


New aspects in orientifold planes

Sung-Soo Kim

(University of Electronic Science and Technology of China)

2023-11-14

with Hirotaka Hayashi, Kimyeong Lee, Futoshi Yagi [2306.11631]  Today
Hee-Cheol Kim, Minsung Kim, Gabi Zafrir [2307.03231]
Xiaobin Li, Satoshi Nawata, Futoshi Yagi [in progress]

I will talk about 5d $\mathcal{N} = 1$ supersymmetric theories.

Yesterday, we had two interesting talks [Piljin, Yi-Nan] with a very well summarized introduction on 5d SCFTs.

This talk will be a continuation of 5d SCFT story from Type IIB branes

I would like to report an interesting observation, two different classes of gauge theories can be connected, not as a duality nor as a Higgsing.

This observation is based on Type IIB 5-brane descriptions involving an orientifold 7-plane ($O7^-$ or $O7^+$).

M-theory constructions

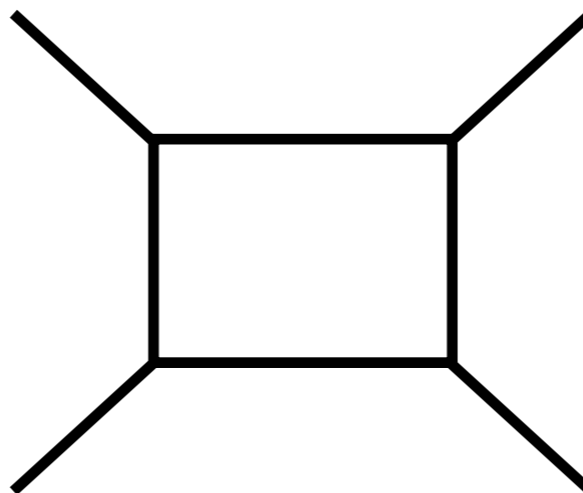
M-theory on non-compact Calabi-Yau 3 fold (CY3)

[Yi-Nan's talk]

M2 wrapping compact 2-cycles \Leftrightarrow BPS particle mass
= vol(2-cycles)

M5 wrapping compact 4-cycles \Leftrightarrow monopole string tension
= vol(4-cycles)

e.g., $\mathbb{P}^1 \times \mathbb{P}^1$

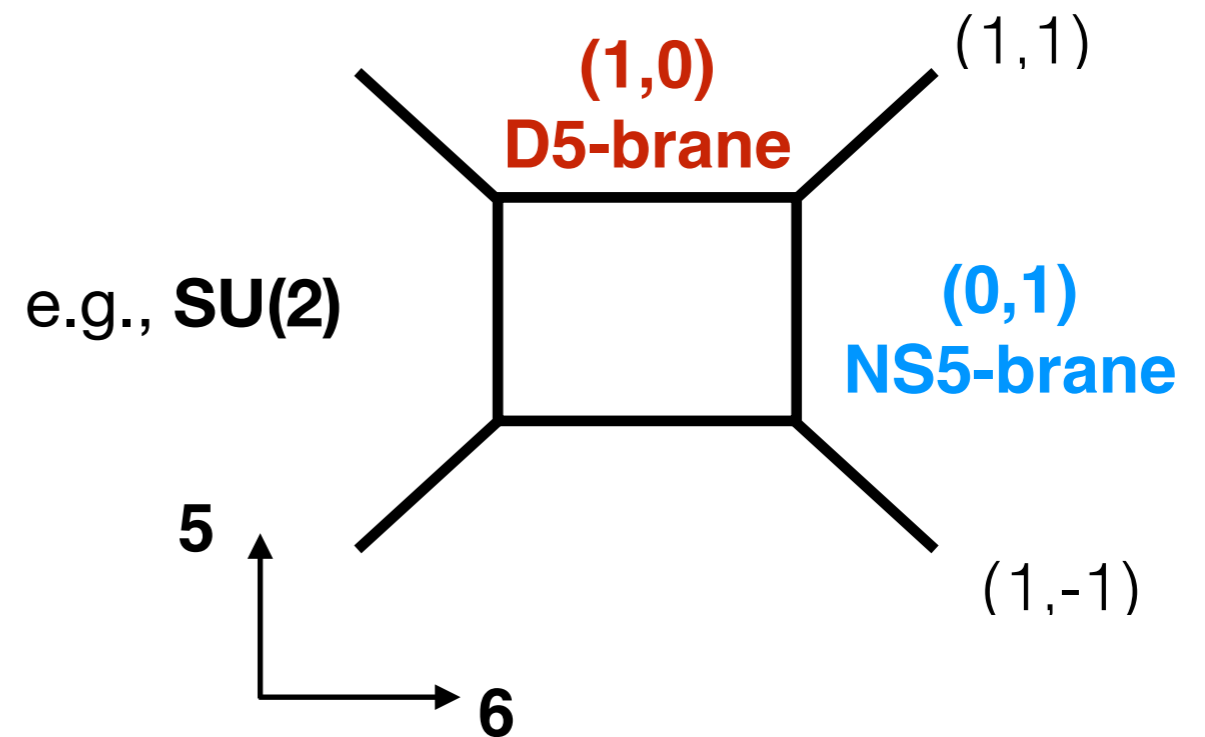


Type IIB brane (p,q) 5-brane

Theories with 8 supercharges are described by D-branes and NS5-branes.

	0	1	2	3	4	5	6	7	8	9
NS5	x	x	x	x	x	x				
D5	x	x	x	x	x		x			
(1,1)	x	x	x	x	x	-	-			
D7	x	x	x	x	x			x	x	x

5d world vol.

