

Towards gravitational theories with two tensorial degrees of freedom

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[Based on: 1910.13995, 2011.00805, 2104.07615, 2302.02090]

Introduction and motivation

Why all these?

Phenomenological side:

- (2011 Nobel prize)
 - To explain the early and late accelerated expansion of our universe.
- (2017 Nobel prize)

The gravitational waves have been detected, which are new tools to test gravity theories.

Theoretical side:

To understand if and why GR is unique.

"The best way to understand something is to modify it."

Playing with DoF's

The key question (task):

Keeping the correct degrees of freedom (DoF's).

To introduce the wanted DoF's.

To eliminate the unwanted DoF's.

Two faces of modified gravity

Extra mode(s) without ghost(s).

(2011 Nobel prize) Dark energy / Inflation

"Gravity" is partly described by GR.

(Horndeski, DHOST, Horava, EFT of inflation, dRGT, SCG ...) Non-GR theory for the TTDOFs.

(2017 Nobel prize) Gravitational waves (GWs)

"Gravity" is carried by the same DoF's of GR, but behave differently from that of GR.

(Cuscuton, MMG, 4dEGB, TTDOF, ...)

GR is the unique theory (kinetic term) for the TTDOFs, if we require Lorentz invariance and locality.

Degrees of freedom in GR:



$$h_{\mu\nu} = g_{\mu\nu} - \eta_{\mu\nu}$$

 $\mathcal{L}_2 = c_1 \,\partial_\lambda h^{\mu\nu} \partial^\lambda h_{\mu\nu} + c_2 \,\partial_\mu h^{\mu\nu} \partial_\nu h + c_3 \,\partial_\nu h^{\mu\nu} \partial^\lambda h_{\mu\lambda} + c_4 \,\partial_\mu h \partial^\mu h$

$$\delta_{\xi} h_{\mu\nu} = \partial_{\mu} \xi_{\nu} + \partial_{\nu} \xi_{\mu}$$

$$c_1 = -\frac{1}{4}, \qquad c_2 = -\frac{1}{2}, \qquad c_3 = \frac{1}{2}, \qquad c_4 = \frac{1}{4}.$$



$$\mathcal{L}_{2} \simeq -(\underline{c_{1}+c_{2}+c_{3}+c_{4}})\dot{h}_{00}^{2}+(\underline{c_{1}+c_{4}})\partial_{i}h_{00}\partial_{i}h_{00} +(\underline{2c_{1}+c_{3}})\dot{h}_{0i}\dot{h}_{0i}-c_{3}\partial_{i}h_{0i}\partial_{j}h_{0j}-2c_{1}\partial_{j}h_{0i}\partial_{j}h_{0i} +2(\underline{c_{2}+c_{3}})\dot{h}_{00}\partial_{i}h_{0i} +2h_{0i}\left(c_{2}\partial_{i}\dot{h}_{jj}+c_{3}\partial_{j}\dot{h}_{ij}\right) +h_{00}\left[2c_{4}\partial_{i}^{2}h_{kk}-(\underline{c_{2}+2c_{4}})\ddot{h}_{ii}+c_{2}\partial_{i}\partial_{j}h_{ij}\right] -c_{1}\dot{h}_{ij}^{2}-c_{4}\dot{h}_{ii}^{2}+c_{4}\partial_{j}h_{kk}\partial_{j}h_{ii}+c_{3}\partial_{j}h_{ij}\partial_{k}h_{ik}+c_{2}\partial_{j}h_{ii}\partial_{k}h_{kj}+c_{1}\partial_{k}h_{ij}\partial_{k}h_{ij}.$$

"resummation"

$$\mathcal{L} = \sum_{n} \mathcal{L}_{n} = \sqrt{-\det\left(\eta_{\mu\nu} + h_{\mu\nu}\right)} R\left[\eta_{\mu\nu} + h_{\mu\nu}\right] \equiv \sqrt{g} R[g]$$

GR

How about for the massive gravitons (5 DOFs)?

Mass (potential) terms:

$$\mathcal{L}_2^{(\mathbf{p})} = -rac{1}{2}m^2\left(h_{\mu
u}h^{\mu
u} - h^2
ight) \equiv \mathcal{L}_{\mathrm{FP}}$$
 (Fierz-Pauli mass term)

$$\sum_{n} \mathcal{L}_{n}^{(\mathbf{p})} \simeq \sqrt{-g} \mathcal{L}_{\mathrm{dRGT}}$$

[C. de Rham, G. Gabadadze, A. Tolley, 1011.1232]

How about for the massive gravitons (5 DOFs)?

Kinetic terms:

Very likely GR is also the unique kinetic term for the massive gravitons, with Lorentz invariance and locality.

[C. de Rham, A. Matas, A. Tolley, 1311.6485] [XG, 1403.6781]

New kinetic interactions for massive gravity?

