

黑洞标量化 & 动力学临界行为

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2204.09260 Phys.Rev.D 106 (2022) 6, L061501

2208.07548

2209.12789 JHEP 01 (2023) 056

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Outline

1. Scalarization of Black Hole

2. Critical Behaviors in Dynamical Scalarization

a. Einstein-Maxwell-Scalar (EMS)

b. EMS-AdS

c. Extended scalar-tensor-Gauss-Bonnet (eSTGB, EsGB)

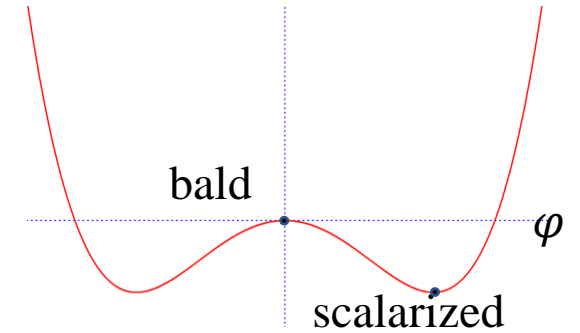
3. Summary and Outlook

Spontaneous scalarization of BHs in EsGB (eSTGB)

Schwarzschild: 1711.01187, 1711.02080, 1711.03390, **PRL 3**

Kerr: 1904.09997, 2006.03095, 2009.03904, 2009.03905, **PRL 4**

$$S = \frac{1}{2} \int d^4x \sqrt{-g} \left[R - \frac{1}{2} \nabla_\alpha \varphi \nabla^\alpha \varphi + f(\varphi) \mathcal{G} \right]$$



Scalar equation

Perturbation equation

Schwarzschild

$$\nabla_\mu \nabla^\mu \varphi = -\frac{df}{d\varphi} \mathcal{G}$$

$$\nabla_\mu \nabla^\mu \delta\phi = \mu_{eff}^2 \delta\phi,$$

$$\mu_{eff}^2 = -\frac{48M^2}{r^6} \frac{d^2 f}{d\varphi^2}(0) < 0$$

**tachyonic
instability**

Spontaneous scalarization condition:

$$f(0) = 0, \frac{df}{d\varphi}(0) = 0 \text{ and } \frac{d^2 f}{d\varphi^2}(0) > 0$$

$$f = \frac{\lambda^2}{2\kappa} (1 - e^{-\kappa\varphi^2})$$

$$f(0) = 0$$

$$f_{,\varphi}(0) = 0$$

$$f_{,\varphi\varphi}(0) = \lambda^2$$

- **coexistence** of bald and scalarized BHs
- Astrophysical interesting: strong gravity region

Spontaneous scalarization of BHs in EMS

Herdeiro, Radu, etc. [1806.05190 PRL](#)

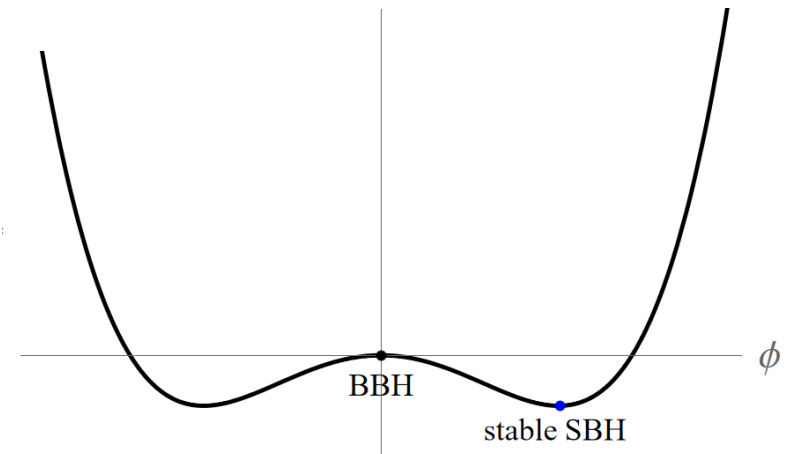
EsGB: elliptic region in evolution, not well-posed for strong coupling

EMS: technically simpler, but without loss of interesting for scalarization

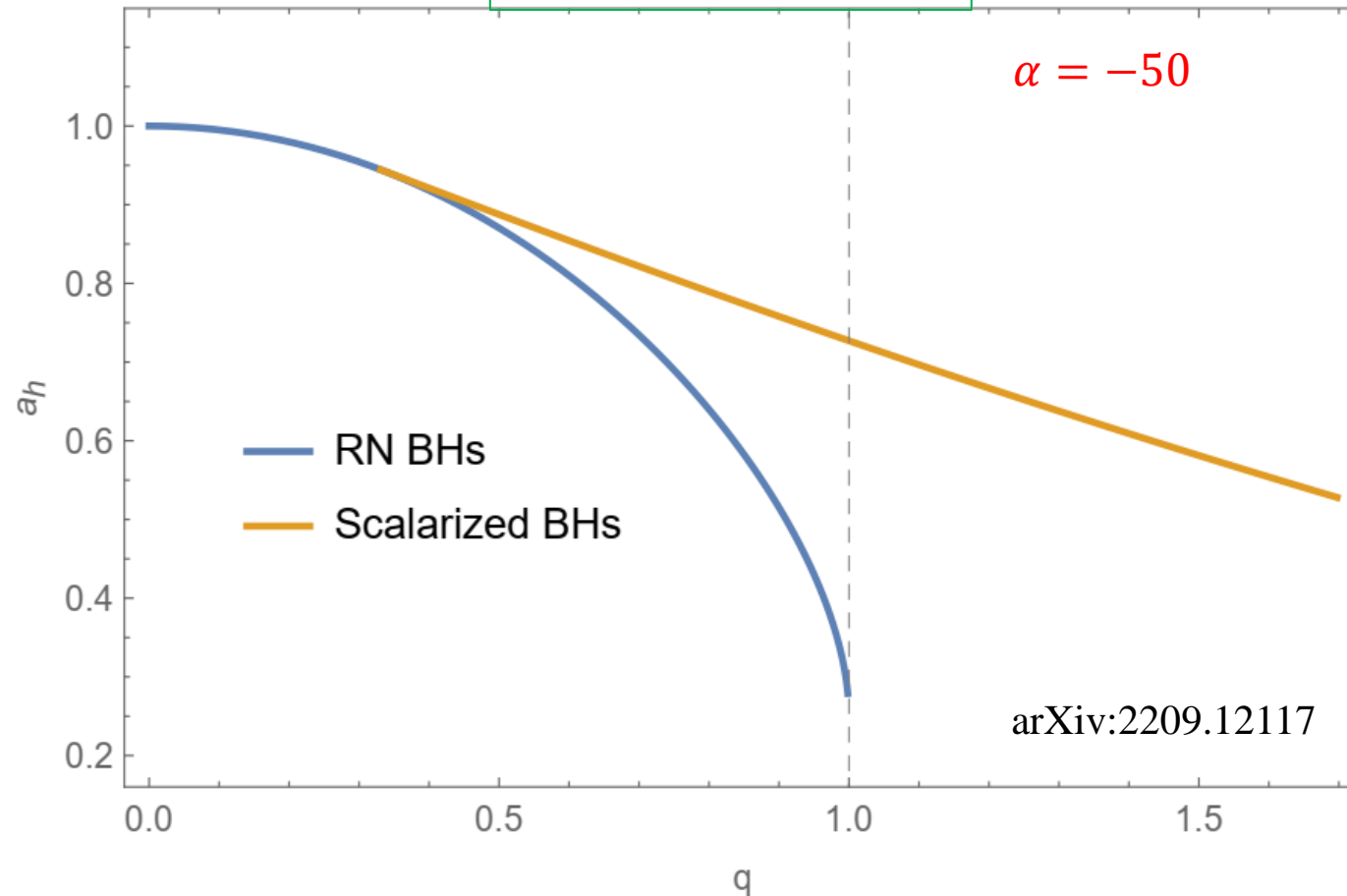
$$S = \frac{1}{16\pi} \int d^4x \sqrt{-g} [R - 2\nabla_\mu \phi \nabla^\mu \phi - f(\phi) F_{\mu\nu} F^{\mu\nu}]$$

Perturbation on **RN** with $f = e^{-\alpha\phi^2}$:

$$\nabla_\mu \nabla^\mu \delta\phi = \mu_{eff}^2 \delta\phi, \quad \mu_{eff}^2 = \frac{\alpha Q^2}{2r^4} < 0$$



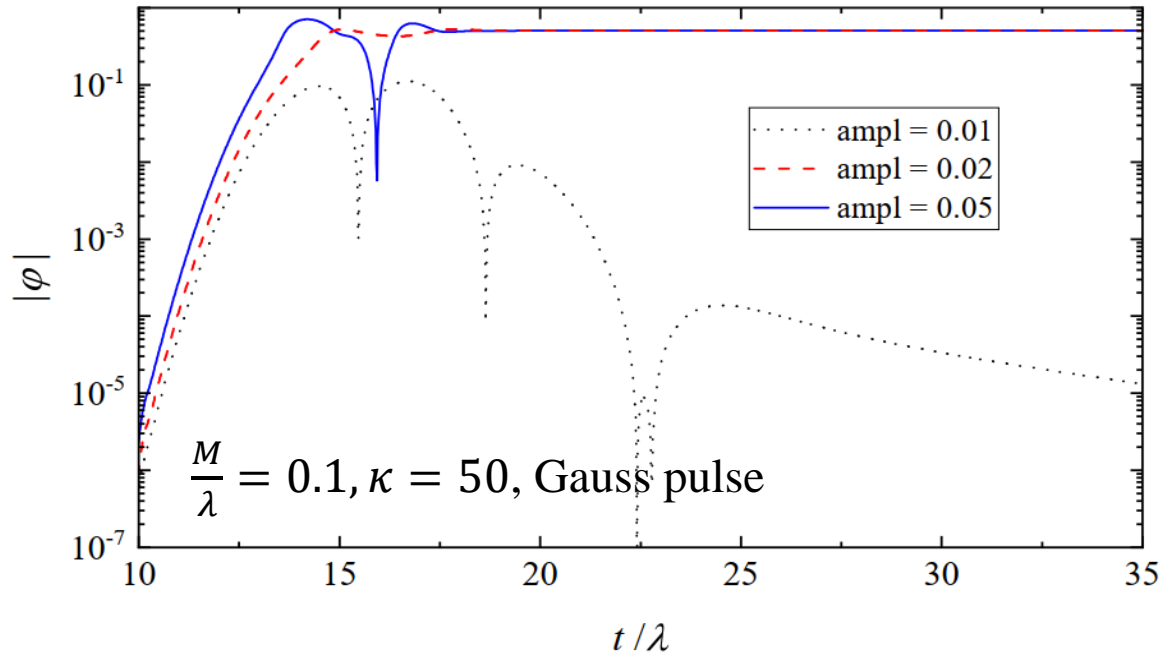
$$f(\phi) = e^{-\alpha\phi^2}$$



1806.05190 PRL: **two** static solutions at (q, α) $\left\{ \begin{array}{l} \text{stable: scalarized BH} \\ \text{unstable: bald RN BH} \end{array} \right.$

Nonlinear scalarization of BHs in EsGB

Doneva, Yazadjiev, 2107.01738 PRD; 2203.00709PRD; 2204.05333PRD; 2208.02077PRD



$$f(0) = 0, \quad \frac{df}{d\varphi}(0) = 0, \quad \frac{d^2f}{d\varphi^2}(0) = 0.$$

$$f = \frac{\lambda^2}{4\kappa} \left(1 - e^{-\kappa\varphi^4} \right)$$

$$\nabla_{\mu} \nabla^{\mu} \varphi = -\frac{df}{d\varphi} \mathcal{G}$$

Linear stable, but nonlinear unstable
(**decoupling limit**)

Questions and motivation:

1. The backreaction?
2. Are there **dynamical critical behaviors** in scalarization?
3. How the scalar & BH behave in the dynamical process?

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Nonlinear scalarization in EMS

Our work: 2112.07455 PRL

For $f = e^{\beta\phi^4}$, RN (with $\phi = 0$) is a solution and **linearly stable**:

$$\nabla_{\mu}\nabla^{\mu}\delta\phi = \mu_{eff}^2\delta\phi, \quad \mu_{eff}^2 = 0$$

But how about SBH? Full nonlinear dynamical simulation!