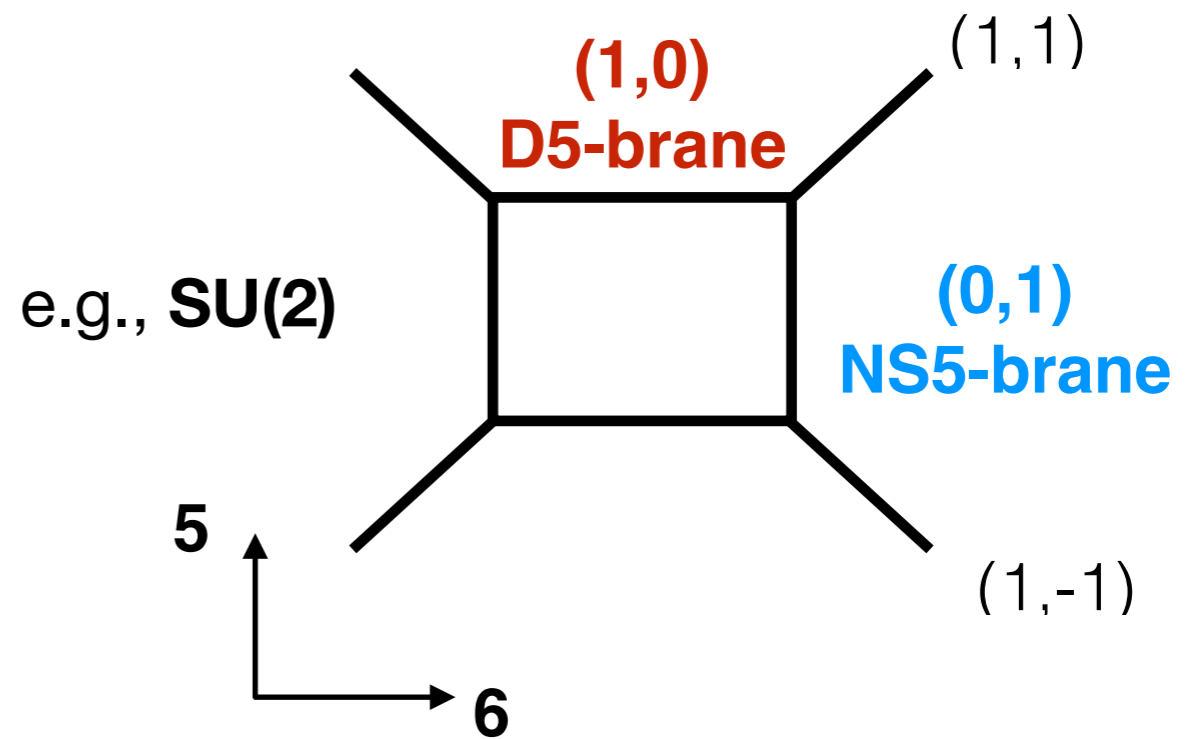


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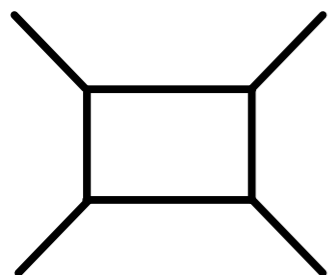
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(1,1)	x	x	x	x	x	-	-			
D7	x	x	x	x	x			x	x	x

5d world vol.

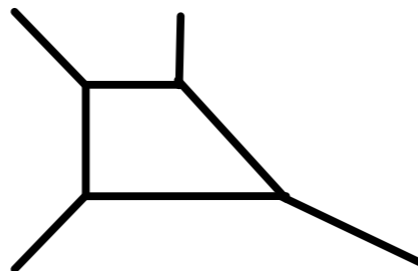


$$\pi_4(\text{SU}(2)) = \mathbb{Z}_2$$

[Piljin's talk]