Claudia de Rham, Andrew Matas and Andrew J Tolley

Abstract

We show that there can be no new Lorentz invariant kinetic interactions free from the Boulware–Deser ghost in four dimensions in the metric formulation of gravity, beyond the standard Einstein–Hilbert, up to total derivatives. We

Einstein equation is quite unique.

$$\alpha G_{\mu\nu} + \lambda g_{\mu\nu} = 0$$

[Lovelock, 1971]

Any metric theory of gravity alternative to GR must satisfy (at least):

- extra degrees of freedom,
- extra dimensions (e.g., brane world),
- higher derivative terms (e.g., f(R)),
- non-Riemannian geometry (e.g., f(T)),
- giving up locality.

How about to abandon Lorentz invariance?

Only spatial covariance, but without introducing the unwanted scalar mode?

Spatially covariant gravity

$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

[XG, et al: 1406.0822 , 1409.6708, 1806.02811, 1902.07702, 1910.13995, 2004.07752, 2006.15633, 2111.08652 ...]

2 tensor + 1 scalar DoFs with higher derivative EoMs.



2007 • Cuscuton

[N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

$$S = \int \mathrm{d}^4 x \sqrt{-g} \left(\frac{1}{2} R + \mu^2 \sqrt{\left| -g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right|} - V\left(\phi\right) \right)$$

Causal field theory with an infinite speed of sound

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Daniel J. H. Chung[†] and Ghazal Geshnizjani[‡]

Department of Physics, University of Wisconsin, Madison, Wisconsin 53706, USA (Received 5 December 2006; revised manuscript received 19 February 2007; published 13 April 2007)

We introduce a model of scalar field dark energy, *Cuscuton*, which can be realized as the incompressible (or infinite speed of sound) limit of a scalar field theory with a noncanonical kinetic term (or k-essence). Even though perturbations of Cuscuton propagate superluminally, we show that they have a locally



(cuscuta, 菟丝子)

2007 • Cuscuton

[N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

$$S = \int d^4x \sqrt{-g} \left(\frac{1}{2} R + \mu^2 \sqrt{\left| -g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi \right|} - V\left(\phi\right) \right)$$

Only 2 DOFs when the scalar field is timelike (e.g., in a cosmological background). [H. Gomes, D. Guariento, 1703.08226]

The scalar mode becomes an instantaneous mode (with an infinite speed of sound) and effectively non-dynamical.

[A. De Felice, D. Langlois, S. Mukohyama, K. Noui, A. Wang, 1803.06241]

$$L = \frac{1}{2} \left(\dot{\psi}^2 - \boldsymbol{c_s^2} \left(\partial_i \psi \right)^2 \right)$$

2007 Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

2017 Minimally modified gravity [C. Lin, S. Mukohyama, 1708.03757]

$$S = \int dt d^3x \sqrt{h} N F(K_{ij}, R_{ij}, h_{ij}, \nabla_i; t)$$

with some conditions

2007 Cuscuton [N. Afshordi, D. J.H. Chung, G. Geshnizjani, hep-th/0609150]

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2020	TTDOFs with an auxiliary constraint [ZB. Yao, M. Oliosi, XG , S. Mukohyama, 2011.00805]
2023	TTDOFs with multiple auxiliary constraints [ZB. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

Spatially covariant gravity with TTDOFs

General case of spatially covariant gravity

$$\tilde{S} = \int dt d^3x \, N \sqrt{h} \mathcal{L} \left(N, h_{ij}, K_{ij}, R_{ij}, \nabla_i; t \right)$$

$$S = \tilde{S}_B + \int dt d^3x \frac{\delta \tilde{S}_B}{\delta B_{ij}} \left(K_{ij} - B_{ij} \right)$$

$$\tilde{S}_B \equiv \tilde{S} \Big|_{K_{ij} \to B_{ij}}$$

$$\{ \Phi_I \} \equiv \{ N, N^i, h_{ij}, B_{ij} \}$$

$$\{ \Pi^I \} \equiv \{ \pi, \pi_i, \pi^{ij}, p^{ij} \}$$

1910.13995]

16 primary constraints:

$$\pi := \frac{\delta S}{\delta \dot{N}} \approx 0 \qquad \pi^{i} := \frac{\delta S}{\delta \dot{N}^{i}} \approx 0$$
$$\tilde{\pi}^{ij} := \pi^{ij} - \frac{1}{2N} \frac{\delta \tilde{S}_{B}}{\delta B_{ij}} \approx 0 \qquad p^{ij} := \frac{\delta S}{\delta \dot{B}_{ij}} \approx 0$$



[XG, Z.-B. Yao, 1910.13995]

		π	π^i	$ ilde{\pi}^{ij}$	p^{ij}	С	C_i
	π						
16 primary 🚽	π^i						
	$ ilde{\pi}^{ij}$						
	p^{ij}						
4 secondary	C						
	C_i						

[XG, Z.-B. Yao, 1910.13995]



$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

$$= \frac{1}{2} \left(2 \times 16 - 2 \times 6 - 14 \right)$$

$$= 3$$
[XG, 1409.6708, ...]



