

# Localization of Fermions in Higher-Dimensional Spacetime

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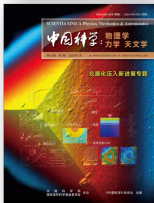
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- **1. Introduction and motivation**
- **2. Clifford algebra  $\mathbb{C}\ell(2n+1, 1)$**
- **3. Decomposition of fermion in  $(2n+2)$ -dimensions**
- **4. Localization of fermion in 6D spacetime**
- **5. Summary**

## Extra dimensions and braneworld models

- Compact extra dimensions—**Kaluza-Klein (KK) theory**
- Infinite flat extra dimensions—**Domain wall**
- Infinite warped extra dimensions—**Thin brane**
- Infinite warped extra dimensions—**Thick brane**

# Compact extra dimensions—KK theory

Kaluza-Klein (KK) theory (1920) [Kaluza et al. 1921, Klein, 1926]

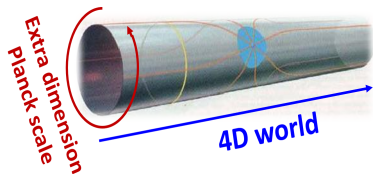


Figure: Picture of KK theory with topology  $M_4 \times S^1$

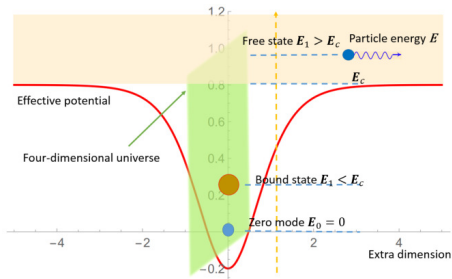
- The motivation is to unify Maxwell's electromagnetism theory and Einstein's general relativity.
- It contains a compact extra dimension.
- Reduction of 5D fermion does not result in a 4D chiral theory.
- KK theory only allows 4D neutral particles in the Standard Model.

# Infinite flat extra dimension—Domain wall

**Domain wall (DW) scenario** [Akama, Rubakov, Shaposhnikov, 1983]

$$ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu + dy^2$$

- Our 4D world is a DW embedded in 5D flat space-time.
- Generated by a scalar field:  $\phi(y) = v_0 \tanh(ky)$ .
- Fermions can be localized on DW by Yukawa coupling  $\eta\phi\bar{\Psi}\Psi$ .



[Y.-X. Liu, Y. Zhong, and K. Yang, Progress in Physics 37 (2017) 41.]

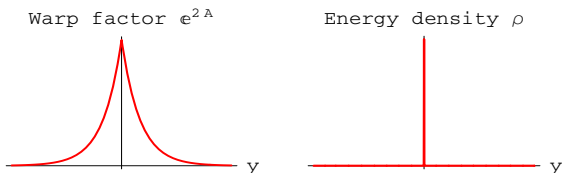
- **Newton's law can not be recovered on DW.**

# Infinite warped extra dimensions—Thin brane

**Thin brane scenario** [Randall and Sundrum (RS), 1999]

$$ds^2 = e^{-2k|y|} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2$$

The energy density:  $\rho(y) \propto \sigma\delta(y)$



- Our 4D world is a brane embedded in a 5D spacetime.
- **Newton's law can be recovered on brane**

$$U(r) = G_N \frac{m_1 m_2}{r} \left( 1 + \frac{1}{k^2 r^2} \right).$$

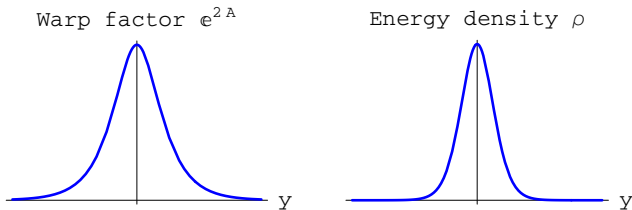
- The brane has no inner structure.

# Infinite warped extra dimensions—Thick brane

## Thick brane scenario

Combined the Randall-Sundrum-2 model and the domain wall model, a thick brane has thickness and inner structure.

$$ds^2 = e^{2A(y)} \hat{g}_{\mu\nu}(x) dx^\mu dx^\nu + dy^2$$



- It includes infinite but warped extra dimensions.
- The brane is generated by one or more scalar fields.
- Newton's law can be recovered on the brane.