$\text{SU}(2)_0$



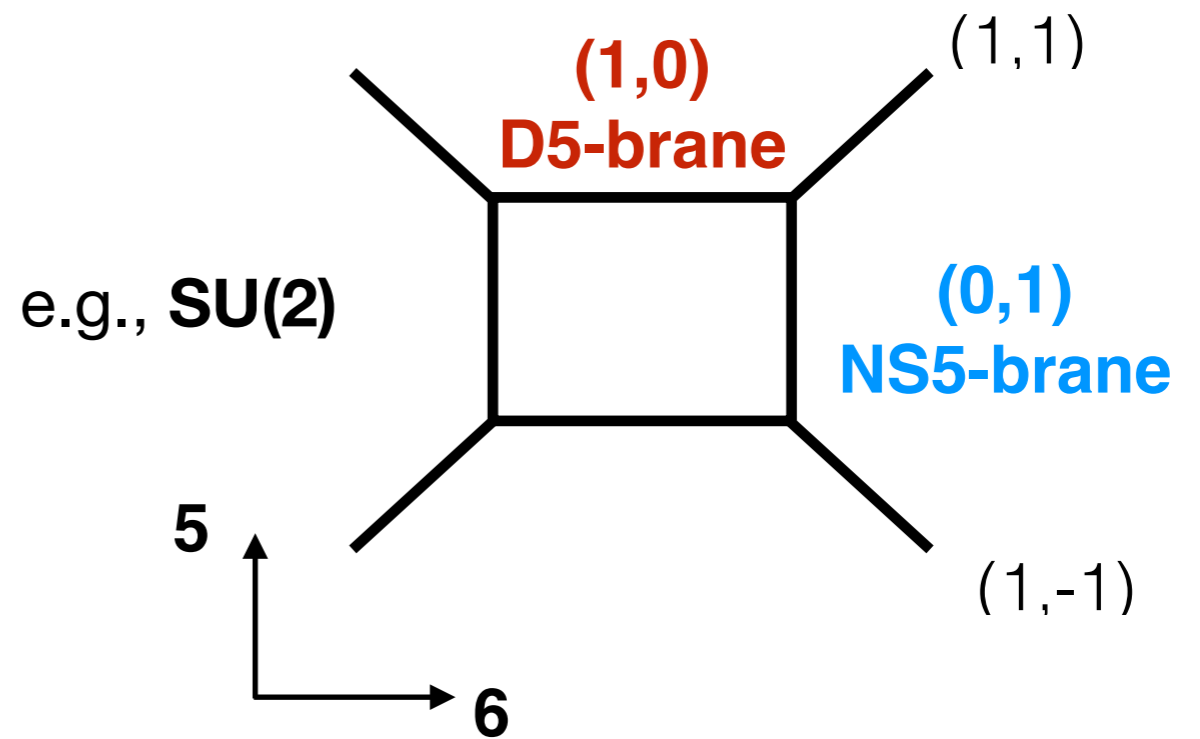
$\text{SU}(2)_\pi$

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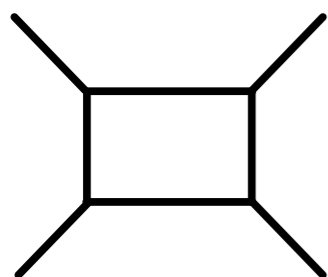
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(1,1)	x	x	x	x	x	-	-			
D7	x	x	x	x	x			x	x	x

5d world vol.

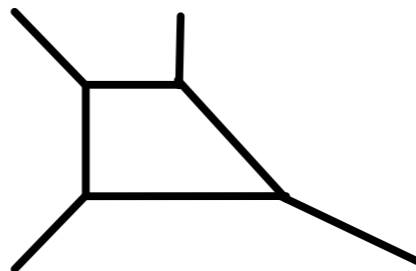


$$\pi_4(\text{SU}(2)) = \mathbb{Z}_2$$

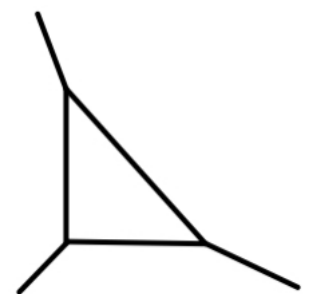
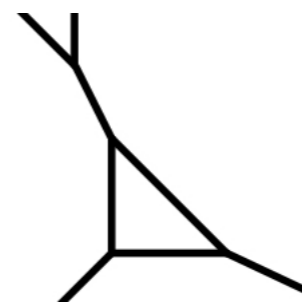
[Piljin's talk]



$\text{SU}(2)_0$



$\text{SU}(2)_\pi$

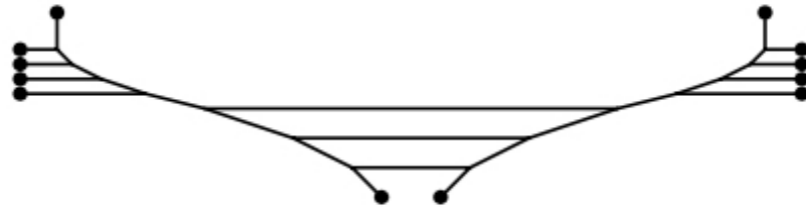


E_0

Matters

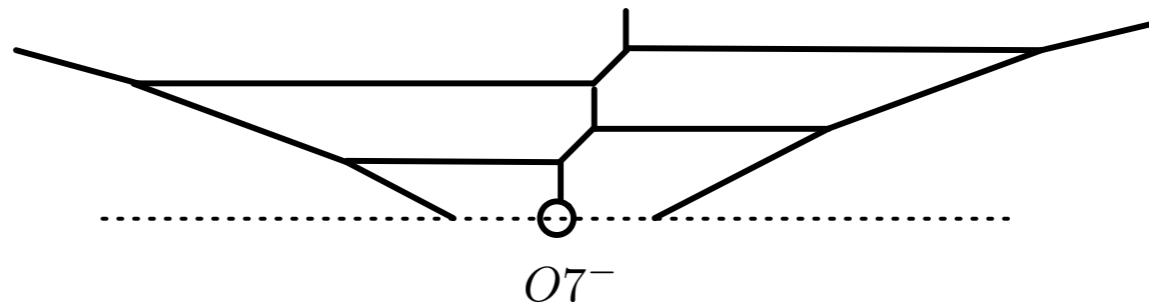
Matter (hypermultiplets)