$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

$$= \frac{1}{2} \left(2 \times 16 - 2 \times 6 - 14 \right)$$

$$= 3$$
[XG, 1409.6708, ...]



$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$
$$= \frac{1}{2} \left(2 \times 16 - 2 \times 8 - 12 \right)$$
$$= 2$$





TTDOF conditions

We got 2 TTDOF conditions.

[XG, Z.-B. Yao, 1910.13995]

(1) degeneracy condition: The $\{N, K_{ij}\}$ -sector must be degenerate.

$$0 \approx \mathcal{S}\left(\vec{x}, \vec{y}\right) \equiv \frac{\delta^2 S_B}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} - \int d^3 x' \int d^3 y' N\left(\vec{x}'\right) \frac{\delta}{\delta N\left(\vec{x}\right)} \left(\frac{1}{N\left(\vec{x}'\right)} \frac{\delta S_B}{\delta B_{i'j'}\left(\vec{x}'\right)}\right) \times \mathcal{G}_{i'j',k'l'}\left(\vec{x}', \vec{y}'\right) N\left(\vec{y}'\right) \frac{\delta}{\delta N\left(\vec{y}\right)} \left(\frac{1}{N\left(\vec{y}'\right)} \frac{\delta S_B}{\delta B_{k'l'}\left(\vec{y}'\right)}\right)$$

(2) consistency condition:

To completely eliminate the single (extra scalar) DOF, otherwise the dimension of the phase space would be odd.

$$0 \approx \mathcal{J}(\vec{x}, \vec{y}) \equiv \int \mathrm{d}^3 x' \int \mathrm{d}^3 y' \int \mathrm{d}^3 x'' \int \mathrm{d}^3 y'' \frac{\delta C(\vec{x})}{\delta B_{ij}(\vec{x}')} \mathcal{G}_{ij,i'j'}(\vec{x}', \vec{x}'')$$
$$\times N(\vec{x}'') \frac{\delta^2 S_B}{\delta h_{i'j'}(\vec{x}'') \delta B_{k'l'}(\vec{y}'')} \mathcal{G}_{k'l',kl}(\vec{y}'', \vec{y}') \frac{\delta C(\vec{y})}{\delta B_{kl}(\vec{y}')}$$
$$- \int \mathrm{d}^3 x' \int \mathrm{d}^3 y' \frac{\delta C(\vec{x})}{\delta B_{ij}(\vec{x}')} \mathcal{G}_{ij,kl}(\vec{x}', \vec{y}') N(\vec{y}') \frac{\delta C(\vec{y})}{\delta h_{kl}(\vec{y}')} - (\vec{x} \leftrightarrow \vec{y})$$

Special cases

(1) "minimally modified gravity"

[C. Lin, S. Mukohyama, 1708.03757]

$$S = \int \mathrm{d}t \mathrm{d}^3x \sqrt{hN} F\left(K_{ij}, R_{ij}, h_{ij}, \nabla_i; t\right)$$

The "degeneracy" condition is automatically satisfied, while the "consistency" condition must be satisfied.

(2) "extended cuscuton" (SCG without spatial der.)

[A. Iyonaga, K. Takahashi, T. Kobayashi, 1809.10935]

$$S = \int dt d^3x \, N\sqrt{h} \, \mathcal{L}\left(N, h_{ij}, K_{ij}, R_{ij}; t\right)$$

The "degeneracy" condition must be satisfied, then the "consistency" condition is also needed.

A concrete example

[XG, Z.-B. Yao, 1910.13995]

Quadratic in K_{ij} :

$$S^{(\text{quad})} = \int dt d^3 x \, N \sqrt{h} \left[\frac{N}{\beta_2 + N} K^{ij} K_{ij} - \frac{1}{3} \left(\frac{2N}{\beta_1 + N} + \frac{N}{\beta_2 + N} \right) K^2 + \rho_1 + \rho_2 R + \frac{1}{N} \left(\rho_3 + \rho_4 R \right) \right],$$

GR: $\beta_1 = \beta_2 = \rho_3 = \rho_4 = 0, \quad \rho_1 = \text{const.} \quad \rho_2 = 1$

Cuscuton: $\beta_1 = \beta_2 = \rho_4 = 0, \quad \rho_2 = 1$

A concrete example

[A. Iyonaga, T. Kobayashi, 2109.10615]

II. SPATIALLY COVARIANT GRAVITY WITH TWO TENSORIAL DEGREES OF FREEDOM

In this section, we introduce a class of spatially covariant modified gravity in which there are just two tensorial d.o.f. [19] and in particular there is no scalar d.o.f.