Initial configuration:

RN ($M_0 = 1, Q = 0.9$)

+ scalar perturbation (**ingoing**)

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 2(f(\phi)T_{\mu\nu}^A + T_{\mu\nu}^{\phi})$$

$$\nabla_{\mu}\nabla^{\mu}\phi = \frac{1}{4}\frac{df(\phi)}{d\phi}F_{\mu\nu}F^{\mu\nu}.$$

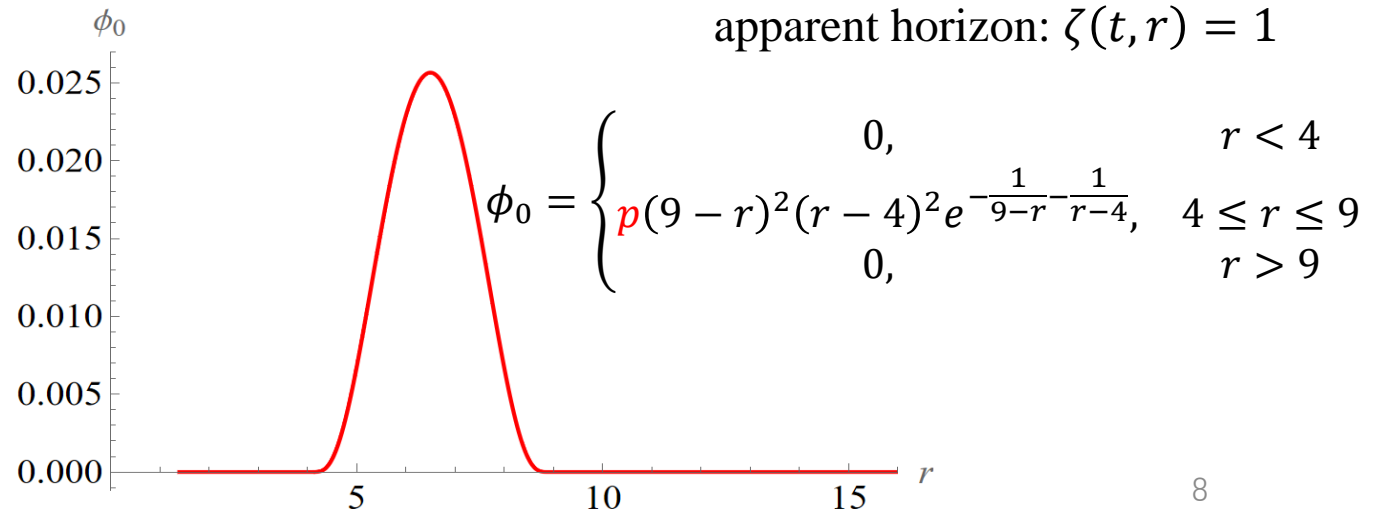
$$\nabla_{\mu}(f(\phi)F^{\mu\nu}) = 0.$$

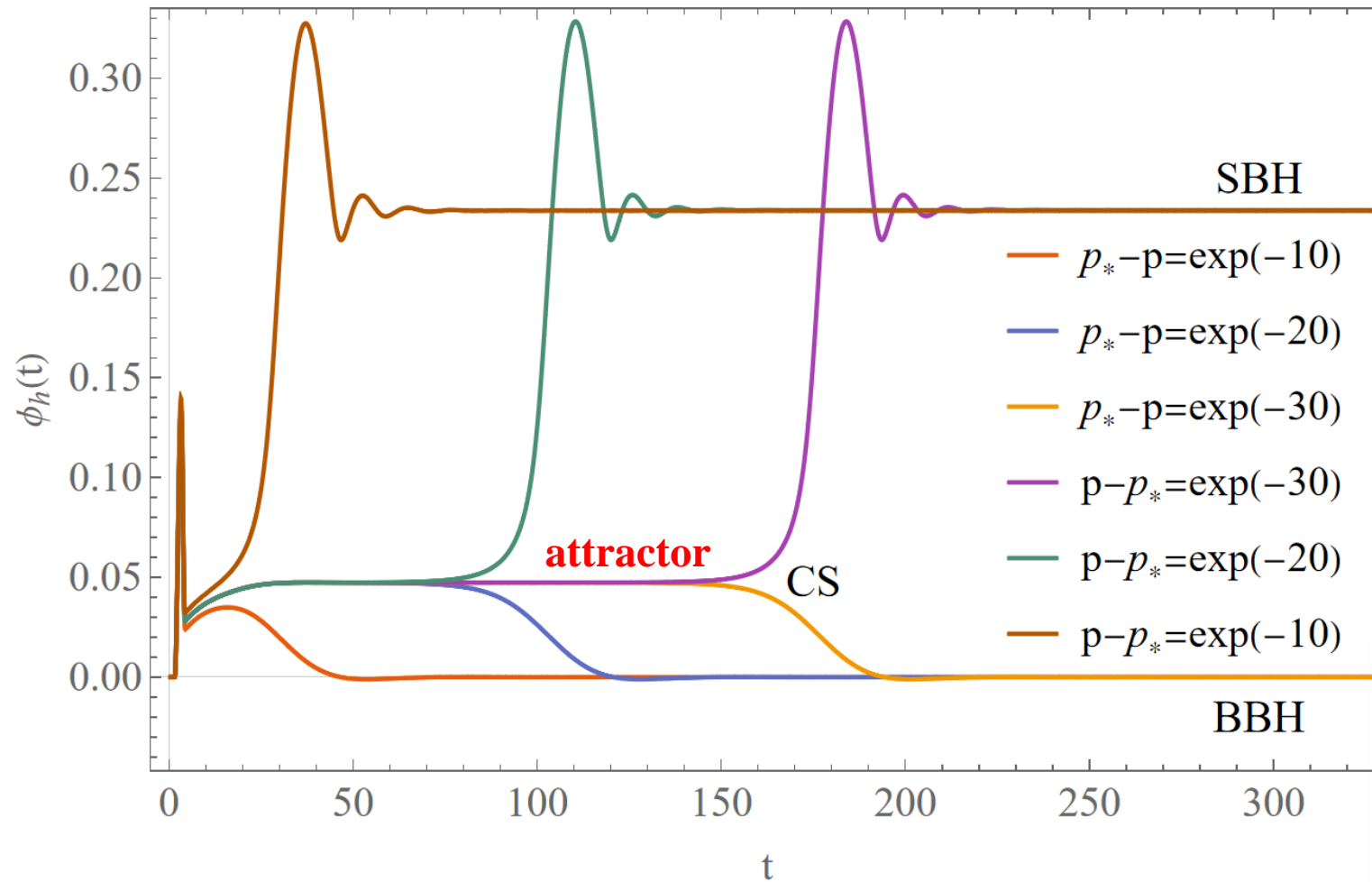
Painleve-Gullstrand (PG) coordinate:

Full nonlinear dynamics in **spherical** symmetric spacetime

$$ds^2 = -(1 - \zeta^2)\alpha^2 dt^2 + 2\zeta\alpha dt dr + dr^2 + r^2 d\Omega_2^2.$$

apparent horizon: $\zeta(t, r) = 1$





$$p_* \approx 0.001461857045705$$

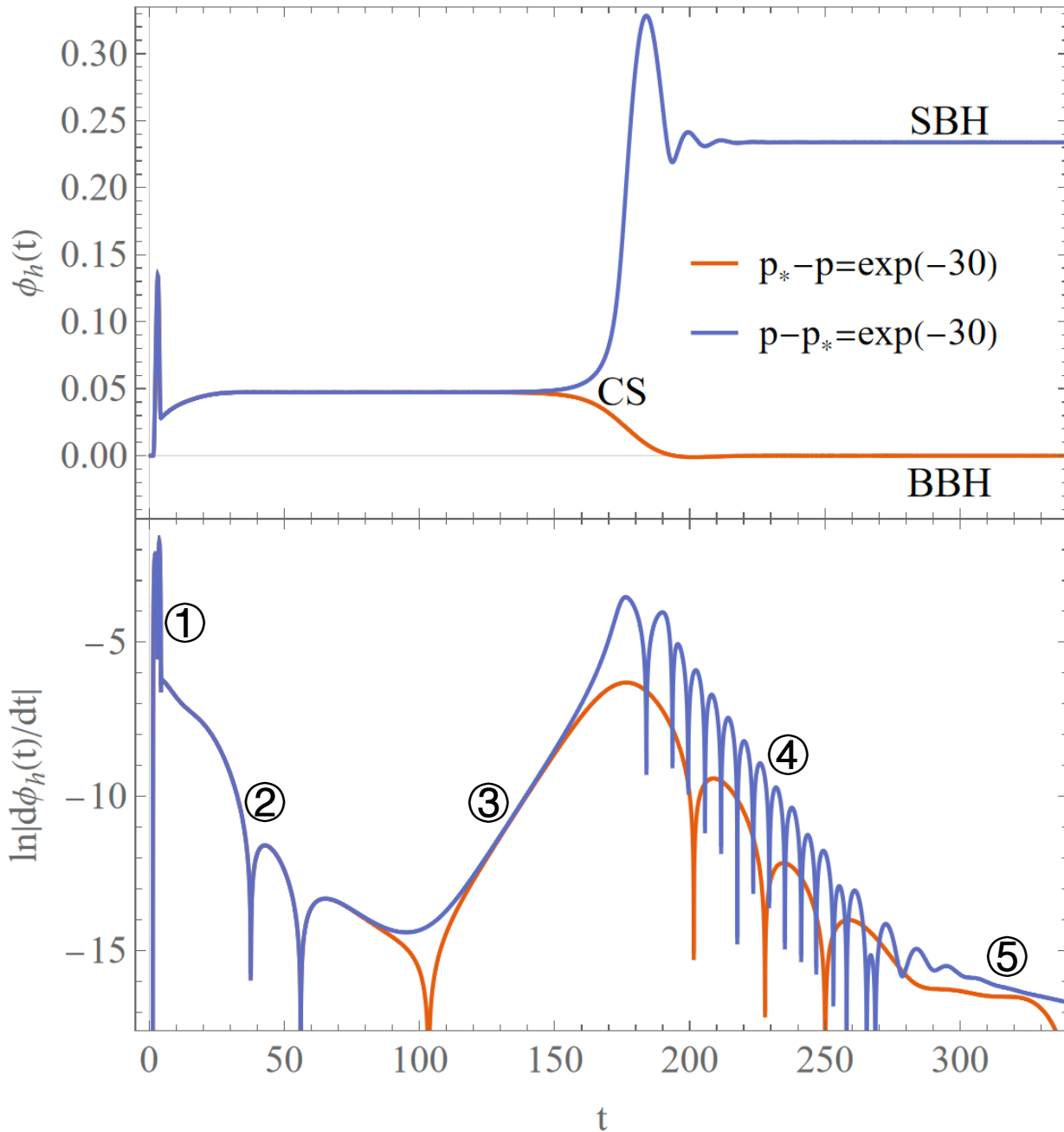
$$(\delta p/p \sim 10^{-13})$$

New kind of critical behaviors

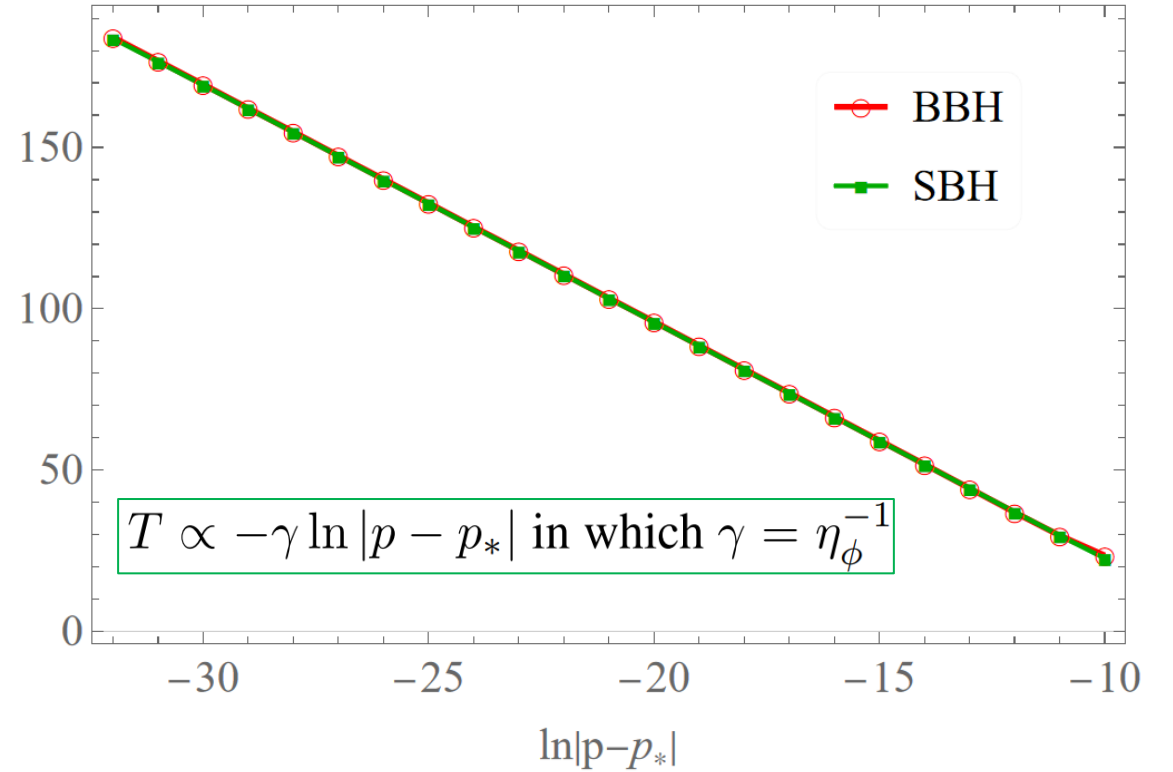
Reminiscent of the **type I** critical gravitational collapse (1996)