- **Fundamental** hypers (**F**) can be described by introducing D7s

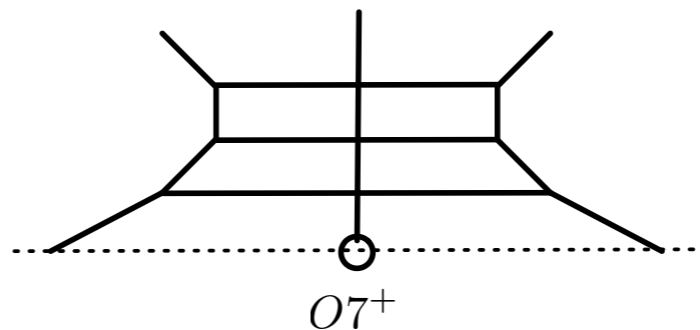


$$SU(3)_1 + 8F$$

- **Antisymmetric hypers** (**AS**) : half NS5 on an $O7^-$



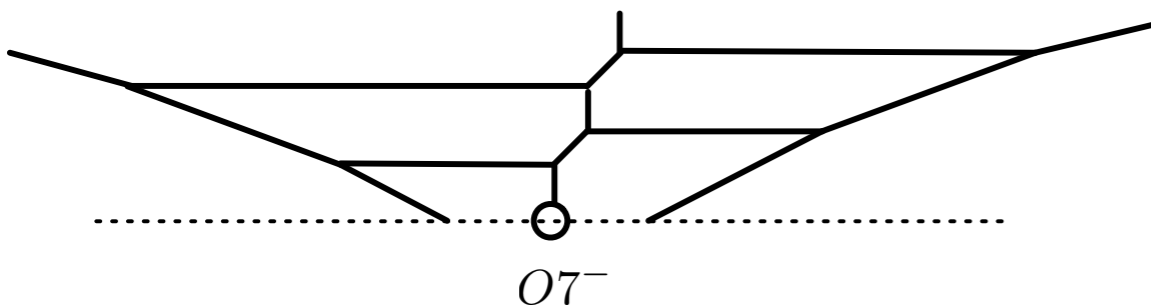
- **Symmetric hypers** (**Sym**) : half NS5 on an $O7^+$



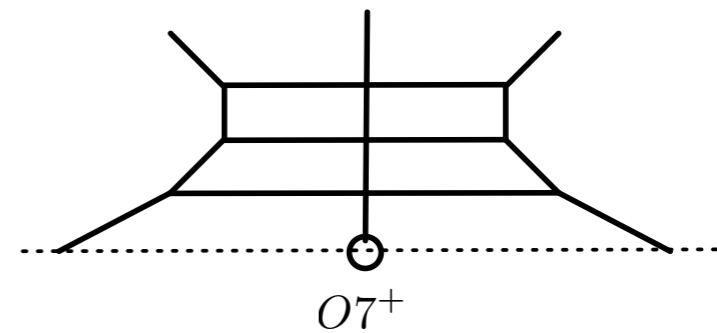
5-brane webs with O7-plane

With orientifold planes, 5-brane webs can describe $SU(N) + \mathbf{AS}$ or \mathbf{Sym} and also Sp / SO gauge theories

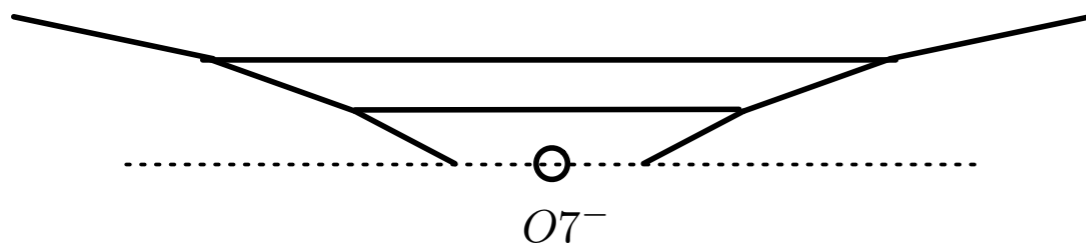
$SU + 1\mathbf{AS}$



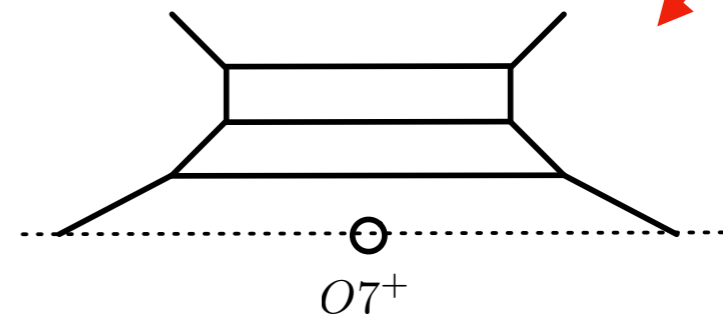
$SU + 1\mathbf{Sym}$



Sp



SO



Higgsing
NS5-brane



An observation *O7*-plane

[2306.11631] Hirotaka Hayashi, SSK, Kimyeong Lee, Futoshi Yagi

$O7^-$ vs. $O7^+$

It is well known that the monodromy of $O7^- + 8D7$ is the same as $O7^+$

$$O7^- + 8D7 \longleftrightarrow O7^+$$

Monodromy: $\begin{pmatrix} -1 & 4 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 1 & 8 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} -1 & -4 \\ 0 & -1 \end{pmatrix}$

It is also well known that their brane charges are the same

$$O7^- + 8D7 \longleftrightarrow O7^+$$

It is tempting to think that there may be **some intriguing relations...**

$$\begin{array}{ccc} \text{SU}(N)+1\mathbf{AS}+8\mathbf{F} & & \text{SU}(N)+1\mathbf{Sym} \\ \text{Sp}(N)+8\mathbf{F} & \longleftrightarrow & \text{SO}(2N) \end{array}$$