A. "Scalarless" scalar-tensor theories

$$\begin{split} S &= \frac{1}{2} \int \mathrm{d}t \mathrm{d}^3 x N \sqrt{\gamma} \bigg[\frac{\beta_0 N}{\beta_2 + N} K_{ij} K^{ij} \\ &- \frac{\beta_0}{3} \left(\frac{2N}{\beta_1 + N} + \frac{N}{\beta_2 + N} \right) K^2 \\ &+ \alpha_1 + \alpha_2 R + \frac{1}{N} (\alpha_3 + \alpha_4 R) \bigg], \end{split}$$

(3)

- evade solar system tests as far as the ppN parameter γ is concerned;
- $c_{GW} = 1$ (as $\alpha_2 = 1, \alpha_4 = 0$)
- no modification to asymptotically flat black holes (at rest with respect to the preferred frame);
- cosmological dynamics can be identical to ΛCDM.

A concrete example

[N. Bartolo, A. Ganz, S. Matarrese, 2111.06794]

3 Generalized Cuscuton

In [4] the authors constructed a spatial covariant gravity theory up to the quadratic level in derivatives of the metric with just two tensor degrees of freedom which can be understood as a generalization of the cuscuton model in the unitary gauge. The action is given by

$$S = \frac{1}{2} \int d^4x \sqrt{h} N \left[\frac{N}{b_2 + N} K_{ij} K^{ij} - \frac{1}{3} \left(\frac{2N}{b_1 + N} + \frac{N}{b_2 + N} \right) K^2 + \rho_1 + (1 + \rho_2) \bar{R} + \frac{1}{N} (\rho_3 + \rho_4 \bar{R}) \right],$$
(3.1)

$$\delta_2 S = \int d^3 k d\tau \, \frac{1}{2} z^2(k,\tau) \left[\tilde{\xi}'^2 - c_s^2(k,\tau) \frac{k^2}{a^2} \tilde{\xi}^2 \right], \qquad (3.6)$$

where

$$z^{2}(k,\tau) = 2a^{2}\alpha \frac{b(1+\rho_{2})^{2}k^{4} + d_{1}\mathcal{H}^{2}k^{2} + d_{2}\mathcal{H}^{4}}{b(1+\rho_{2})^{2}k^{4} + d_{3}\mathcal{H}^{2}k^{2} + d_{4}\mathcal{H}^{4}},$$

$$c_{s}^{2}(k,\tau) = \frac{b^{2}(1+\rho_{2})^{4}k^{8} + bd_{5}\mathcal{H}^{2}k^{6} + d_{6}\mathcal{H}^{4}k^{4} + d_{7}\mathcal{H}^{6}k^{2} + d_{8}\mathcal{H}^{8}}{b^{2}(1+\rho_{2})^{2}k^{8} + bd_{9}\mathcal{H}^{2}k^{6} + d_{10}\mathcal{H}^{4}k^{4} + d_{11}\mathcal{H}^{6}k^{2} + d_{12}\mathcal{H}^{8}},$$
(3.7)
$$(3.7)$$

Perturbative analysis

Construct the Lagrangian perturbatively

[Y. Hu, XG, 2104.07615]

TTDOF conditions are too complicated.

Question: how to find concrete Lagrangians?

A simple example

[Y. Hu, XG, 2104.07615]

The most general local and spatially covariant Lagrangian with d=2:

$$\mathcal{L} = c_1 K_{ij} K^{ij} + c_2 a_i a^i + c_3 K^2 + c_4 R$$

Expanding around an FRW background:

$$N = \bar{N}e^{A}, \qquad N_i = \bar{N}a\,\partial_i B, \qquad h_{ij} = a^2 e^{2\zeta}\delta_{ij}.$$

By killing the kinetic term $\dot{\zeta}$ order by order, we can determine the coefficients.

A simple example

[Y. Hu, XG, 2104.07615]

• Killing $\dot{\zeta}$ at the 2nd order:

$$\mathcal{L} = c_1 \hat{K}_{ij} \hat{K}^{ij} + \frac{1}{3} \frac{C_1 N}{1 + C_2 N} K^2 + c_4 R, \qquad \hat{K}_{ij} = K_{ij} - \frac{1}{3} K h_{ij}$$

GR is a special case:

$$c_1 = c_4 = 1,$$
 $|C_1|, |C_2| \to \infty,$ keeping $\frac{C_1}{C_2} = -2.$

• Killing $\dot{\zeta}$ at the 3rd order:

$$\mathcal{L} = \frac{C_5 C_1 N}{1 - 2C_5 C_2 N} \left(K_{ij} K^{ij} - \frac{1}{3} K^2 \right) + \frac{1}{3} \frac{C_1 N}{1 + C_2 N} K^2 + \left(C_3 + \frac{C_4}{N} \right) R$$

A simple example

[Y. Hu, XG, 2104.07615]

Lagrangian got by the perturbative analysis:

$$\mathcal{L} = \frac{C_5 C_1 N}{1 - 2C_5 C_2 N} \left(K_{ij} K^{ij} - \frac{1}{3} K^2 \right) + \frac{1}{3} \frac{C_1 N}{1 + C_2 N} K^2 + \left(C_3 + \frac{C_4}{N} \right) R$$
Reproduce the full TTDOF Lagrangian.