# Motivation for higher dimensions

## Localization of graviton and other fields

- The standard model particles (**zero modes of various bulk matter and gauge fields**) should be Localized on the brane.
- For **Randall-Sundrum-like brane models**, graviton and a free massless scalar field can be localized on the brane.
- Localization condition for a free vector field is <sup>1</sup>  
# of extra dimensions  $>$  # of infinite extra dimensions.  
Thus, **a free vector field can not be localized on the brane in AdS<sub>5</sub>.**
- It is not possible to simultaneously localize graviton, free scalar and vector fields in a 5D Randall-Sundrum-like brane model.  
We should consider six or higher-dimensional spacetime.

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<sup>1</sup>L. F. Freitas, G.Alencar, and R. Landim, JHEP 02 , 035 (2019), arXiv:1809.07197[hep-th] 

# Difficulty for fermion localization

## Difficulty for fermion localization in higher dimensions

- In 5D brane models, **the Yukawa coupling** allows for localization of a fermion field on the brane. There are a lot of related works <sup>2</sup>.
- In higher dimensional brane models, a free fermion can not be localized on the brane. While the Yukawa coupling results in that **the left-handed and right-handed massive KK modes are not decoupled**, and hence the fermion can not be localized.
- This leads to the difficulty in obtaining a 4D free fermion theory.

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<sup>2</sup>arXiv: 1707.08541 [hep-th]

# The usual ways for fermion localization

- Suppose that the 4D spinor comes from a 4D Weyl spinor<sup>3</sup>

$$\Psi^{(8)} = \begin{pmatrix} \Psi^{(4)} \\ 0 \end{pmatrix},$$

which assumes that the underlying theory is chiral. It cannot explain the origin of chirality.

- Localization mechanism is not introduced, and the extra dimension parts of each component of the spinor is the same<sup>4</sup>:

$$\Psi^{(8)}(x^M) = \psi^{(8)}(x^\mu) \alpha(r) \sum e^{i l \theta}.$$

For conformal flat spacetime, fermions cannot be localized in this way. And under this assumption, the difference between left and right chiralities is ignored.

<sup>3</sup>[Nucl. Phys. B 767 (2007) 54, hep-th/0608074; JHEP 04 (2007) 097, hep-th/0701010]

<sup>4</sup>[Phys. Lett. B 496 (2000), hep-th/0006203]

# What we would like to do?

- A new localization mechanism is introduced to ensure the Lorentz symmetry and the decoupling of higher-dimensional left-handed and right-handed fermions.
- The fermion zero mode can be Localized on the brane, and hence a 4D effective action of free spinor fields can be obtained.
- In order to explain the chirality of fermions, it is better to get a 4D effective chirality theory by reducing from a fundamental theory.

- 1. Introduction and motivation
- 2. Clifford algebra  $\mathbb{C}l(2n+1, 1)$
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## 2. Clifford algebra $\mathbb{C}\ell(2n+1, 1)$

Given a  $(2n+2)$ -dimensional complex space  $\mathbb{C}^N$ , the  $(2n+2)$ -dimensional Clifford algebra  $\mathbb{C}\ell(2n+1, 1)$  generated by Dirac matrices  $\Gamma_A$  ( $A = 0, 1, 2, \dots, 2n+1$ ) is defined by

$$\{\Gamma_A, \Gamma_B\} = 2\eta_{AB}I_{2n+2}. \quad (1)$$

The above definition can be generalized to the scenario of a curved spacetime

$$\{E_M^A \Gamma_A, E_N^B \Gamma_B\} = 2g_{MN}I_{2n+2} \quad (2)$$

with

$$g_{MN} = E_M^A E_N^B \eta_{AB}. \quad (3)$$

## 2. Clifford algebra $\mathbb{C}l(2n+1, 1)$

Construct  $\mathbb{C}l(2n+1, 1)$  generators  $\Gamma_A$  from  $\mathbb{C}l(2n-1, 1)$  <sup>5</sup>:

Weyl representation  $\Gamma_A^{(1)}$  or  $\Gamma_A^{(2)}$ :

$$\Gamma_{\mu}^{(1)} = \sigma_1 \otimes \gamma_{\mu}, \Gamma_{2n+1}^{(1)} = -i\sigma_1 \otimes \gamma_{2n+1}, \Gamma_{2n+2}^{(1)} = -i\sigma_2 \otimes \mathbf{1}_{2^n}, \Gamma_{2n+3}^{(1)} = \sigma_3 \otimes \mathbf{1}_{2^n}$$

$$\Gamma_{\mu}^{(2)} = \sigma_2 \otimes \gamma_{\mu}, \Gamma_{2n+1}^{(2)} = -i\sigma_2 \otimes \gamma_{2n+1}, \Gamma_{2n+2}^{(2)} = -i\sigma_1 \otimes \mathbf{1}_{2^n}, \Gamma_{2n+3}^{(2)} = \sigma_3 \otimes \mathbf{1}_{2^n}$$

Pauli representation  $\Gamma_A^{(3)}$ :

$$\Gamma_{\mu}^{(3)} = \sigma_3 \otimes \gamma_{\mu}, \Gamma_{2n+1}^{(3)} = -i\sigma_1 \otimes \mathbf{1}_{2^n}, \Gamma_{2n+2}^{(3)} = -i\sigma_2 \otimes \mathbf{1}_{2^n}, \Gamma_{2n+3}^{(3)} = \sigma_3 \otimes \gamma_{2n+1}$$

Dirac representation  $\Gamma_A^{(0)}$ :

$$\Gamma_{\mu}^{(0)} = \mathbf{1}_2 \otimes \gamma_{\mu}, \Gamma_{2n+1}^{(0)} = -i\sigma_1 \otimes \gamma_{2n+1}, \Gamma_{2n+2}^{(0)} = -i\sigma_2 \otimes \gamma_{2n+1}, \Gamma_{2n+3}^{(0)} = \sigma_3 \otimes \gamma_{2n+1}$$

<sup>5</sup>P. Budinich, Found. Phys. 32 (2002) 1347

## 2. Clifford algebra $\mathbb{Cl}(2n+1, 1)$

These representations correspond the following spinor embeddings

$$\psi_D \simeq \psi_P \hookrightarrow \psi_P \oplus \psi_P \simeq \psi_W \oplus \psi_W = \Psi_D \simeq \psi_D \oplus \psi_D$$

which means that

- a  $2^n$  component Dirac spinor is isomorphic to a  $2^n$  component Pauli spinor,
- the direct sum of two such Pauli spinors is equivalent to that of two Weyl spinors,
- a Dirac spinor with  $2^{n+1}$  components may be then considered as a doublet of  $2^n$  component Dirac, Weyl or Pauli spinors.



## 2. Clifford algebra $\mathbb{C}l(2n+1, 1)$

Relationship between these representations:

The Gamma matrices satisfy the similar transformation

$$U_j \Gamma_A^{\{0\}} U_j^{-1} = \Gamma_A^{\{j\}}, \quad (A = 1, 2, \dots, 2n+2, \quad j = 1, 2, 3)$$

The transformation between the corresponding spinors

$$U_j \Psi^{\{0\}} = \Psi^{\{j\}}.$$

Same form for Dirac equation

$$\left( \Gamma_M^{\{j\}} D^M - m \right) \Psi^{\{j\}} = 0$$

This means that different 4D spinors may come from the same fundamental theory in higher dimensional spacetime.

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### 3. Decomposition of fermion in $(2n+2)$ -dimensions

Introduce **tensor coupling mechanism**<sup>6</sup>:

$$\bar{\Psi}\Gamma^M\Gamma^N\Gamma^P \dots T_{MNP\dots}\Psi. \quad (4)$$

If we choose weyl representations, then

left and right chiralities **coupling** for **even** order tensors;

left and right chiralities **decoupling** for **odd** order tensors.