(bald) BH + scalar \rightarrow $\left\{ \begin{array}{ll} \text{bald BH} & \text{subcritical } (p < p_*) \\ \text{unstable SBH (CS)} & \text{critical } (p = p_*) \\ \text{scalarized BH} & \text{supercritical } (p > p_*) \end{array} \right.$

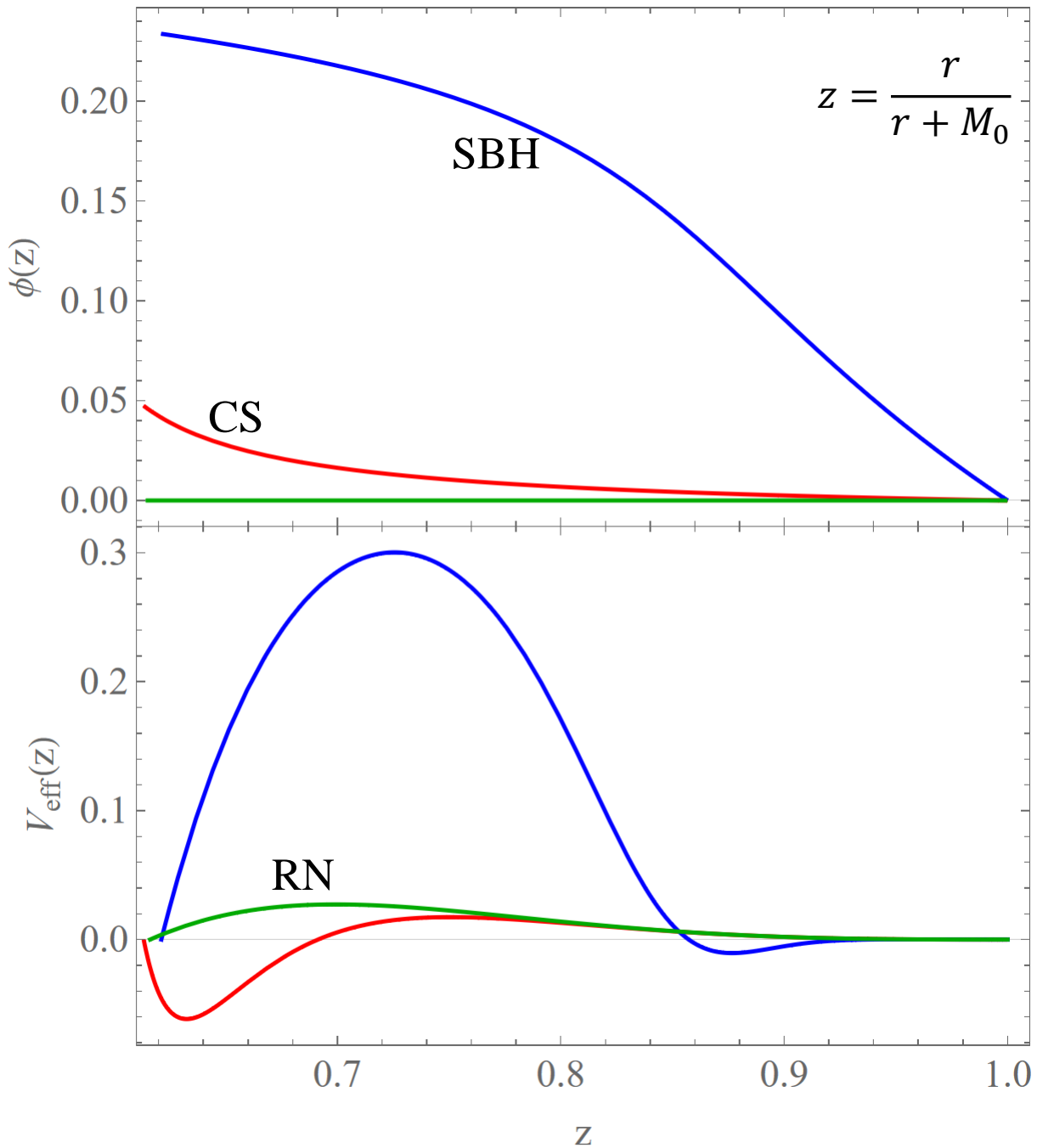
flat space + scalar \rightarrow $\left\{ \begin{array}{ll} \text{flat space} & \text{subcritical } (p < p_*) \\ \text{unstable star (CS)} & \text{critical } (p = p_*) \\ \text{BH} & \text{supercritical } (p > p_*) \end{array} \right.$



$$\phi_p(t, r) \approx \phi_*(r) + (p - p_*)e^{\eta_\phi t} \delta\phi(r) + \text{stable modes.}$$



(bald) BH + scalar \rightarrow	}	bald BH	subcritical ($p < p_*$)
		unstable SBH (CS)	critical ($p = p_*$)
		scalarized BH	supercritical ($p > p_*$)



$$dt_s = dt - \zeta dr_* = dt - \frac{\zeta}{(1 - \zeta^2)\alpha} dr$$

$$\delta\phi = e^{-i\omega t_s} \frac{R(r)}{r}$$

Schrodinger-like equation (Buell, Shadwick, 1995)

$$0 = (\partial_{r_*}^2 + \omega^2 - V_{\text{eff}}) R.$$

Only for the CS, there is $\int_{-\infty}^{\infty} V_{\text{eff}} dr_* < 0$

CS has **tachyonic** instability (as RN in spontaneous scalarization) which gives precisely the unstable mode η_ϕ

QNMs: matched

- ① first order WKB method / shooting method
- ② Prony method

Static solutions

Our results & Herdeiro, Radu, etc, (2002.00963 PLB; 2008.11744 EPJC; [2011.01326](#) Symmetry, $f(\phi) = 1 + \beta\phi^4$)

$$f(\phi) = e^{\beta\phi^4}$$

Three static solutions at $(q = \frac{Q}{M}, \beta)$:

- two stable: (1) **RN** ($M \geq Q$)
 (2) **hot SBH**

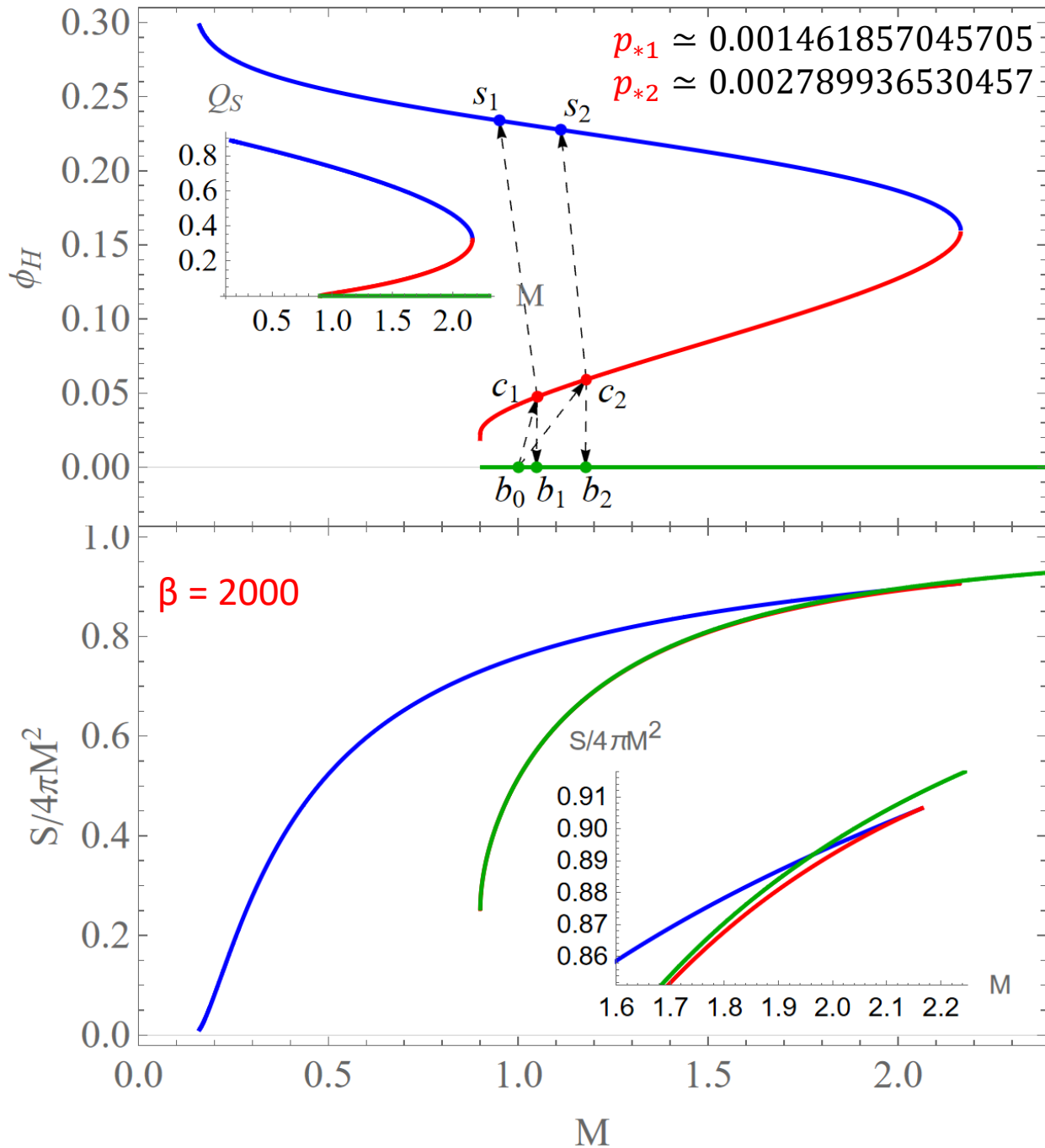
- one unstable: (3) **cold SBH (CS)**

Scalarization: $b_0 \rightarrow c_1 \rightarrow \begin{cases} b_1, & \text{BBH (} p < p_{*1} \text{)} \\ s_1, & \text{SBH (} p > p_{*1} \text{)} \end{cases}$

Descalarization: $b_0 \rightarrow c_2 \rightarrow \begin{cases} s_2, & \text{SBH (} p < p_{*2} \text{)} \\ b_2, & \text{BBH (} p > p_{*2} \text{)} \end{cases}$

Dynamical **first-order** phase transition

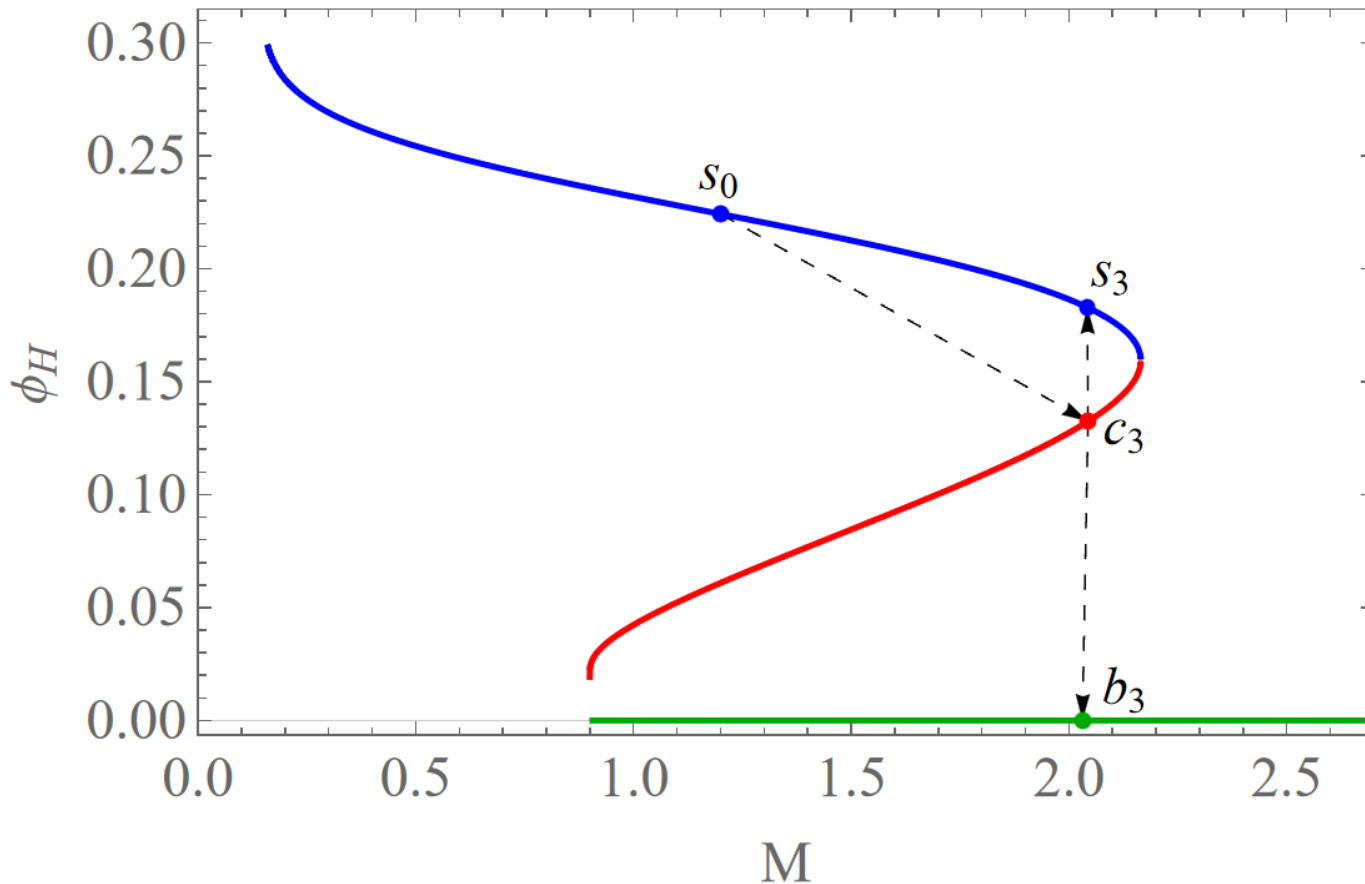
Dynamics vs Thermodynamics:
Not precisely consistent