Lagrangian got by constraint analysis:

[XG, Z.-B. Yao, 1910.13995]

$$S^{(\text{quad})} = \int dt d^3 x \, N \sqrt{h} \left[\frac{N}{\beta_2 + N} K^{ij} K_{ij} - \frac{1}{3} \left(\frac{2N}{\beta_1 + N} + \frac{N}{\beta_2 + N} \right) K^2 + \rho_1 + \rho_2 R + \frac{1}{N} \left(\rho_3 + \rho_4 R \right) \right],$$

TTDOFs with an auxiliary constraint

Working directly with the Hamiltonian



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Working directly with the Hamiltonian

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

A 20-dim phase space:
$$\Phi_{I} = \{N, N^{i}, h_{ij}\} \qquad \Pi^{I} = \{\pi, \pi_{i}, \pi^{ij}\}$$
$$H_{T} = \int d^{3}x \sqrt{h} \left[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \frac{\nu \varphi\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right)}{4} + \frac{\lambda \pi}{4} + \frac{N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i}}{4}\right]$$
free function auxiliary constraint N is non-dyn. spatial diff.

$$\mathcal{H}_i = \sum_I \Pi^I \pounds_{\vec{N}} \Phi_I$$

8 constraints: $\pi_i \approx 0, \qquad \mathcal{H}_i \approx 0,$ $\pi \approx 0,$ $\varphi pprox 0$ $\pi \mid \pi_i \mid \mathcal{H}_i \mid \varphi$ 2 second class 0 0 π $rac{\pi_i}{\mathcal{H}_i}$ 0 0 0 0 6 first class (spatial diff.) 0 0 0 0 0 0 φ

Working directly with the Hamiltonian

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

A 20-dim phase space:
$$\Phi_{I} = \{N, N^{i}, h_{ij}\} \qquad \Pi^{I} = \{\pi, \pi_{i}, \pi^{ij}\}$$
$$H_{T} = \int d^{3}x \sqrt{h} \left[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right) + \frac{\nu \varphi\left(N, h_{ij}, \pi^{ij}; \nabla_{i}\right)}{\mathsf{free function}} + \frac{\lambda \pi}{\mathsf{n}} + \frac{N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i}}{\mathsf{n}}\right]$$
free function auxiliary constraint N is non-dyn. spatial diff.

$$\mathcal{H}_i = \sum_I \Pi^I \pounds_{\vec{N}} \Phi_I$$

8 constraints: $\pi \approx 0$, $\pi_i \approx 0$, $\mathcal{H}_i \approx 0$, $\varphi \approx 0$

$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$
$$= \frac{1}{2} \left(2 \times 10 - 2 \times 6 - 2 \right)$$
$$= 3$$

Further requirement on φ and/or \mathcal{H} .

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \Big[\mathcal{V} \left(h_{ij}, \pi^{ij}; \nabla \right) + N \mathcal{H}_{0} \left(h_{ij}, \pi^{ij}; \nabla \right) + \nu \varphi_{0} \left(h_{ij}, \pi^{ij}; \nabla \right) \\ + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \Big] \\ \frac{\pi}{\pi} \frac{\pi}{\lambda} \frac{$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

$$H_{\rm T} = \int {\rm d}^3x \left[\mathcal{V} \left(h_{ij}, \pi^{ij}; \nabla \right) + N\mathcal{H}_0 \left(h_{ij}, \pi^{ij}; \nabla \right) + \nu \varphi_0 \left(h_{ij}, \pi^{ij}; \nabla \right) \right. \\ \left. + \lambda \pi + N^i \mathcal{H}_i + \lambda^i \pi_i \right] \\ \left. \frac{\pi}{\pi} \left[\begin{array}{c} \pi & \pi_i & \mathcal{H}_i & \varphi & \dot{\pi} \\ \hline \pi & 0 & 0 & 0 & 0 \\ \hline \pi_i & 0 & 0 & 0 & 0 \\ \mathcal{H}_i & 0 & 0 & 0 & 0 \\ \hline \varphi & 0 & 0 & 0 & X & X \\ \hline \pi & 0 & 0 & 0 & X & X \end{array} \right]$$

$$2 \text{ second class}$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ is pushed to be a first-class constraint.