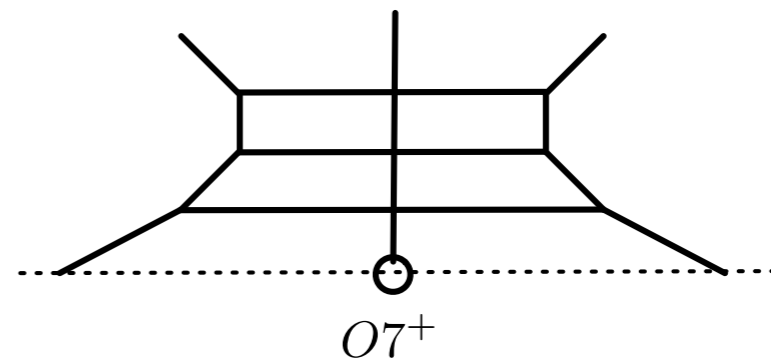
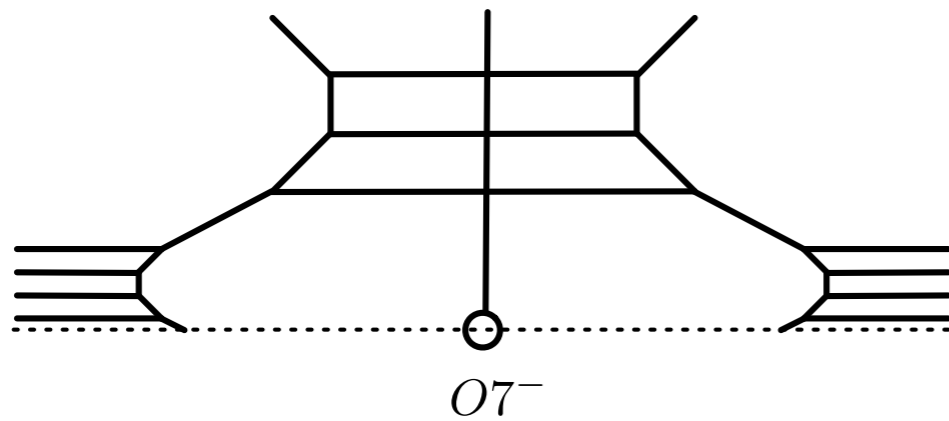
From 5-brane webs

5-branes also suggest an interplay between $O7^+$ and $O7^- + 8D7$

$SU(2N) + 1\mathbf{AS} + 8\mathbf{F}$

vs.

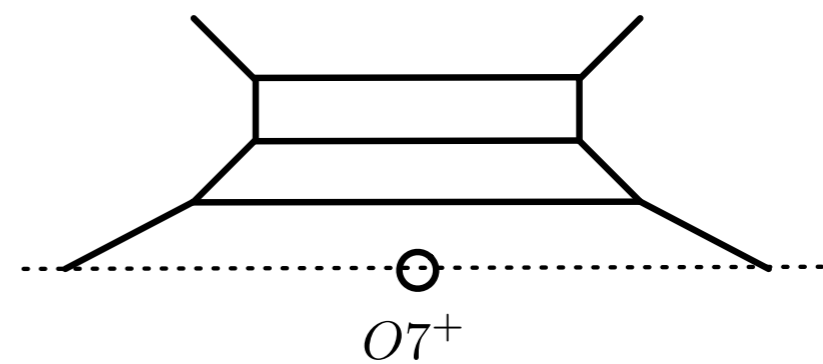
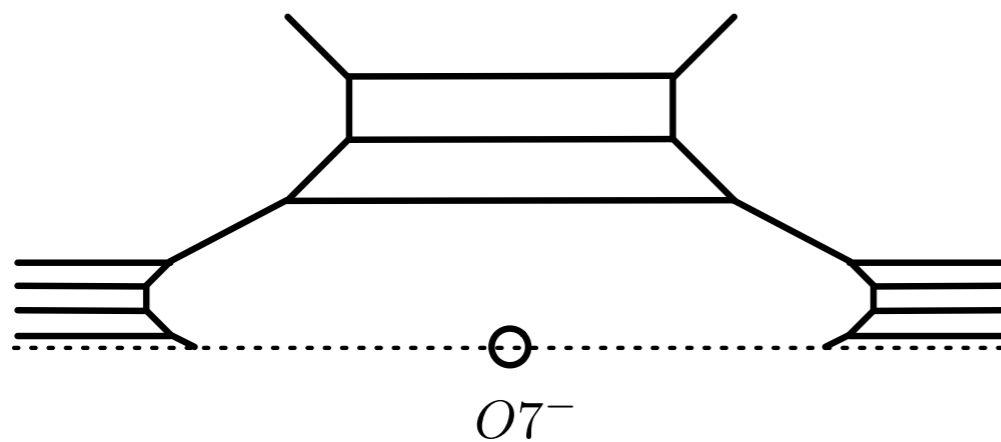
$SU(2N) + 1\mathbf{Sym}$



$Sp(N) + 8\mathbf{F}$

vs.