Dynamical descalarization from SBH

Initial configuration: SBH ($M_0 = 1.2, Q = 0.9$) + scalar perturbation (ingoing)

$$p_{*3} \simeq 0.0012848778022796 \quad (\delta p/p \sim 10^{-13})$$



type I with an unstable attractor

Descalarization: $s_0 \rightarrow c_3 \rightarrow \begin{cases} s_3, & \text{SBH } (p < p_{*3}) \\ b_3, & \text{BBH } (p > p_{*3}) \end{cases}$

Dynamical **first-order** phase transition

SBH + scalar \rightarrow	SBH	subcritical ($p < p_*$)
	unstable SBH (CS)	critical ($p = p_*$)
	bald BH	supercritical ($p > p_*$)

Interim Summary

1. We found **new BH scalarization & descalarization mechanism** through the accretion of the scalar field
2. We uncovered **novel dynamical critical behaviors** in the bald/scalarized BH transition
3. **How about other cases?**
 - EMS-AdS
 - **eSTGB**

Outline

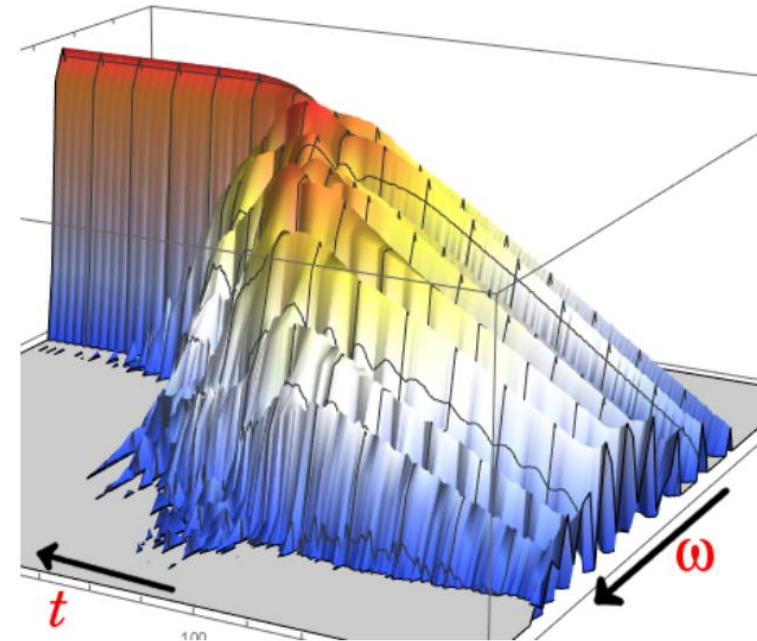
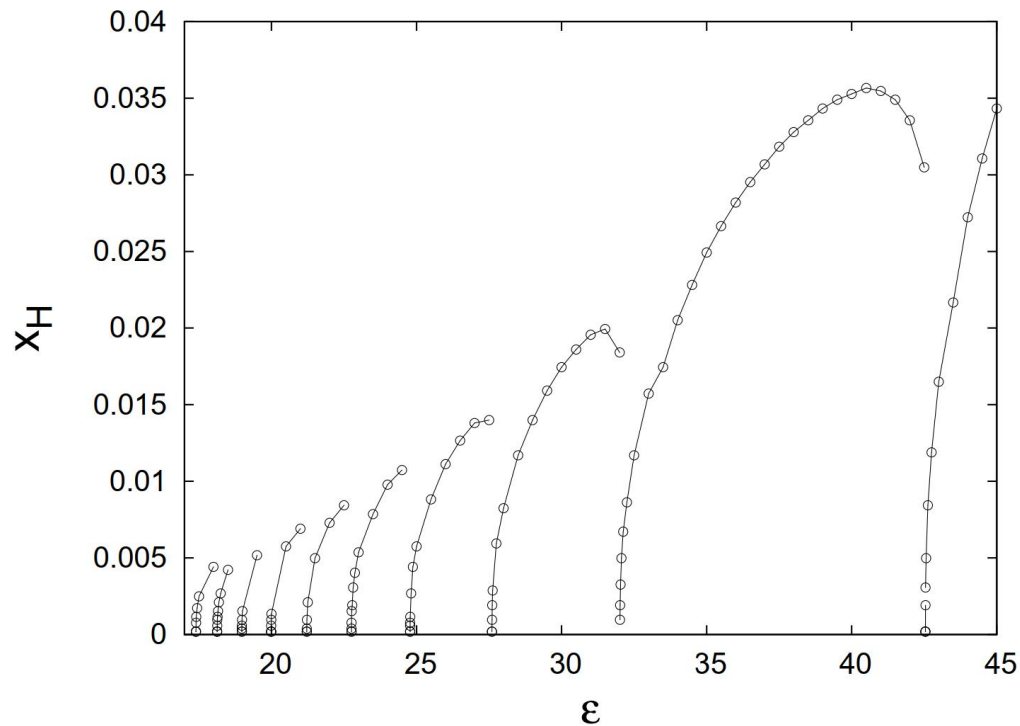
1. Scalarization of Black Hole
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 - a. Einstein-Maxwell-Scalar (EMS)
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3. Summary and Outlook

Dynamical critical scalarization and descalarization in **AdS** spacetime

Our work: **2204.09260** PRD (Letter)

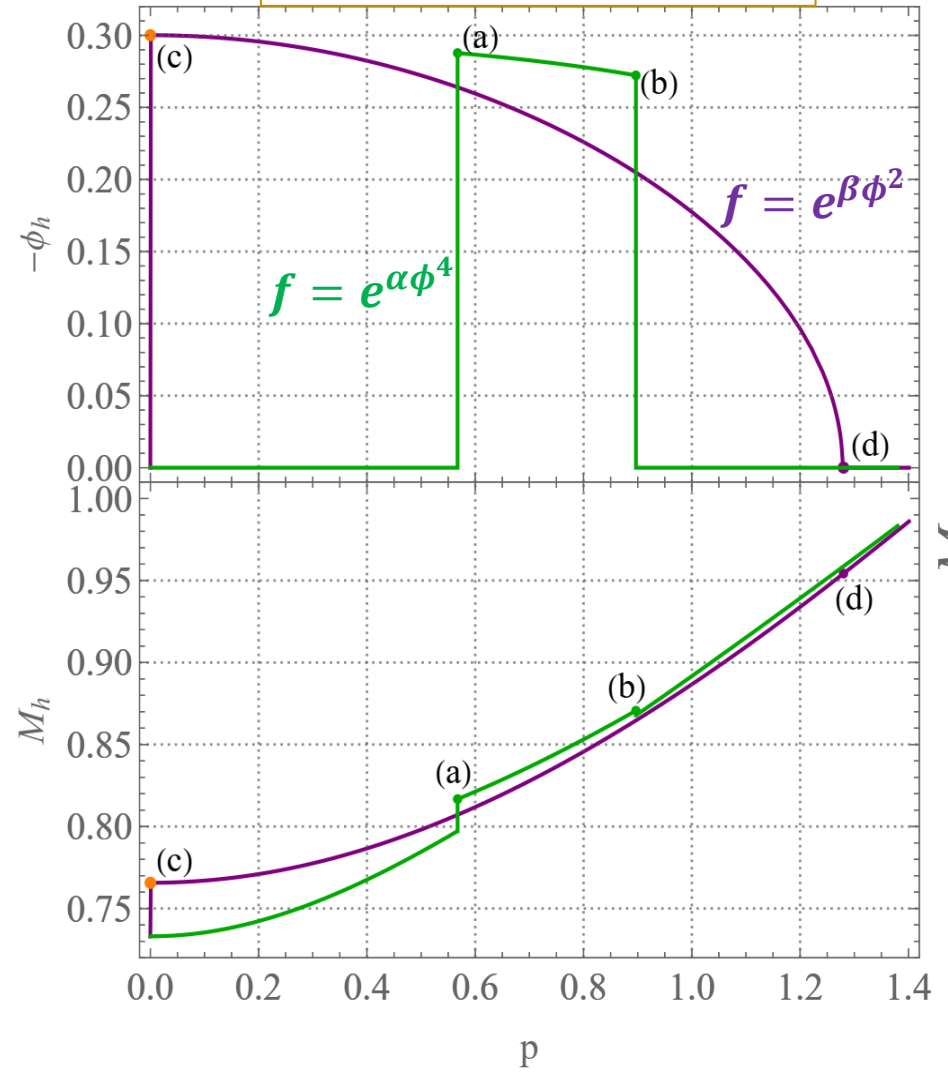
The difference between asymptotically flat and AdS spacetime: **confining boundary**

- Turbulent instability in AdS space (1104.3702PRL)
- Superradiant instability of RN-AdS (**1601.01384PRL**) and Kerr-AdS (1801.09711PRL)



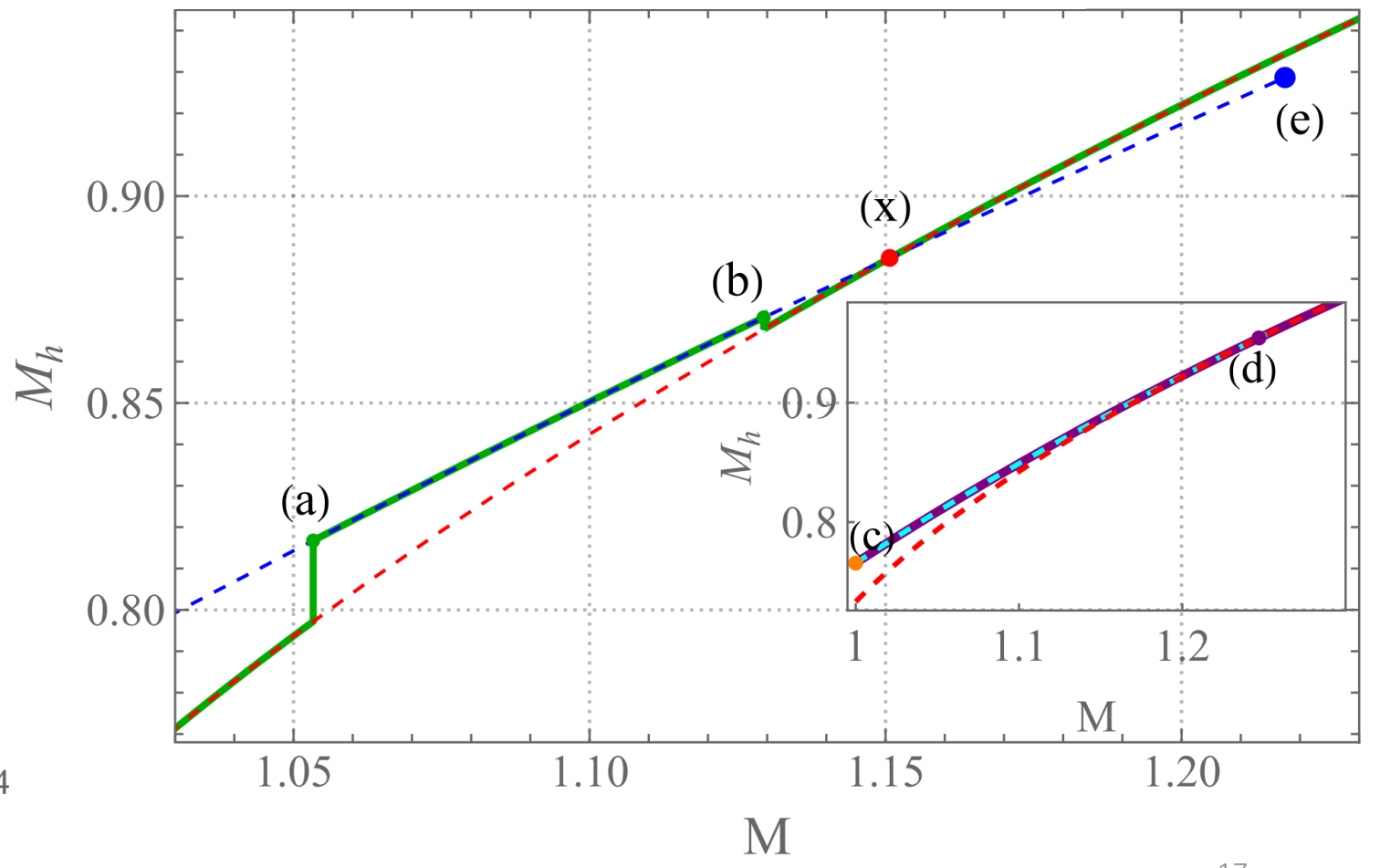
$$S = \int d^4x \sqrt{-g} \left(R + \frac{6}{L^2} - 2\nabla_\mu \phi \nabla^\mu \phi - f(\phi) F_{\mu\nu} F^{\mu\nu} \right)$$

