-matter symmetry universe?



## Sakharov Conditions (1967)

Baryon Number B Violation

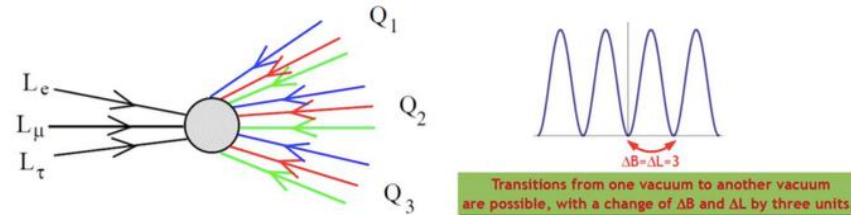
C and CP Violation

Interactions Out Of Thermal Equilibrium



# Standard Model Has All Ingredients, But Too Small

Baryon number violation: Sphelaron effects-tunneling effects from different vacuum states with non-zero baryon number differences. Violated  $B+L$ , but conserves  $B-L$ .



C and CP violation: Electroweak interaction violates C, and phase in Kobayashi-Maskawa mixing matrix violates CP.

Out of thermal equilibrium: Electroweak symmetry breaking

But, CP violation rate too small, out of thermal equilibrium too weak. Not enough to generate a large enough Baryon Asymmetry.

If Higgs mass is less than 70 GeV, second order phase transition at electroweak symmetry breaking, too weak.

$\eta \sim 10^{-20}$  Too small. Needs to go beyond SM!

Electroweak baryogenesis, Leptogenesis, Gut baryogenesis....

# Leptogenesis

Fukugita and Yanagida, PLB174, 45(1986)

Translate lepton number asymmetry generated in the early universe to baryon number asymmetry!

Requires lepton asymmetry generated before Sphelaron effects to be in effective ( $T \sim 10^{12} - \text{a few TeV}$ ). Initial  $a_L(i)=a$ ,  $a_B(i)=0$ .

Sphelaron effect: Conserve B-L, but violates B+L

After:  $a_L(f)+a_B(f) = 0$ ,  $a_L(i) - a_B(i) = a_L(f) - a_B(f)$

$$a_L(f) = a/2; \quad a_B(f) = -a/2$$

half of initial lepton asymmetry will be translated into baryon asymmetry if complete.

SM Sphelaron effect:  $a_B = - (28/79)a_L$

# Seesaw Model Plays The Right Role

$$L_M = -\bar{L}_L Y_e \tilde{H} E_R - \bar{L}_L Y_\nu H \nu_R - \frac{1}{2} (\bar{\nu}_L, \bar{\nu}_R^c) M^\nu \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + H.C.$$

The last term violates lepton number L by two units!

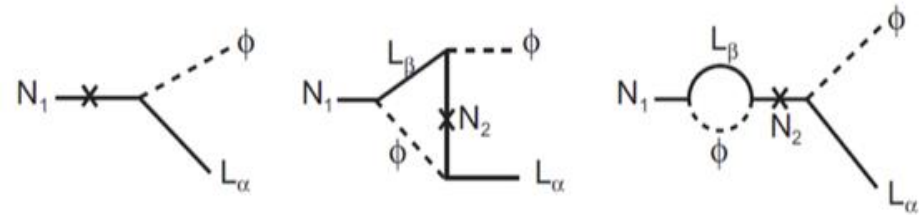
Out of thermal equilibrium decay, new CP violation in  $N \rightarrow L h(\phi)$

$N$  decays into L and anti-L differently

$$a_i \approx -\frac{1}{8\pi} \frac{1}{[\hat{Y}_\nu \hat{Y}_\nu^\dagger]_{ii}} \sum_j \text{Im}\{[\hat{Y}_\nu \hat{Y}_\nu^\dagger]_{ij}^2\} f\left(\frac{M_j^2}{M_i^2}\right)$$

$$a_i = \frac{\Gamma(N_i \rightarrow L\phi) - \bar{\Gamma}(N_i \rightarrow \bar{L}\phi)}{\Gamma(N_i \rightarrow L\phi) + \bar{\Gamma}(N_i \rightarrow \bar{L}\phi)}$$

$$f(x) = \sqrt{x} \left( \frac{2}{x-1} + \ln \frac{1+x}{x} \right).$$



$M_N$  and  $m_\nu$  masses are correlated to obtain the right number for  $\eta$ ,  
 $m_\nu$  of order 0.05 eV,  $M_N \sim 1000$  GeV.