$SO(2N)$



Cubic prepotential

On Coulomb branch

- Prepotential characterizing the abelian low energy effective theory

$$\mathcal{F} = \frac{1}{2g_0^2} h_{ij} \phi^i \phi^j + \frac{\kappa}{6} d_{ijk} \phi^i \phi^j \phi^k + \frac{1}{12} \left(\sum_{\text{Roots}} |R \cdot \phi|^3 - \sum_f \sum_{w \in W_f} |w \cdot \phi + m_f|^3 \right)$$

$$h_{ij} = \text{Tr}(T_i T_j), \quad d_{abc} = \frac{1}{2} \text{Tr} T_a (T_b T_c + T_c T_b), \quad W_f = \text{Weight of } G \text{ in the rep. } r_f$$

[96 Morrison-Seiberg]
[97 Intriligator-Morrison-Seiberg]

By specially tuning mass parameters (**freezing**)

$O7^+$

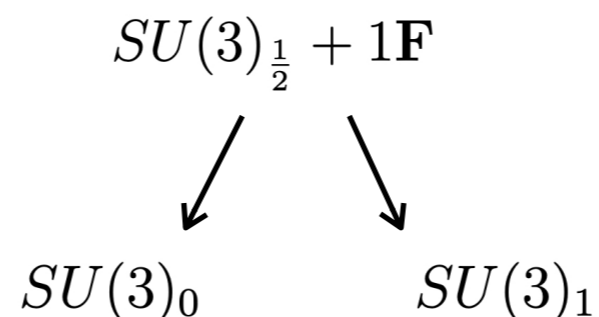
$O7^- + 8D7$

$$\mathcal{F}_{\text{SU}(N)_{\kappa+1\text{Sym}}} = \mathcal{F}_{\text{SU}(N)_{\kappa+8\mathbf{F}+1\mathbf{AS}}}$$

$$\mathcal{F}_{\text{SO}(2N)} = \mathcal{F}_{\text{Sp}(N)+8\mathbf{F}}$$

Chern-Simons level shifts

Along RG flows or decouplings of hypermultiplets, the Chern-Simons level is shifted:



CS level shifts:

$$\kappa \rightarrow \kappa \pm \frac{1}{2} I^{(3)}$$

Cubic Dynkin Index $I^{(3)}$

Hypermultiplet	$I^{(3)}$
F	1
AS	$N - 4$
Sym	$N + 4$

$$I_{\text{Sym}}^{(3)} = I_{\text{AS}}^{(3)} + 8I_{\text{F}}^{(3)}$$

Observation

From the monodromy, prepotential, 5-brane webs, and CS level shifts,

$$O7^+ \leftarrow O7^- + 8D7s$$

It is done by tuning masses of flavors by special values.

So far, it seems to work, at least **perturbatively** or by accident(?).

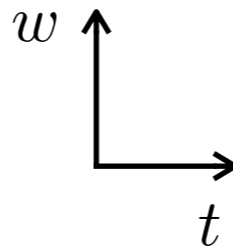
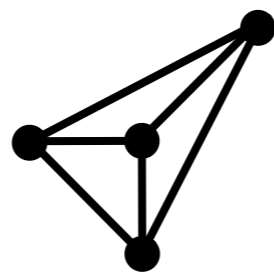
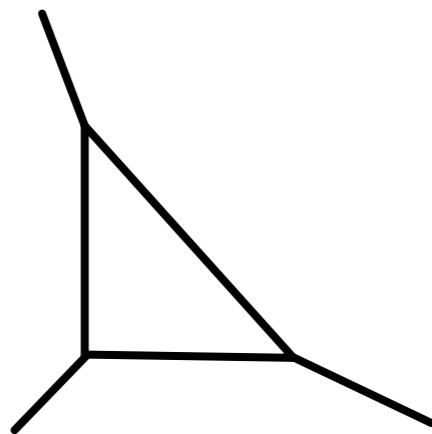
What about **non-perturbatively**?

Beyond perturbative level

- Seiberg-Witten (SW) curves

From dual diagram or generalized toric diagram, we can compute SW curves.

Simple example: E_0 theory (or local \mathbb{P}^2)



$$wt + u + w^{-1} + t^{-1} = 0$$

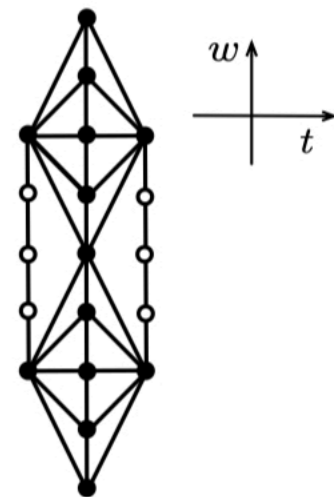
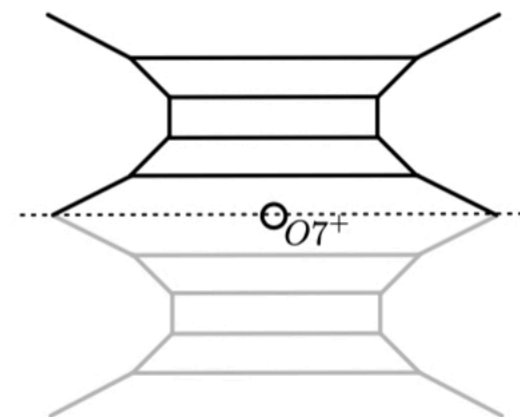
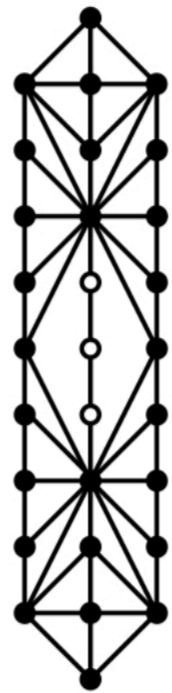
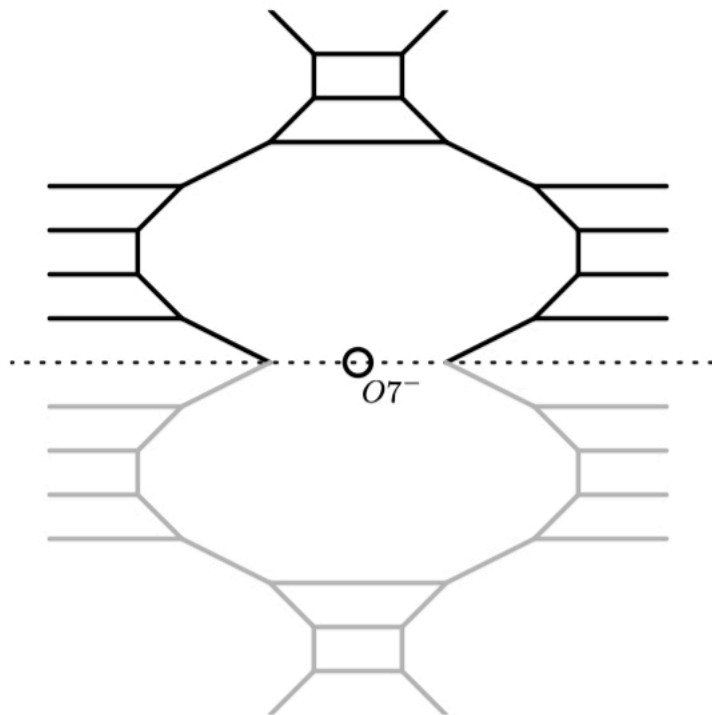
We developed a systematic procedure for obtaining
SW curves of theories associated with **O7-planes**