$$\phi_0 = p e^{-64(0.5 - \frac{1}{r})^2}$$



Eddington-Finkelstein coordinate, pseudospectrum method

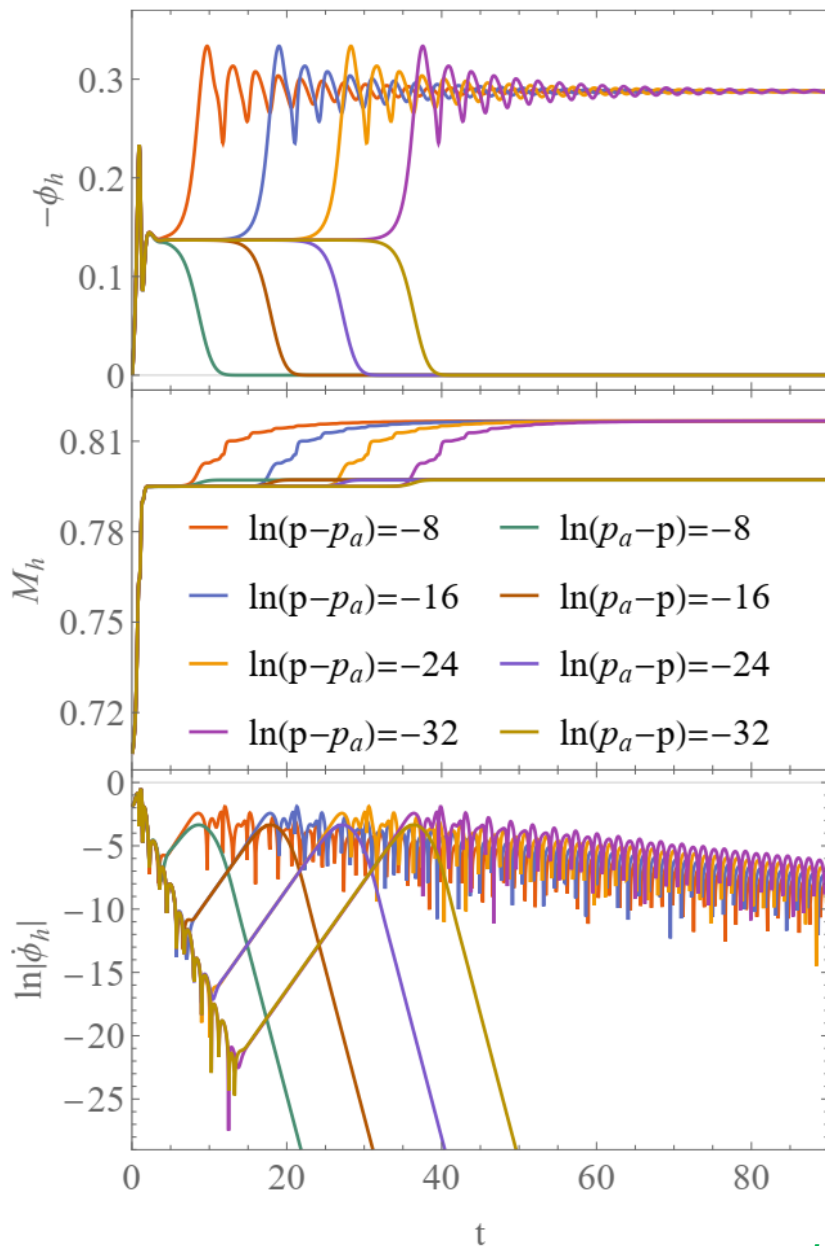
$$ds^2 = -W dt^2 + 2 dt dr + \Sigma^2 (d\theta^2 + \sin^2 \theta d\varphi^2)$$



Dynamical critical scalarization

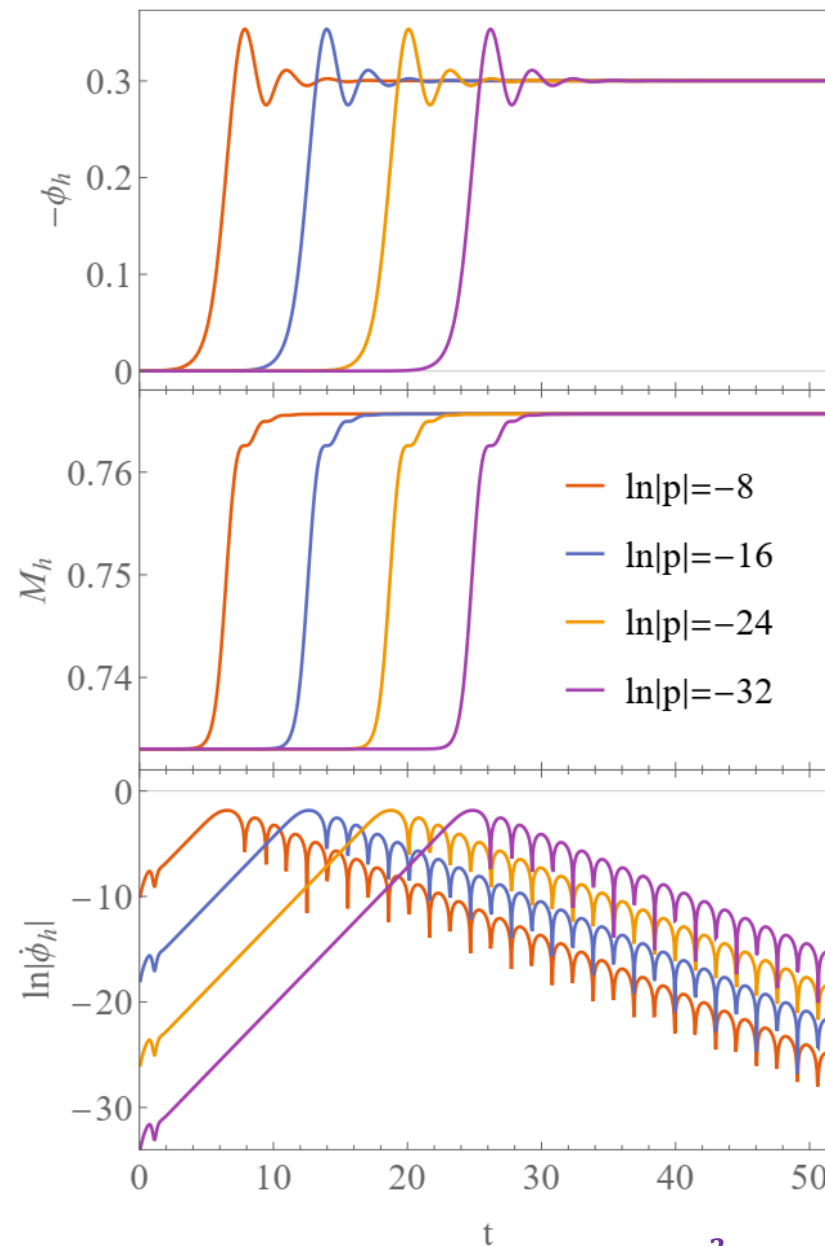
$f = e^{\alpha\phi^4}$: Type I
with an attractor

$f = e^{\beta\phi^2}$: Type I
with an attractor



(a)

$f = e^{\alpha\phi^4}$



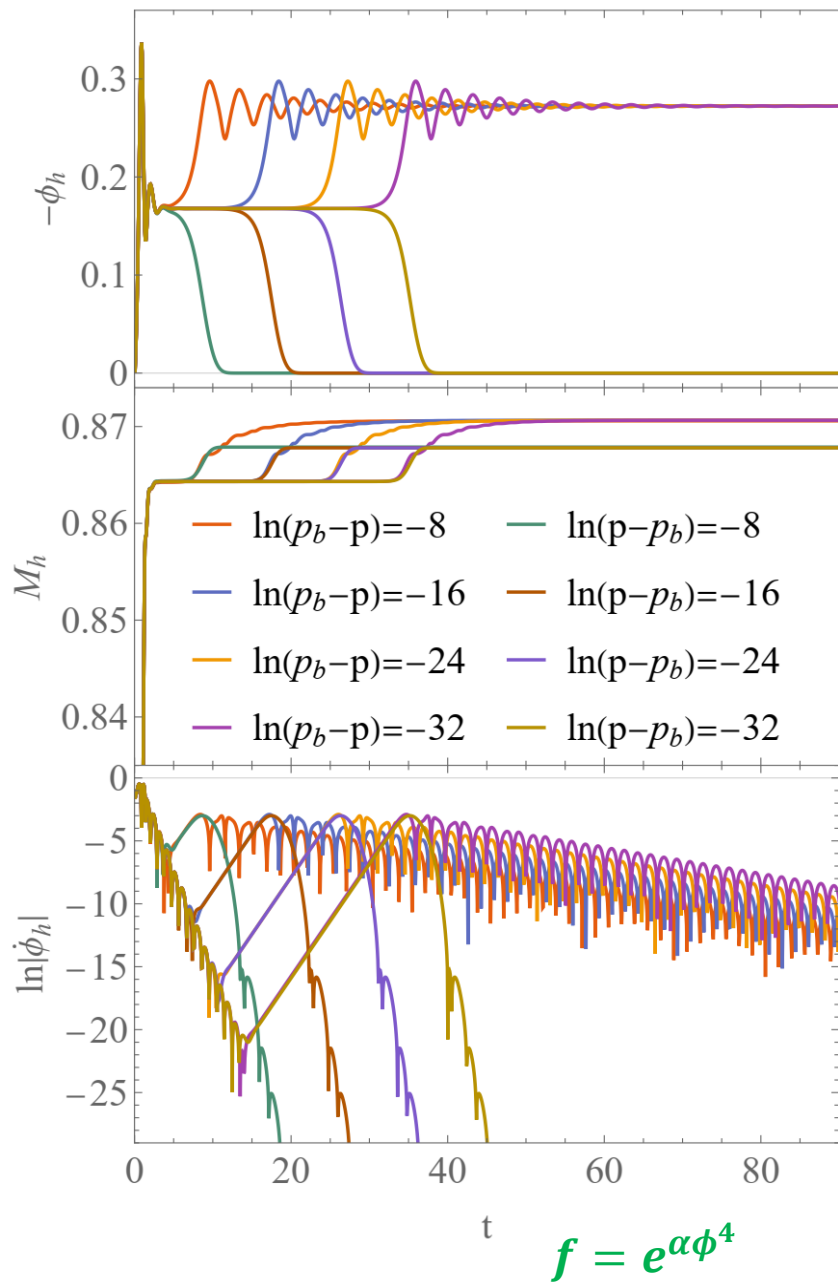
(c)

$f = e^{\beta\phi^2}$

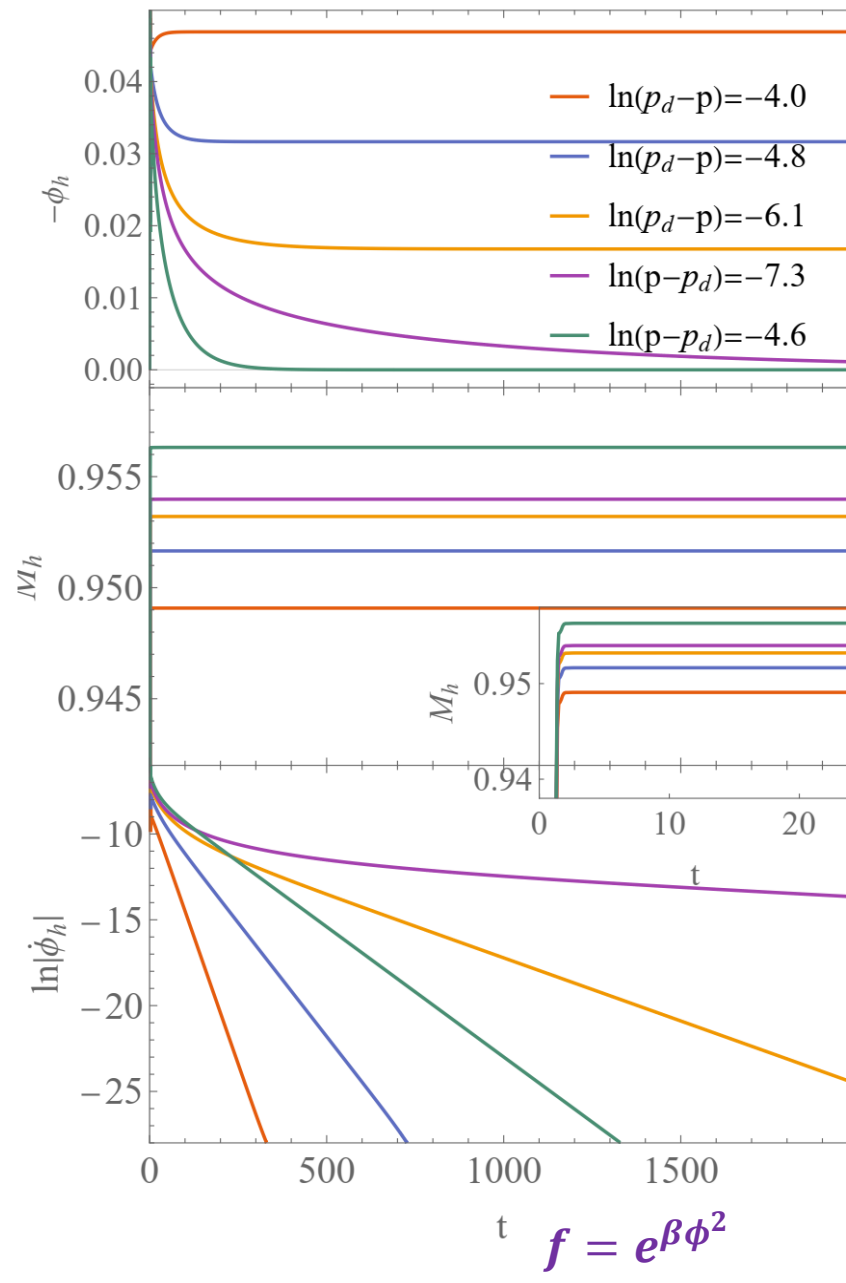
Dynamical critical descalarization

$f = e^{\alpha\phi^4}$: Type I
with an attractor

$f = e^{\beta\phi^2}$: Type II
without an attractor



(b)