We need to require (constraint on both φ and \mathcal{H})

$$\frac{\delta \varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \frac{\delta^2 \int \mathrm{d}^3 z \,\mathcal{H}\left(\vec{z}\right)}{\delta N\left(\vec{x}\right) \delta N\left(\vec{y}\right)} \approx 0$$

The general solution:

$$H_{\rm T} = \int d^3x \Big[\mathcal{V} \left(h_{ij}, \pi^{ij}; \nabla \right) + N \mathcal{H}_0 \left(h_{ij}, \pi^{ij}; \nabla \right) + \nu \varphi_0 \left(h_{ij}, \pi^{ij}; \nabla \right) \\ + \lambda \pi + N^i \mathcal{H}_i + \lambda^i \pi_i \Big]$$

$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

= $\frac{1}{2} \left(2 \times 10 - 2 \times (6+1) - (2-1+1) \right)$
= 2

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ remains to be a second-class constraint.

We need to require (constraint on φ only)

$$\frac{\delta\varphi\left(\vec{y}\right)}{\delta N\left(\vec{x}\right)} \approx 0, \qquad \int \mathrm{d}^{3}z \left(\frac{\delta\varphi\left(\vec{x}\right)}{\delta h_{ij}\left(\vec{z}\right)} \frac{\delta\varphi\left(\vec{y}\right)}{\delta\pi^{ij}\left(\vec{z}\right)} - \left(\vec{x}\leftrightarrow\vec{y}\right)\right) \approx 0$$

A special solution:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \left[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla\right) + \nu \tilde{\varphi}\left(h_{ij}, \pi^{ij}\right) + \lambda \pi + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \right]$$
$$\frac{\pi}{\pi} \left[\begin{array}{c} \pi & \pi_{i} & \mathcal{H}_{i} & \mathcal{H}_{i} \\ \hline \pi & \chi & 0 & 0 & \chi \\ \hline \pi_{i} & 0 & 0 & 0 & 0 \\ \hline \mathcal{H}_{i} & 0 & 0 & 0 & 0 \\ \hline \varphi & \chi & 0 & 0 & \chi \end{array} \right]$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

If $\pi \approx 0$ remains to be a second-class constraint.

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A special solution:

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2011.00805]

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A special solution:

$$H_{\rm T} = \int \mathrm{d}^3 x \Big[\mathcal{H}\left(N, h_{ij}, \pi^{ij}; \nabla\right) + \nu \tilde{\varphi}\left(h_{ij}, \pi^{ij}\right) + \lambda \pi + N^i \mathcal{H}_i + \lambda^i \pi_i \Big]$$

$$\#_{\text{DOF}} = \frac{1}{2} \left(2 \times \#_{\text{var}} - 2 \times \#_1 - \#_2 \right)$$

$$= \frac{1}{2} \left(2 \times 10 - 2 \times 6 - (2+2) \right)$$

$$= 2$$

With multiple auxiliary constraints

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{\rm T} = \int d^3x \left[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_i \right) + N^i \mathcal{H}_i + \lambda^i \pi_i \right. \\ \left. + \mu_{\rm n} \mathcal{S}^{\rm n} + \nu_{\rm m}^i \mathcal{V}_i^{\rm m} + \rho_{\rm r}^{ij} \mathcal{T}_{ij}^{\rm r} \right]$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{\rm T} = \int d^3x \left[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_i \right) + N^i \mathcal{H}_i + \lambda^i \pi_i \right] \\ + \mu_{\rm n} \mathcal{S}^{\rm n} + \nu_{\rm m}^i \mathcal{V}_i^{\rm m} + \rho_{\rm r}^{ij} \mathcal{T}_{ij}^{\rm r} \right]$$

$$N^{i}\mathcal{H}_{i} \simeq \sum_{I} \Pi^{I} \pounds_{\vec{N}} \Phi_{I} = \pi \pounds_{\vec{N}} N + \pi_{i} \pounds_{\vec{N}} N^{i} + \pi^{ij} \pounds_{\vec{N}} h_{ij}$$

$$[\mathcal{H}_i, Q] \approx 0, \quad \forall Q \approx 0 \qquad \qquad [\pi_i, Q] = -\frac{\delta Q}{\delta N^i} \equiv 0$$

 $\mathcal{H}_i \approx 0_i, \quad \pi_i \approx 0_i$

first class

spatial diffeomorphism

c ~

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{\rm T} = \int d^3x \left[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_i \right) + N^i \mathcal{H}_i + \lambda^i \pi_i \right. \\ \left. \left. \left. + \mu_{\rm n} \mathcal{S}^{\rm n} + \nu_{\rm m}^i \mathcal{V}_i^{\rm m} + \rho_{\rm r}^{ij} \mathcal{T}_{ij}^{\rm r} \right] \right] \right.$$

Auxiliary constraints:

(scalar)	${\cal S}^{ m n}pprox 0^{ m n},$	$\mathrm{n}=1,\cdots,\mathcal{N}$
(vector)	$\mathcal{V}^{\mathrm{m}}_{i}pprox 0^{\mathrm{m}}_{i},$	$\mathrm{m}=1,\cdots,\mathcal{M}$
(tensor)	$\mathcal{T}_{ij}^{\mathrm{r}} pprox 0_{ij}^{\mathrm{r}},$	$\mathrm{r}=1,\cdots,\mathcal{R}$

We assume them to be second class (the general case).