[Hirotaka-SSK-Lee-Yagi '23]

$$O7^- + 8D7 \longrightarrow O7^+$$

$$SW_{SU+1A\mathbf{S}+8\mathbf{F}} = (w - w^{-1})^2 SW_{SU+1\mathbf{Sym}}$$

$$SW_{Sp(N)+8\mathbf{F}} = (w - w^{-1})^2 SW_{SO(2N)}$$



Other observables ?

We also checked the **instanton partition functions** also works.

[SSK-Li-Nawata-Yagi, in progress]

$$Z_{\text{SU}(N),k}^{\text{vec}} Z_{\text{SU}(N),k}^{\text{sym}}(m) \\ = Z_{\text{SU}(N),k}^{\text{vec}} Z_{\text{SU}(N),k}^{\text{anti}}(m) Z_{\text{SU}(N),k}^{\text{fund}} \left(\frac{\epsilon_+ \pm m}{2}, \frac{\epsilon_+ \pm m}{2} + \pi i, \frac{\epsilon_- \pm m}{2}, \frac{\epsilon_- \pm m}{2} + \pi i \right)$$

Freezing

And superconformal index also works.

[Hee-Cheol Kim, Minsung Kim-SSK-Zafrir '23]

This is an intriguing relation...

but now.. what is good for?

Applying this relation to rank-1 case, SU(2) gauge theory,

$SU(2)+1\mathbf{AS} (O7^-) = SU(2)$, because \mathbf{AS} is singlet,

$SU(2)_\theta +1\mathbf{Sym} (O7^+) = SU(2)_\theta +1\mathbf{Adj}$, because \mathbf{Sym} of SU(2) is \mathbf{Adj} ,

M-string on a circle ($\theta = 0$)

A₂ theory with \mathbb{Z}_2 outer automorphism twist ($\theta = \pi$)

we get **non-Lagrangian theory**:

$$\mathbb{P}^2 + 1\mathbf{Adj} \text{ (or } SU(2)_\pi + 1\mathbf{Adj} - 1\mathbf{F})$$

[Bhardwaj '19]

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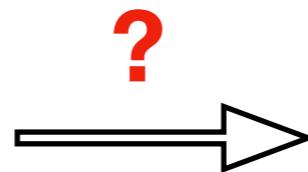
$$\mathbb{P}^2 + 1\mathbf{Adj} \text{ (or } SU(2)_\pi + 1\mathbf{Adj} - 1\mathbf{F})$$

[Bhardwaj '19]

by replacing $1\mathbf{Adj}$ (or $1\mathbf{Sym}$) with $1\mathbf{AS} + 8\mathbf{F}$

$$\begin{aligned} SU(2)_\pi + 1\mathbf{Adj} - 1\mathbf{F} &\rightarrow SU(2)_\pi + 1\mathbf{AS} + 8\mathbf{F} - \mathbf{F} \\ &= SU(2) + 7\mathbf{F} \end{aligned}$$