(d)

Interim Summary

1. We pointed out that **RN-AdS is special critical solution** in EMS theory with spontaneous scalarization
2. We uncovered type I & II dynamical critical behaviors in the BH **descalarization** transition
3. How about other cases?
 - **eSTGB**

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Dynamical critical scalarization and descalarization in **eSTGB** theory

Our work: 2208.07548

$$S = \frac{1}{16\pi} \int d^4x \sqrt{-g} \left[R - \frac{1}{2} (\partial\phi)^2 + f(\phi) (\beta R + \mathcal{G}) \right]$$

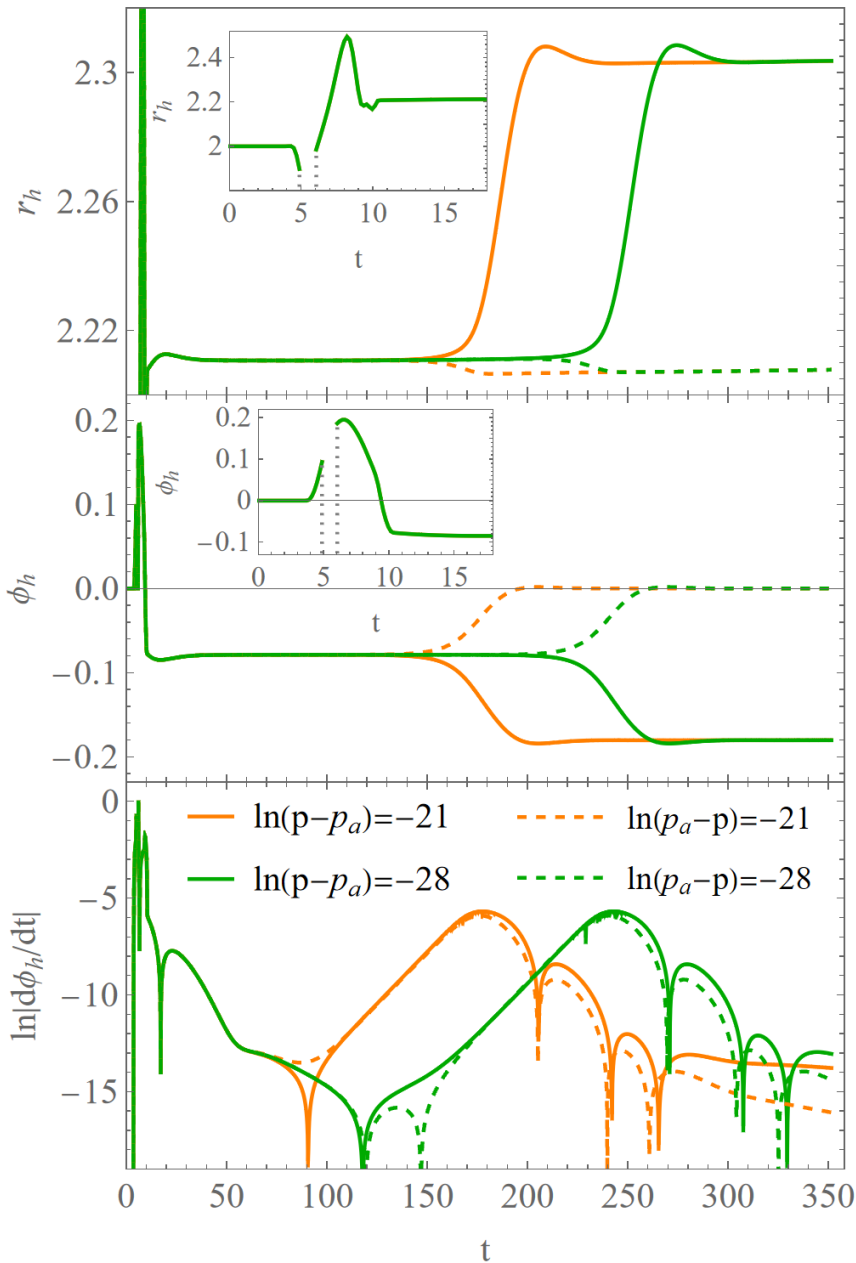
- β suppresses the elliptic region and makes the code stable
- The first model of spontaneous scalarization (for **neutron star**) (Damour 1993PRL)

BH **nonlinear** scalarization: $f = \frac{\lambda^2}{4\kappa} \left(1 - e^{-\kappa\phi^4} \right)$

- PG coordinate
- **Initial condition:** Schwarzschild BH with $M_0 = 1$, and $\beta = -2.5, \lambda = \frac{50}{3}, \kappa = 1000$

$$\phi_0 = \begin{cases} 0, & r < 8 \\ p(18-r)^2(r-8)^2 e^{-\frac{1}{18-r} - \frac{1}{r-8}}, & 8 \leq r \leq 18 \\ 0, & r > 18 \end{cases}$$

Dynamical critical scalarization



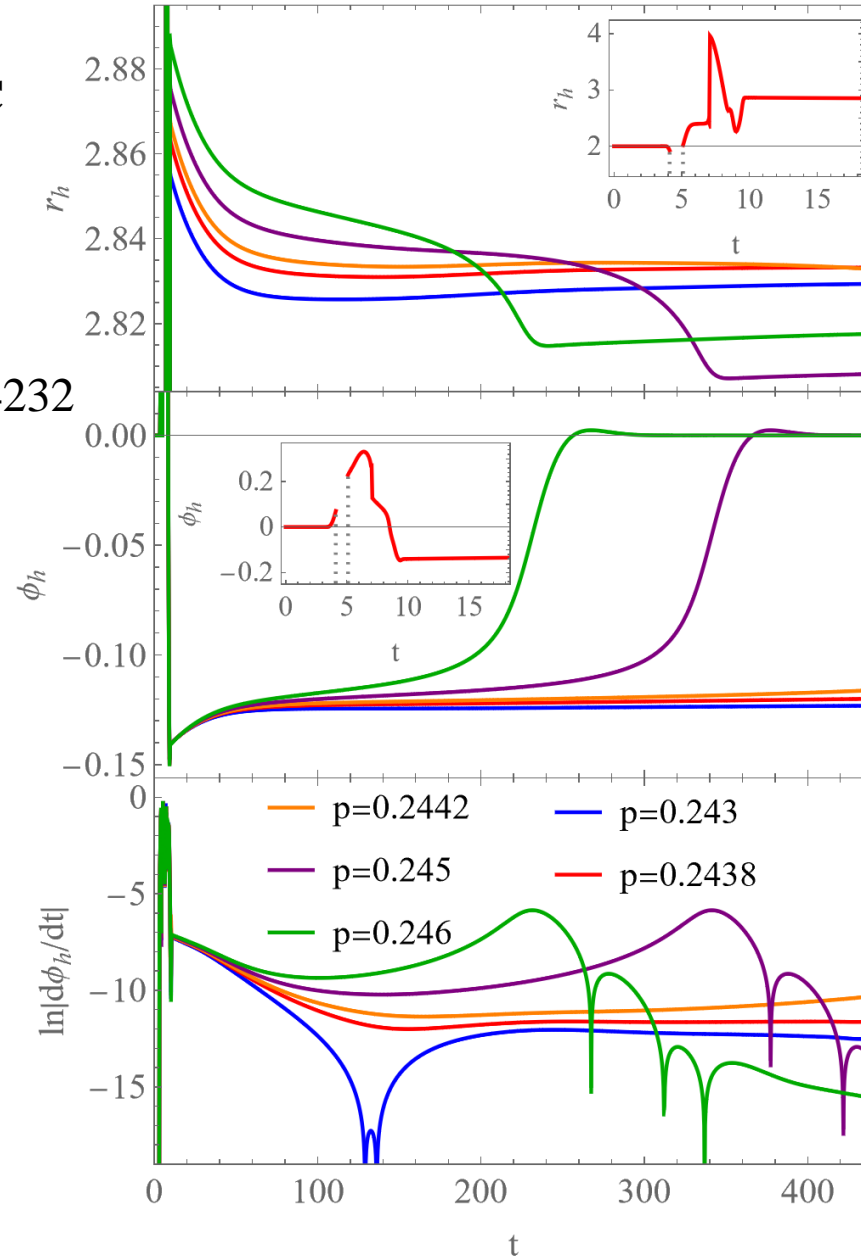
Violation of **NEC**
& BH area law

$$p_{*1} \simeq 0.00135561054232$$

$$\delta p/p \sim 10^{-13}$$

**type I with an
unstable
attractor**

Dynamical critical **descalarization**



$$p_{*2} \simeq 0.002438$$

$$\delta p/p \sim 10^{-4}$$

**type I with an
marginally
stable attractor**

Explanation from the static solutions

Three static solutions at the same M :

two stable: (1) Schwarzschild
(2) **hot** SBH

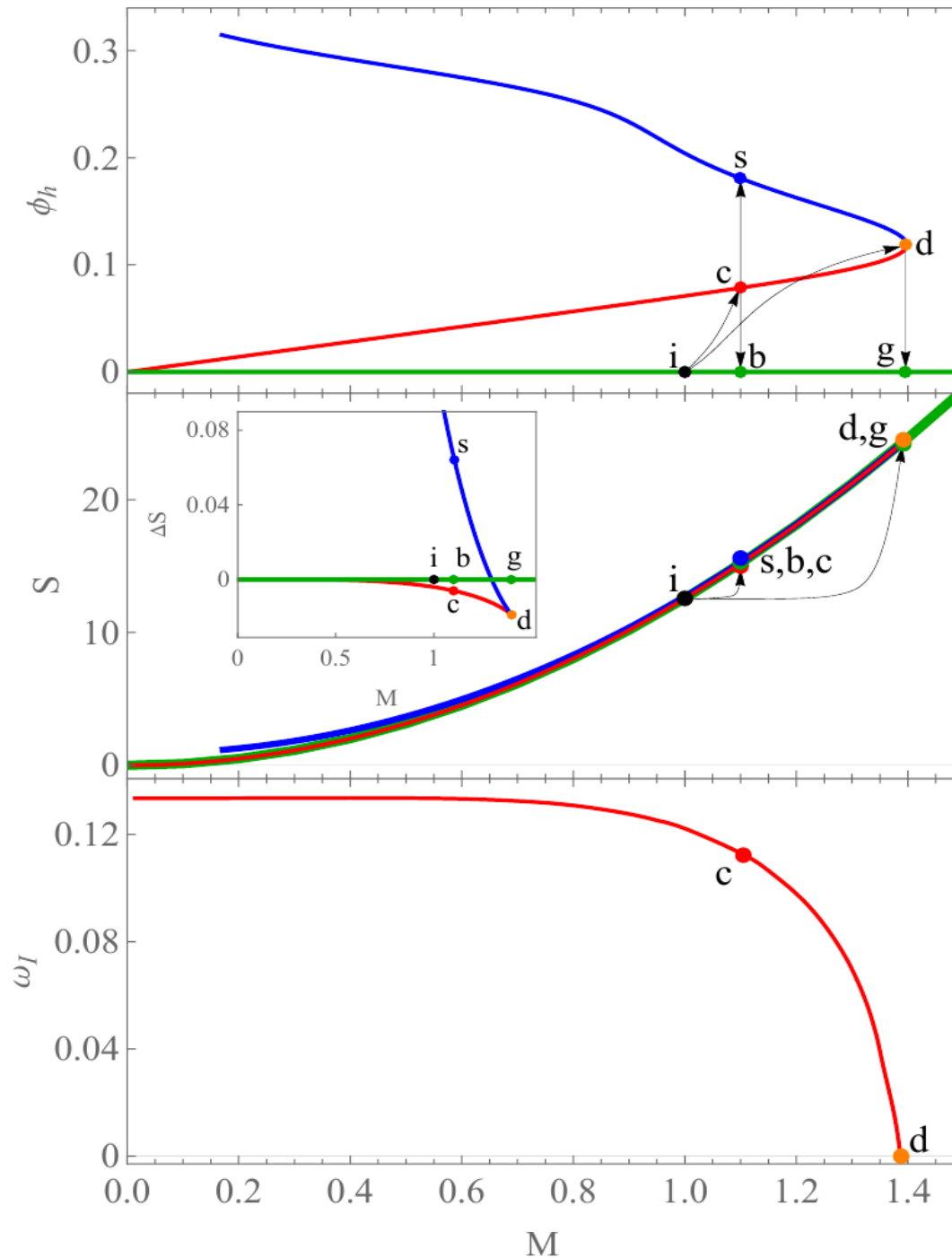
one unstable: (3) **cold** SBH (CS)