Neutrino Seesaw model is a viable model for Baryon Asymmetry of our Universe

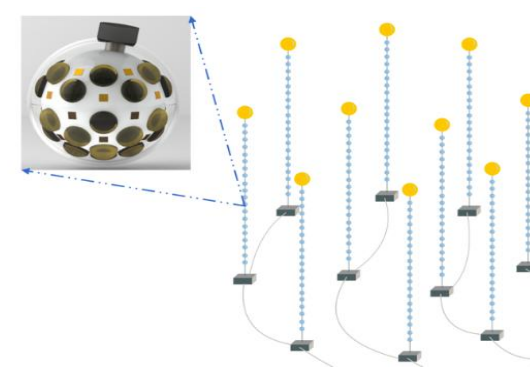
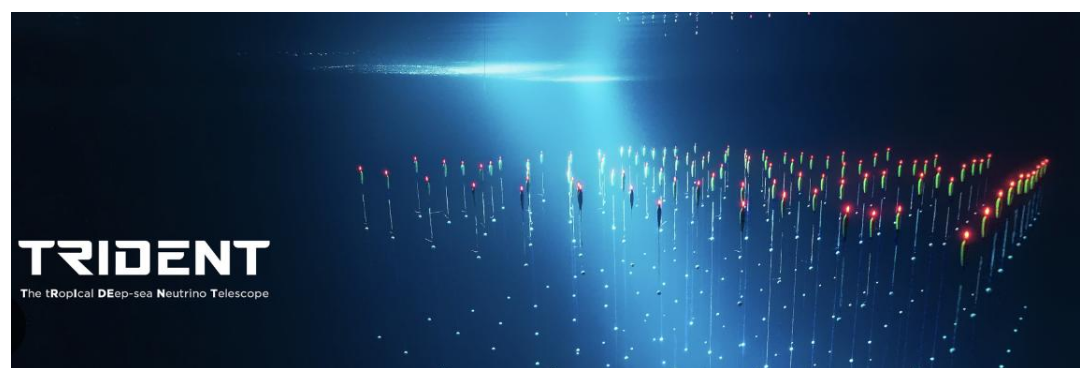
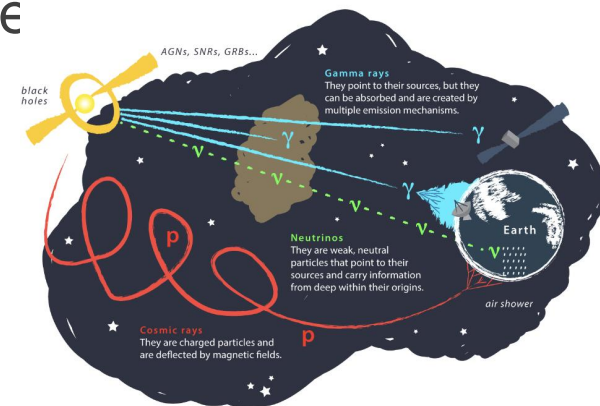
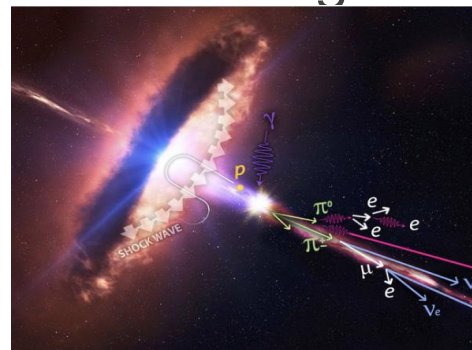
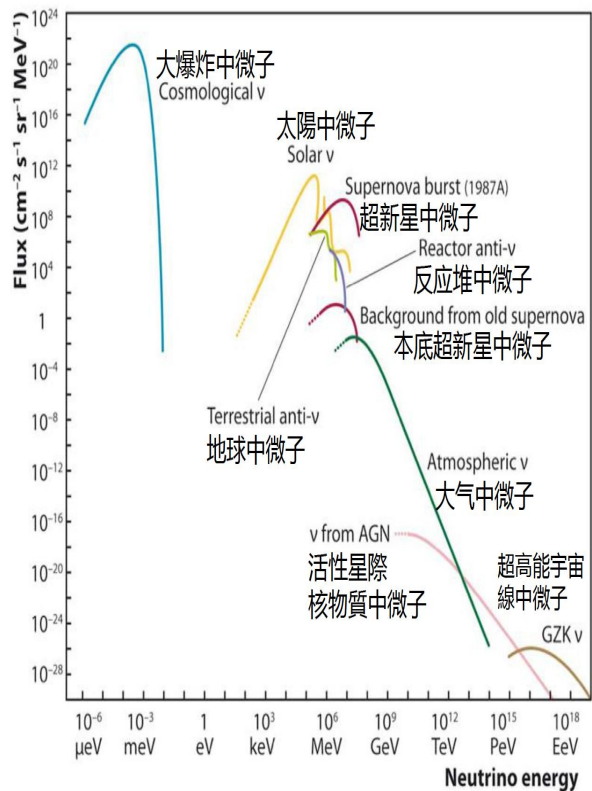
# Cosmic Neutrino Ray As Messenger For Our Universe

Where the very high energy neutrino ray come from? How they are accelerated to have such high energy

Any use of the neutrino cosmic ray?

Like we use neutrino beam on earth to probe new interactions, human being can use cosmic neutrino beams as messengers to probe our universe with much less disturbances compared with hadrons, photons!

Extremely low energy: CvB and super high energy neutrino rays.



Messenger for our universe! TDLI trident project is an initiative for this!

**Thank you for your attentions!**