As a special case of first-order tensors, the action is

$$S = \int d^{2n+2}x \sqrt{-g} \left[ \bar{\Psi}\Gamma^M D_M \Psi + \varepsilon \bar{\Psi}\Gamma^M \xi_M \Psi \right]. \quad (5)$$

The Dirac equation is

$$\left[ \Gamma^M (\partial_M + \Omega_M) + \varepsilon \Gamma^M \xi_M(z) \right] \Psi(x^N) = 0. \quad (6)$$

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<sup>6</sup>J.-J. Wan and Y.-X. Liu, arXiv: 2303.06278 [hep-th]

# Operators on the left and right chiralities

Define two operators on the left and right chiralities:

$$\hat{D}_L^{(2^{n+1})} = P_R \Gamma^A E_A^M (\partial_M + \varepsilon \xi_M + G_M), \quad (7a)$$

$$\hat{D}_R^{(2^{n+1})} = P_L \Gamma^A E_A^M (\partial_M + \varepsilon \xi_M + G_M). \quad (7b)$$

For weyl representations

$$P_L = \frac{I_{2^{n+1}} + \Gamma_{2^{n+3}}}{2} = \begin{pmatrix} I_{2^n} & 0 \\ 0 & 0_{2^n} \end{pmatrix}, \quad (8a)$$

$$P_R = \frac{I_{2^{n+1}} - \Gamma_{2^{n+3}}}{2} = \begin{pmatrix} 0_{2^n} & 0 \\ 0 & I_{2^n} \end{pmatrix}. \quad (8b)$$

# Action for right and left chiral parts

The bulk action can be independently decomposed into the left and right chiral parts

$$S = S_L + S_R, \quad (9)$$

where

$$S_L = \int d^{2n}x \sqrt{-g} \left[ \bar{\Psi}_1^{(2^n)} \hat{D}_L^{(2^n)} \Psi_1^{(2^n)} \right], \quad (10a)$$

$$S_R = \int d^{2n}x \sqrt{-g} \left[ \bar{\Psi}_2^{(2^n)} \hat{D}_R^{(2^n)} \Psi_2^{(2^n)} \right]. \quad (10b)$$

The equations of motion are also independent

$$\hat{D}_L^{(2^{n+1})} \Psi_L^{(2^{n+1})} = \hat{D}_L^{(2^{n+1})} \begin{pmatrix} \Psi_1^{(2^n)} \\ 0 \end{pmatrix} = 0 \Rightarrow \hat{D}_L^{(2^n)} \Psi_1^{(2^n)} = 0, \quad (11a)$$

$$\hat{D}_R^{(2^{n+1})} \Psi_R^{(2^{n+1})} = \hat{D}_R^{(2^{n+1})} \begin{pmatrix} 0 \\ \Psi_2^{(2^n)} \end{pmatrix} = 0 \Rightarrow \hat{D}_R^{(2^n)} \Psi_2^{(2^n)} = 0. \quad (11b)$$

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## 4. Localization of fermion in 6D spacetime

### The localization mechanism

The vector  $\xi_M$  in the localization mechanism can be constructed from partial derivatives of a scalar function:

$$\varepsilon \bar{\Psi} \Gamma^M \xi_M \Psi = \varepsilon \bar{\Psi} \Gamma^M \partial_M F(\phi, R, R^{\mu\nu} R_{\mu\nu}, \dots) \Psi. \quad (12)$$

The effects of **geometry** and **the coupling term** on fermion localization can be equivalent described by four effective potentials, and can be analyzed independently.

## 4. Localization of fermion in 6D spacetime

### Separate variables

Consider the following 6D line element

$$ds^2 = a_4^2(x^5, x^6) \eta_{\mu\nu} dx^\mu dx^\nu + a_5^2(x^5, x^6) dx_5^2 + a_6^2(x^5, x^6) dx_6^2. \quad (13)$$

We separate the 4-dimensional and the extra-dimensional parts of the spinor

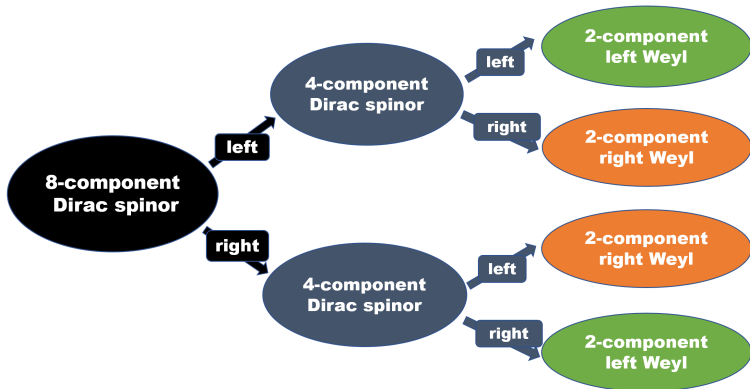
$$\Psi_1^{(4)} = \psi_1^{(4)}(x^\mu) \phi_1(x^5, x^6), \quad (14a)$$