Scalarization: $i \rightarrow c \rightarrow \begin{cases} b, & \text{BBH } (p < p_{*1}) \\ s, & \text{SBH } (p > p_{*1}) \end{cases}$

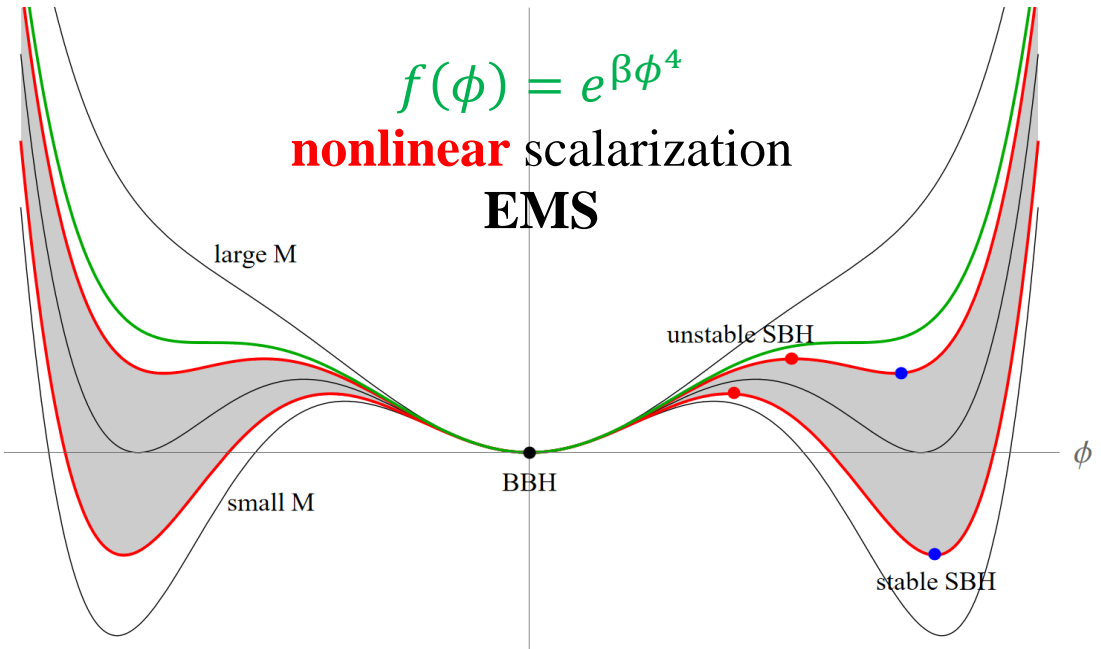
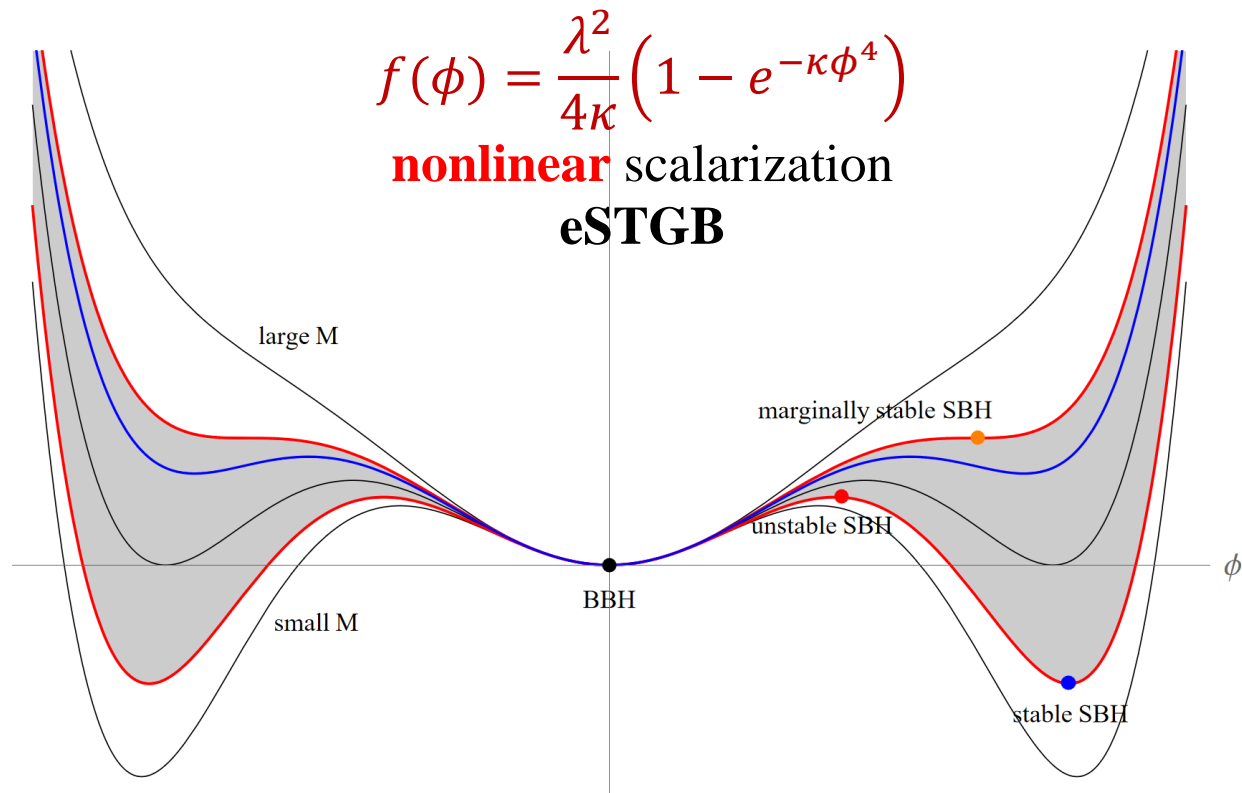
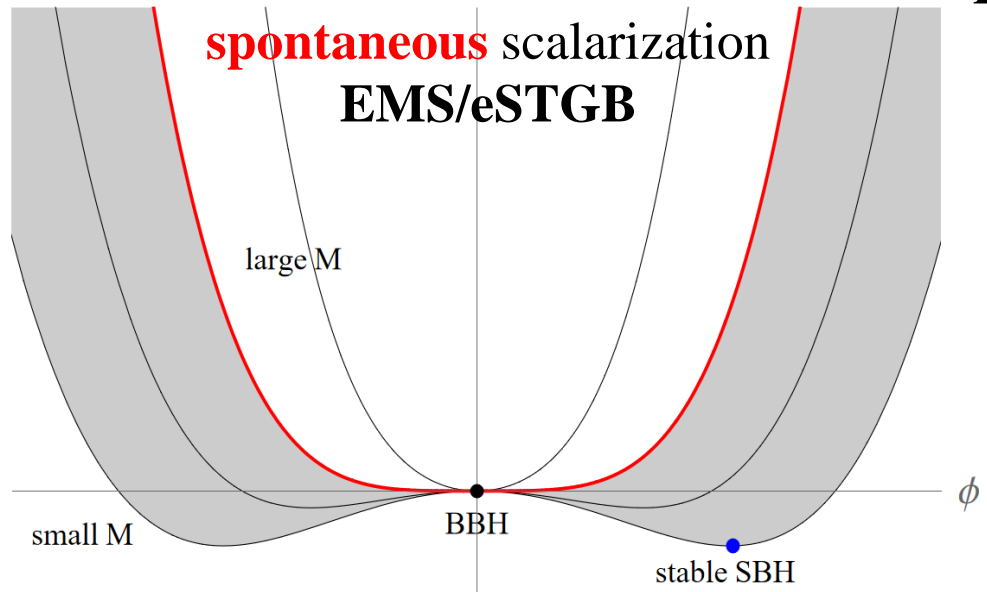
Descalarization: $i \rightarrow d \rightarrow \begin{cases} d, & \text{SBH } (p < p_{*2}) \\ g, & \text{BBH } (p > p_{*2}) \end{cases}$

(d : **marginally** stable CS/attractor/SBH)

Dynamical **first-order** phase transition



Sketch map for the free energy



Dynamics vs Thermodynamics:
Deep physics?

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Summary

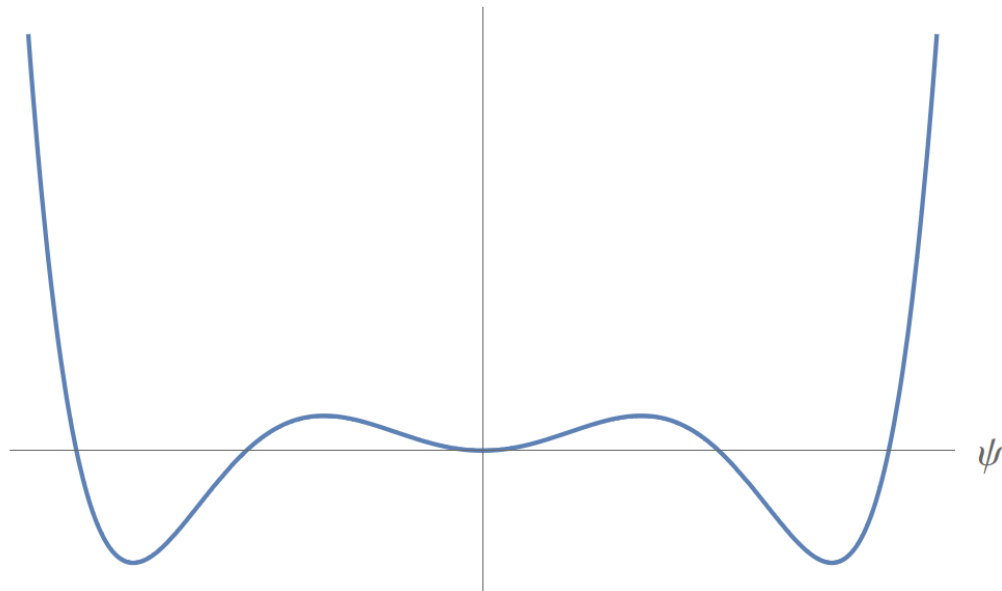
1. We found new BH **scalarization & descscalarization** mechanisms through the accretion of the scalar field
2. We uncovered **novel rich dynamical critical behaviors** in the bald/scalarized BH transition
 - **Scalarization:** **type I** with an unstable attractor
 - **Descscalarization:** **type I** with an unstable/marginally stable attractor & **type II** (the model with spontaneous scalarization)
3. The discovery of these new dynamical critical behaviors has opened up a fascinating area of research in gravitational dynamics