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{T} = \int d^{3}x \left[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_{i} \right) + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \right]$$

$$+ \mu_{n} \mathcal{S}^{n} + \nu_{m}^{i} \mathcal{V}_{i}^{m} + \rho_{r}^{ij} \mathcal{T}_{ij}^{r} \right]$$

$$\#_{dof} = \frac{1}{2} \left(\#_{var} \times 2 - \#_{1st} \times 2 - \#_{2nd} \right)$$

$$= \frac{1}{2} \left[\left(4_{s} + 4_{v} + 2_{t} \right) \times 2 - \left(1_{s} + 2_{v} \right) \times 2 \times 2 \right]$$

$$- 1_{s} \times \mathcal{N} - \left(1_{s} + 2_{v} \right) \times \mathcal{M} - \left(2_{s} + 2_{v} + 2_{t} \right) \times \mathcal{R} \right]$$

$$= \left(2_{t} - \mathcal{R}_{t} \right) - \left(\mathcal{M}_{v} + \mathcal{R}_{v} \right) + \frac{1}{2} \left(4_{s} - \mathcal{N}_{s} - \mathcal{M}_{s} - 2 \times \mathcal{R}_{s} \right)$$
If no auxiliary constraints
$$4 \operatorname{dof} = 2t + 2s$$

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with multiple auxiliary constraints:

$$H_{\mathrm{T}} = \int \mathrm{d}^{3}x \Big[\mathscr{H} \left(N, \pi, h_{ij}, \pi^{ij}; \nabla_{i} \right) + N^{i} \mathcal{H}_{i} + \lambda^{i} \pi_{i} \\ + \mu_{\mathrm{n}} \mathcal{S}^{\mathrm{n}} + \nu_{\mathrm{m}}^{i} \mathcal{V}_{i}^{\mathrm{m}} + \rho_{\mathrm{r}}^{ij} \mathcal{T}_{ij}^{\mathrm{r}} \Big]$$

$$2 - \mathcal{R} \ge 0, \quad \mathcal{M} + \mathcal{R} \le 0 \qquad \qquad 4 - \mathcal{N} - \mathcal{M} - 2\mathcal{R} \ge 0$$

$$\mathcal{R} = 0, \quad \mathcal{M} = 0, \quad \mathcal{N} \le 4$$

No vector nor tensor constraints; No more than 4 scalar constraints.

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

A general Hamiltonian with scalar auxiliary constraints:

$$H_{\rm T} = \int \mathrm{d}^3 x \left(\mathscr{H} + \mu_{\rm n} \mathcal{S}^{\rm n} + N^i \mathcal{H}_i + \lambda^i \pi_i \right)$$

$$\begin{split} \#_{dof} &= \frac{1}{2} \left(\#_{var} \times 2 - \#_{1st} \times 2 - \#_{2nd} \right) \\ &= \frac{1}{2} \left[\left(4_s + 4_v + 2_t \right) \times 2 - \left(1_s + 2_v \right) \times 2 \times 2 \right. \\ &- \#_{1st}^s \times 2 - \#_{2nd}^s \right] \\ &= 2_t + \frac{1}{2} \left(4_s - \#_{1st}^s \times 2 - \#_{2nd}^s \right) \end{split}$$

$$4 - \#_{1st}^{s} \times 2 - \#_{2nd}^{s} = 0 \qquad \qquad \mathcal{N} \le \#_{1st}^{s} + \#_{2nd}^{s} \le 4$$

Classification of TTDOF theories

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

The "minimalizing" and "symmetrizing" conditions.