$$\Psi_2^{(4)} = \psi_2^{(4)}(x^\mu) \phi_2(x^5, x^6). \quad (14b)$$



# 4. Localization of fermion in 6D spacetime

Decomposition of the 6D Dirac spinor



# The localization condition

The action can be decomposed as

$$\begin{aligned} S_{L,R} &= \int dx^5 dx^6 \tilde{\alpha}_4 \int d^4x \bar{\psi}_{1,2}^{(\iota)} \gamma^a \partial_a \psi_{1,2}^{(\iota)} \\ &+ \int dx^5 dx^6 \tilde{\alpha}_5 \left( \frac{\partial_5 \phi_1}{\phi_1} + \varepsilon \xi_5 + G_5 \right) \int d^4x \bar{\psi}_{1,2}^{(\iota)} \gamma^5 \psi_{1,2}^{(\iota)} \\ &\mp \int dx^5 dx^6 \tilde{\alpha}_6 \left( \frac{\partial_6 \varphi_1}{\varphi_1} + \varepsilon \xi_6 + G_6 \right) \int d^4x \bar{\psi}_{1,2}^{(\iota)} \psi_{1,2}^{(\iota)}. \end{aligned} \quad (15)$$

where

$$\tilde{\alpha}_{4,5,6} = \sqrt{-g} [\phi_1^*(x^5, x^6) \phi_1(x^5, x^6)] a_{4,5,6}^{-1}(x^5, x^6). \quad (16)$$

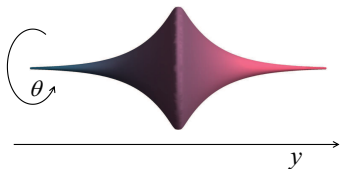
Comparing with the kinetic energy term in 4D effective theory, we obtain the localization condition

$$\int dx^5 dx^6 \tilde{\alpha}_4 = 1. \quad (17)$$

# Example 1: $\mathcal{M}_4 \times \mathcal{R}_1 \times \mathcal{S}_1$

## Background spacetime

A model containing a compact dimension and a non-compact dimension with topology  $\mathcal{M}_4 \times \mathcal{R}_1 \times \mathcal{S}_1$ , where  $\mathcal{M}_4$  is a 4D Minkowski manifold and  $\mathcal{R}_1 \times \mathcal{S}_1$  is a transverse manifold.



The metric can be written as

$$ds^2 = a^2(z)(\eta_{\mu\nu} dx^\mu dx^\nu + dz^2 + d\Theta^2). \quad (18)$$

## Example 1: $\mathcal{M}_4 \times \mathcal{R}_1 \times \mathcal{S}_1$

### Asymptotically AdS spacetime

If this spacetime is generated by a background dynamic field, the action can be written as

$$S = \frac{M^4}{2} \int d^6x \sqrt{-g} (R - \Lambda + \mathcal{L}_m) \quad (19)$$

with  $\mathcal{L}_m$  the Lagrangian of the background scalar field  $\phi$

$$\mathcal{L}_m = -\frac{1}{2} g^{MN} \partial_M \phi \partial_N \phi - V(\phi). \quad (20)$$

For asymptotically AdS spacetime, the asymptotic behavior of the background scalar field can be described by three cases:

$$\text{case I: } |\phi(z)| \rightarrow \infty \text{ and } \frac{|\phi(z)|}{\log|z|} \rightarrow 0 \quad (21a)$$

$$\text{case II: } \phi(z) \rightarrow v_{\pm} \quad (21b)$$

$$\text{case III: } \phi(z) \rightarrow 0 \quad (21c)$$

## Example 1: $\mathcal{M}_4 \times \mathcal{R}_1 \times \mathcal{S}_1$

The extra dimensional parts of these four 2-component spinor fields satisfy the following equations

$$m_1^2 \phi_{11} = -\partial_5 \partial_5 \phi_{11} + V_{11} \phi_{11}, \quad (22a)$$

$$m_1^2 \phi_{12} = -\partial_5 \partial_5 \phi_{12} + V_{12} \phi_{12}, \quad (22b)$$

$$m_2^2 \phi_{21} = -\partial_5 \partial_5 \phi_{21} + V_{21} \phi_{21}, \quad (22c)$$

$$m_2^2 \phi_{22} = -\partial_5 \partial_5 \phi_{22} + V_{22} \phi_{22}. \quad (22d)$$