$$\text{SW}_{SU(2)+7\mathbf{F}}$$



$$\text{SW}_{\mathbb{P}^2 + 1\mathbf{Adj}}$$

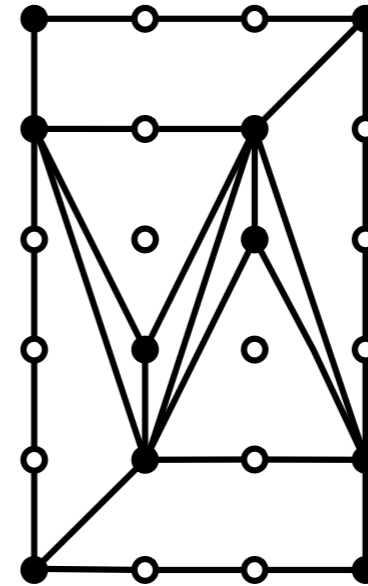
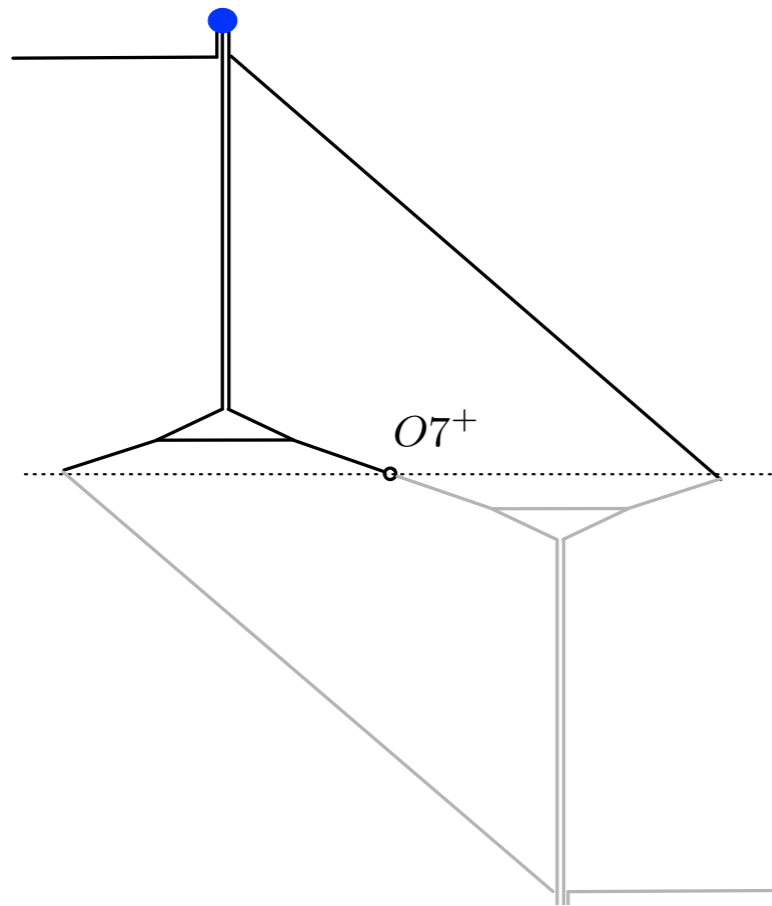
[Eguchi-Sakai '02]

[Huang-Klemm-Poretschkin '13]

$$O7^- + 8D7$$

$$O7^+$$

Seiberg-Witten curve for $\mathbb{P}^2 + 1\text{Adj}$



$$\begin{aligned}
 & w^5(t - M)^3 \\
 & - M^3 w^4 (t - M)^2 (t - M^{-5}) \\
 & - 2w^3 (t - M) \left(t^2 + (M - U/2)t + M^2 \right) \\
 & - 2w^2 (1 - Mt) \left(1 + (M - U/2)t + M^2 t^2 \right) \\
 & - M^3 w (1 - Mt)^2 (1 - M^{-5}t) \\
 & + (1 - Mt)^3 = 0
 \end{aligned}$$

U : Coulomb branch parameter

M : mass of Symmetric hyper

$$\begin{array}{ccc}
\text{SW}_{\text{SU}(2)+7\mathbf{F}} & \xrightarrow{\text{Yes!}} & \text{SW}_{\mathbb{P}^2 + 1\text{Adj}} \\
O7^- + 8D7 & & O7^+
\end{array}$$

$$\text{Mass tuning: } M_0 = \widetilde{M}^{-1}, \quad M_{1,2,3} = \widetilde{M}, \quad M_{4,5,6,7} = -\widetilde{M}$$

$$y^2 = 4x^3 - g_2x - g_3 ,$$

where

$$\begin{aligned}
g_2 = & \frac{1}{12}u^4 - \frac{4}{3}(3\chi_1^2 + 52\chi_1 + 1164)u^2 \\
& - \left(2\chi_1^4 + 48\chi_1^3 - 336\chi_1^2 - 7488\chi_1 - 115168\right)u \\
& + 16\chi_1^5 + 288\chi_1^4 + 3200\chi_1^3 - \frac{16640}{3}\chi_1^2 - 201472\chi_1 - 2401792, \\
g_3 = & \frac{1}{216}u^6 - 4u^5 - \frac{1}{9}(3\chi_1^2 - 92\chi_1 - 7764)u^4 \\
& - \frac{1}{6}(\chi_1^4 - 72\chi_1^3 - 744\chi_1^2 + 12000\chi_1 + 503824)u^3 \\
& + \frac{4}{9}(3\chi_1^5 - 18\chi_1^4 - 4776\chi_1^3 - 33616\chi_1^2 + 323184\chi_1 + 9487968)u^2 \\
& - \frac{4}{3}(15\chi_1^6 + 236\chi_1^5 - 1212\chi_1^4 - 92256\chi_1^3 - 553968\chi_1^2 + 3339968\chi_1 + 80170944)u \\
& + \chi_1^8 + 64\chi_1^7 + \frac{4912}{3}\chi_1^6 + 15488\chi_1^5 - \frac{95584}{3}\chi_1^4 - \frac{63023104}{27}\chi_1^3 \\
& - \frac{39014656}{3}\chi_1^2 + \frac{148920320}{3}\chi_1 + 1084823808.
\end{aligned}$$

Conclusion

- $O7^+ \leftarrow O7^- + 8D7_s$
- **Questions and Future directions**
 - other dimensions?
4d reductions or 6d uplifts
3d S^3 partition functions: $Sp(N) + (N_f + 2)\mathbf{F}$ vs. $SO(2N) + N_f\mathbf{F}$
[SSK-Li-Nawata-Yagi, in progres]
 - Other physical observables or quantum curves?