Outlook

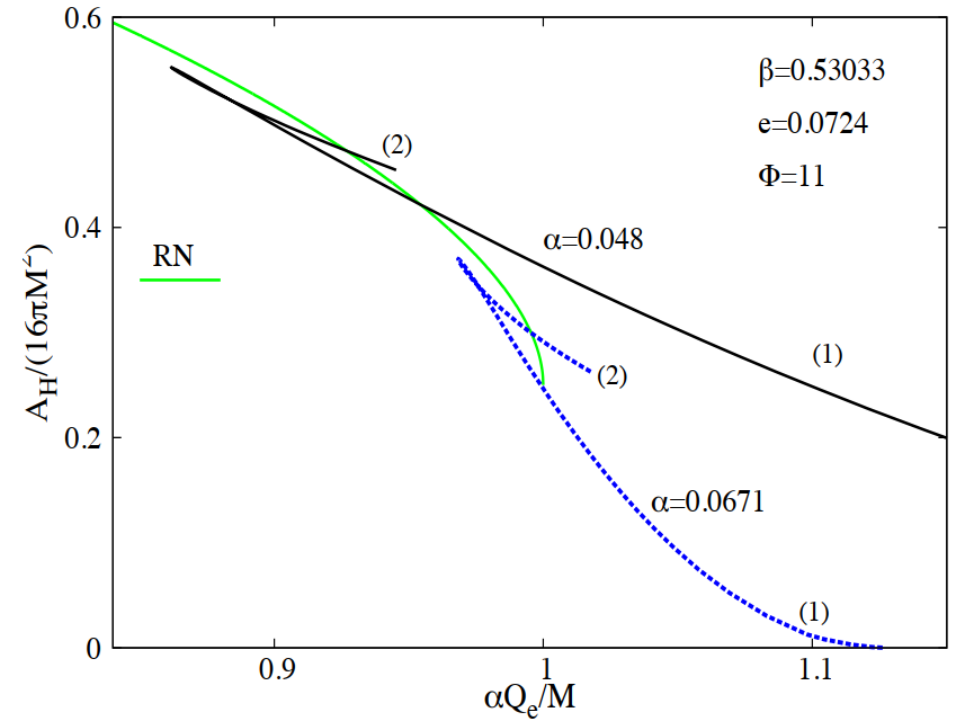
Q-ball (2004.03148PRL, 2004.00336EPJC)

$$\mathcal{S} = \int d^4x \sqrt{-g} \left[\frac{R}{16\pi G} - \frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} - D_\alpha \Psi^* D^\alpha \Psi - U(|\Psi|) \right]$$

$$U(\psi) \sim \alpha^2 |\psi|^2 - e^2 |\psi|^4 + \beta^2 |\psi|^6$$



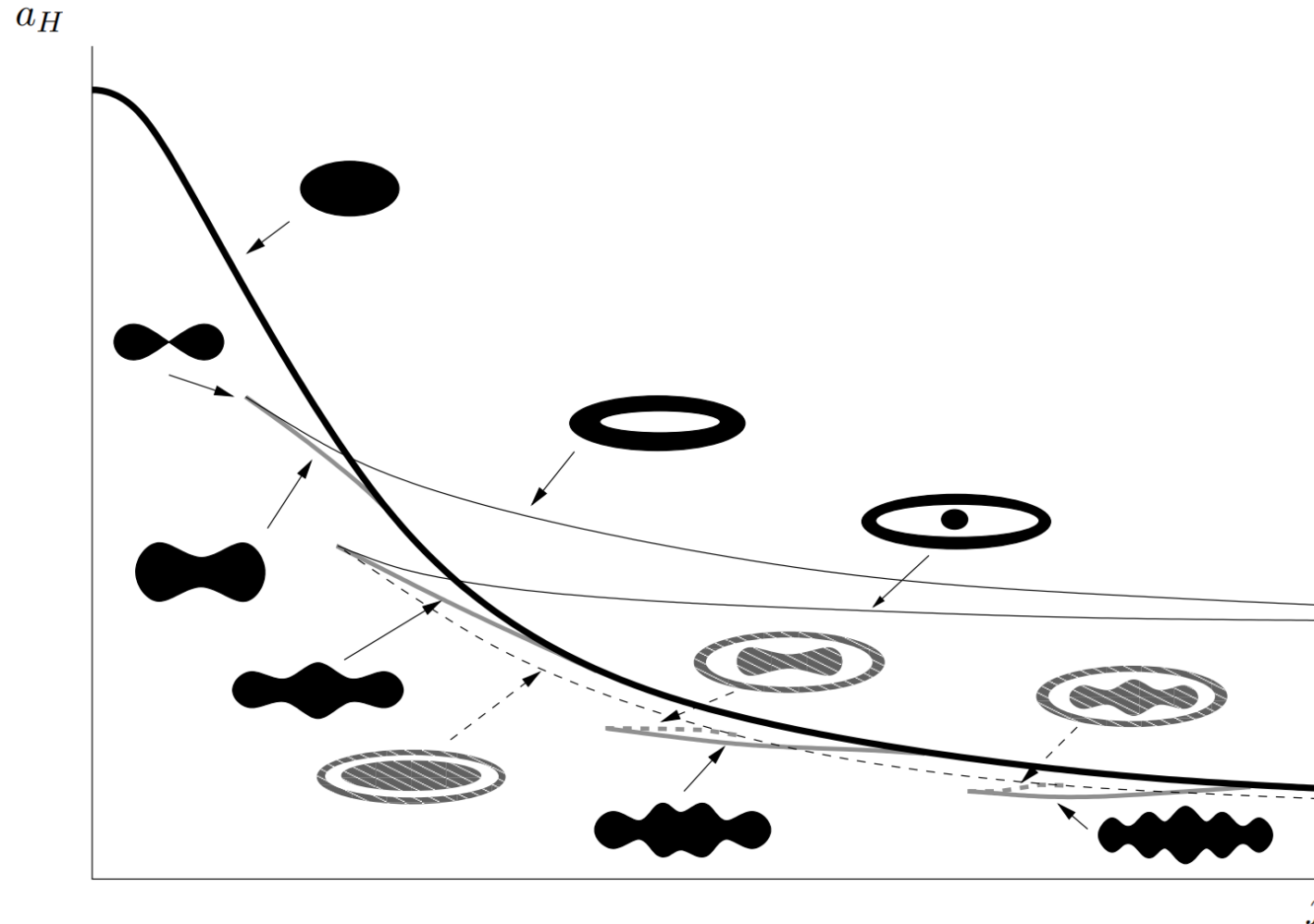
(in progress)



Higher-dimensional compact objects:

Myers-Perry BH, black ring/string/Saturn

(Emparan, hep-th/0110260 PRL, 0708.2181 JHEP, 2002.00963 PLB)



Holographic models:

phase separation in a strongly-coupled, non-Abelian gauge theory (QCD)

(1704.05387PRL, 2007.06467JHEP, 0804.0434PRD)

$$S = \frac{1}{2\kappa^2} \int d^5x \sqrt{-g} \left[\mathcal{R} - 2(\nabla\phi)^2 - 4V(\phi) \right]$$

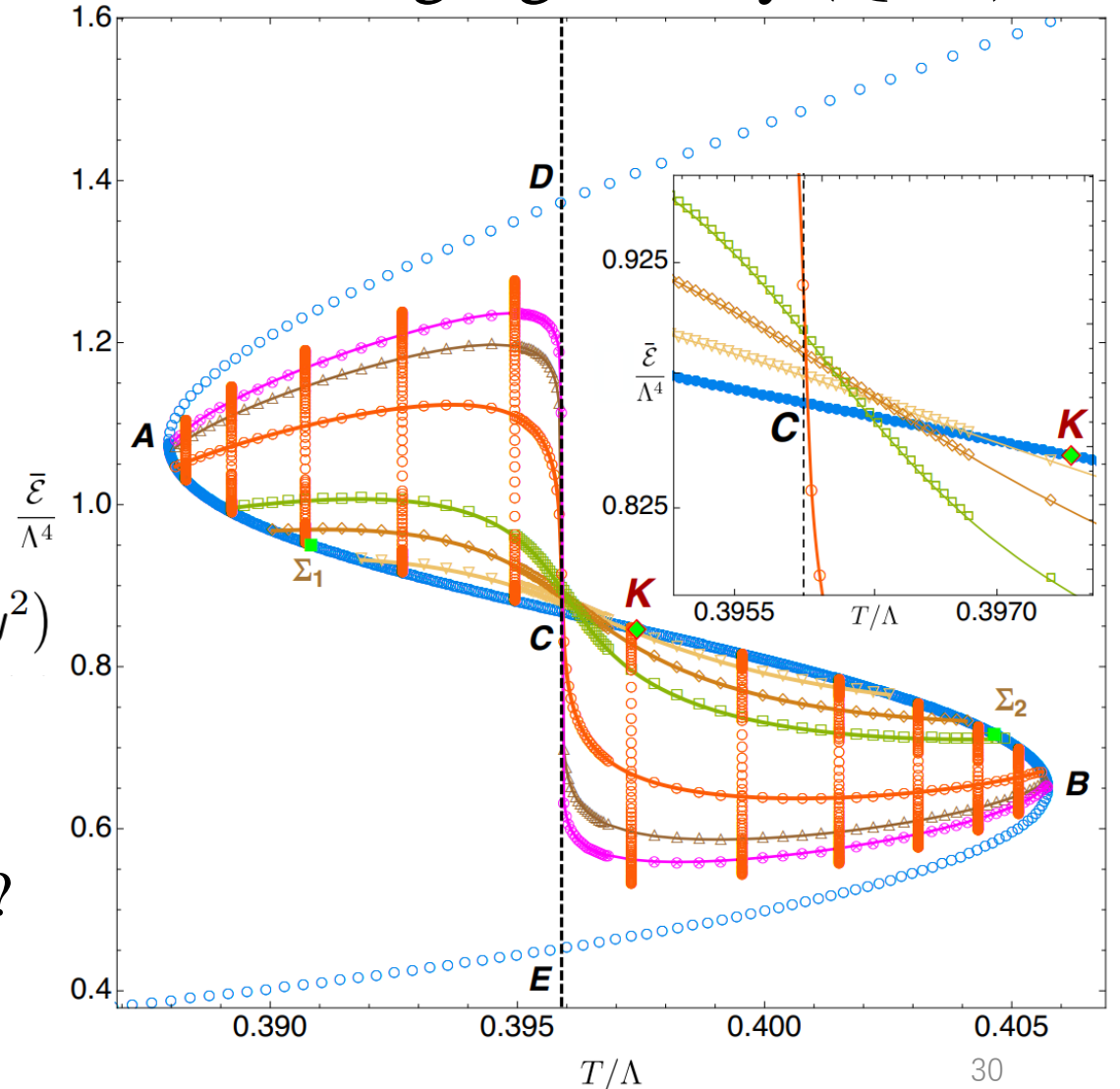
$$V(\phi) = -6 \cosh\left(\frac{\phi}{\sqrt{3}}\right) + b_4\phi^4 \quad \text{Supergravity}$$

$$ds^2 = -A dt^2 - \frac{2 dt dz}{z^2} - 2B dt dx + S^2 (G dx^2 + G^{-1} dy^2)$$

$$x \in [0, L]$$

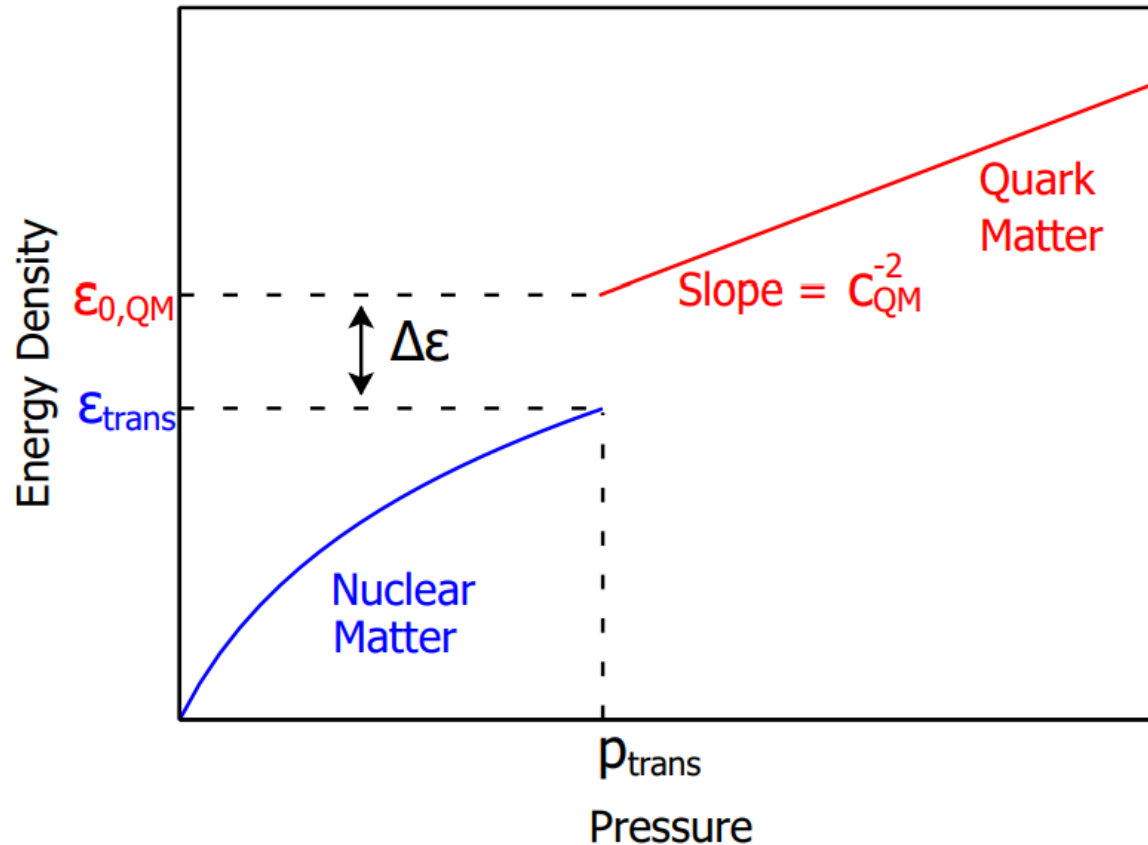
The critical dynamics in holographic models?

(our work: 2209.12789 JHEP)



Neutron star binary merger (1807.03684 PRL, 1810.10967PRD)

- quarks are deconfined (1807.03684 PRL)
- a quark-hadron first-order phase transition would leave in the gravitational-wave signal
 1. The critical dynamics in NS binary merger?
 2. How about first-order phase transition in BH binary merger?



Goal

Classification of dynamical first-order phase transition of BHs?

(1) **Tachyonic** instability: EMS, eSTGB

(2) **Superradiant** instability:

a. Charge: RN + Q-ball,

b. Rotating: Kerr + complex self-interaction scalar

(3) **Gregory-Laflamme** instability: Myers-Perry BH/ Black ring, Holographic

Dynamical first-order phase transition of compact stars?

Thanks for your attention!