# ACs	Minimalizing cond.	Symmetrizing cond.	Classifications
$\mathcal{N}=4$	none	none	$\#_{1st}^{s} = 0, \#_{2nd}^{s} = 4$
$\mathcal{N}=3$	$\begin{bmatrix} S^1 & S^n \end{bmatrix}$	$\left[\mathcal{S}^{1},\mathscr{H} ight]$	$\#_{1st}^{s} = 1, \#_{2nd}^{s} = 2$
		none	$\#_{1st}^{s} = 0, \ \#_{2nd}^{s} = 4$
$\mathcal{N}=2$	$ig[\mathcal{S}^1,\mathcal{S}^nig] \ \& \ ig[\mathcal{S}^2,\mathcal{S}^2ig]$	$\left[\mathcal{S}^{1},\mathscr{H} ight]$ & $\left[\mathcal{S}^{2},\mathscr{H} ight]$	$\#_{1st}^{s} = 2, \ \#_{2nd}^{s} = 0$
		$\left[egin{array}{c} \mathcal{S}^2, \dot{\mathcal{S}}^1 ight] \& \left[\mathcal{S}^2, \mathscr{H} ight]$	$\#_{1st}^{s} = 1, \ \#_{2nd}^{s} = 2$
		none	$\#_{1st}^{s} = 0, \ \#_{2nd}^{s} = 4$
	$\left[\mathcal{S}^1,\mathcal{S}^{\mathrm{n}} ight]$ & $\left[\mathcal{S}^1,\dot{\mathcal{S}}^1 ight]$	$\left[\dot{\mathcal{S}}^{1},H_{\mathrm{P}} ight]$	$\#_{1st}^{s} = 1, \ \#_{2nd}^{s} = 2$
		none	$\#_{1st}^{s} = 0, \ \#_{2nd}^{s} = 4$
$\mathcal{N} = 1$	$egin{array}{c} [\mathcal{S}^1,\mathcal{S}^1], egin{array}{c} \mathcal{S}^1,\dot{\mathcal{S}}^1 \end{bmatrix} \& egin{array}{c} \dot{\mathcal{S}}^1,\dot{\mathcal{S}}^1 \end{bmatrix}$	$\left[\dot{\mathcal{S}}^{1},\mathscr{H} ight]$	$\#_{1st}^{s} = 2, \ \#_{2nd}^{s} = 0$
		$\left[\dot{\mathcal{S}}^{1},\ddot{\mathcal{S}}^{1} ight]$	$\#_{1st}^{s} = 1, \ \#_{2nd}^{s} = 2$
	$\left[\left[\mathcal{S}^1, \mathcal{S}^1 ight], \left[\mathcal{S}^1, \dot{\mathcal{S}}^1 ight] \& \left[\mathcal{S}^1, \ddot{\mathcal{S}}^1 ight] ight]$	$\left[\ddot{\mathcal{S}}^{1},\mathscr{H} ight]$	$\#_{1st}^{s} = 1, \ \#_{2nd}^{s} = 2$
		none	$\#_{1st}^{s} = 0, \#_{2nd}^{s} = 4$

Cayley-Hamilton construction

[Z.-B. Yao, M. Oliosi, XG, S. Mukohyama, 2302.02090]

$$\begin{aligned} \mathscr{R}^{\mathrm{I}} &\equiv \left\{ R_{i}^{i}, R_{j}^{i} R_{i}^{j}, R_{j}^{i} R_{k}^{j} R_{i}^{k} \right\} \\ \Pi^{\mathrm{I}} &\equiv \left\{ \pi_{i}^{i}, \pi_{j}^{i} \pi_{i}^{j}, \pi_{j}^{i} \pi_{k}^{j} \pi_{i}^{k} \right\} \\ \mathscr{Q}^{\mathrm{I}} &\equiv \left\{ R_{j}^{i} \pi_{i}^{j}, R_{j}^{i} \pi_{k}^{j} \pi_{i}^{k}, R_{j}^{i} R_{k}^{j} \pi_{i}^{k} \right\} \end{aligned}$$

$$\mathcal{S}^{\mathrm{I}} = \mathscr{Q}^{\mathrm{I}} - \mathscr{P}^{\mathrm{I}}(N) \approx 0, \quad \mathrm{I} = 1, 2, 3$$

$$H_{\mathrm{T}}^{(\mathrm{C.H.})} = \int \mathrm{d}^{3}x \left[\mathscr{H}^{(\mathrm{C.H.})} + N^{i}\mathcal{H}_{i} + \lambda^{i}\pi_{i} + \lambda\pi + \mu_{\mathrm{I}} \left(\mathscr{Q}^{\mathrm{I}} - \mathscr{P}^{\mathrm{I}} \right) \right],$$

$$\mathscr{H}^{(\mathrm{C.H.})} = \mathscr{H}^{(\mathrm{C.H.})}\left(N, R_{ij}, \pi^{ij}\right)$$



Main message from this talk

There are non-GR theories propagating TTDOF's respecting only spatial covariance.

We find the TTDOF conditions in 2 approaches:

- 1. (Lagrangian side) spatially covariant gravity with TTDOFs;
- 2. (Hamiltonian side) TTDOFs with auxiliary constraint(s).

We also show a simpler perturbative analysis to construct concrete Lagrangians.

Open questions:

Concrete Lagrangian (so that can be applied in practice); Different from/equivalent to GR; To be tested against the observations;

•••

Thank you for your attention!