If  $F = 0$ , then

$$V_{11} = V_{22} = V_{12} = V_{21} = 0,$$

which means gravity does not distinguish the right and left chiralities, and spinor fields cannot be localized by minimal coupling with gravity in this geometry.

If  $F = \phi^n$ , the localization condition is

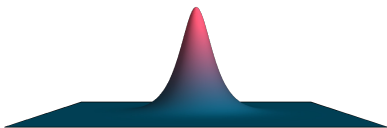
$$n > 1 \text{ for } \phi(z \rightarrow \pm\infty) \rightarrow \infty,$$

$$n < 0 \text{ for } \phi(z \rightarrow \pm\infty) \rightarrow 0.$$

## Example 2: $\mathcal{M}_4 \times \mathcal{R}_2$

### Background spacetime

A model containing two non-compact dimension with topology  $\mathcal{M}_4 \times \mathcal{R}_2$ , where  $\mathcal{R}_2$  is a transverse manifold.



We assume that the spacetime is conformally flat, the metric can be written as

$$ds^2 = a_4^2(r)(\eta_{\mu\nu} dx^\mu dx^\nu + dr^2 + r^2 d\theta^2). \quad (23)$$

## Example 2: $\mathcal{M}_4 \times \mathcal{R}_2$

The extra dimensional parts of these four 2-component spinor fields satisfy the following equation

$$m_1^2 \phi_{11} = -\partial_5 \partial_5 \phi_{11} + V_{11} \phi_{11}, \quad (24a)$$

$$m_1^2 \phi_{12} = -\partial_5 \partial_5 \phi_{12} + V_{12} \phi_{12}, \quad (24b)$$

$$m_2^2 \phi_{21} = -\partial_5 \partial_5 \phi_{21} + V_{21} \phi_{21}, \quad (24c)$$

$$m_2^2 \phi_{22} = -\partial_5 \partial_5 \phi_{22} + V_{22} \phi_{22}, \quad (24d)$$

where the effective potentials in the  $F = 0$  case are

$$V_{11}(r) = V_{22}(r) = \frac{l_6(l_6 - 1)}{r^2}, \quad (25a)$$

$$V_{12}(r) = V_{21}(r) = \frac{l_6(l_6 + 1)}{r^2}. \quad (25b)$$

This means **gravity distinguish the right and left chiralities.**

# Comparison of two topologies of extra dimensions

## Similarities

- 1 If spacetime is conformally flat, a minimal coupling to gravity without other interactions can not localize fermions.
- 2 If the bulk is conformally AdS, a derivative coupling mechanism (such as  $\epsilon \bar{\Psi} \Gamma^M \partial_M \phi^n \Psi$ ) is effective for localization of fermions.

## Differences

- 1 The topology  $\mathcal{R}_1 \times \mathcal{S}_1$  does not distinguish left- and right-handed fermions.
- 2 The topology  $\mathcal{R}_2$  distinguishes left- and right-handed fermions.

The 4D chiral theory may be restored with suitable coupling function  $F(\phi)$ .



## 5. Summary

① In a conformally flat extra-dimensional spacetime, fermions cannot be localized through minimal coupling with gravity.

② Therefore, we propose using a coupling mechanism with

$$\bar{\Psi}\Gamma^M\Gamma^N\Gamma^P\dots T_{MNP\dots}\Psi$$

to preserve Lorentz symmetry and decouple the components of higher-dimensional spinors to obtain a 4D effective free field theory.

③ For the manifold with a topology of  $\mathcal{M}_4 \times \mathcal{R}_2$ , the minimal coupling between fermions and gravity will distinguish left and right chiralities, and the fermion may be localized on the brane with the tensor coupling.

# Thank you!