

Geometric Framework for Supersymmetric Theories

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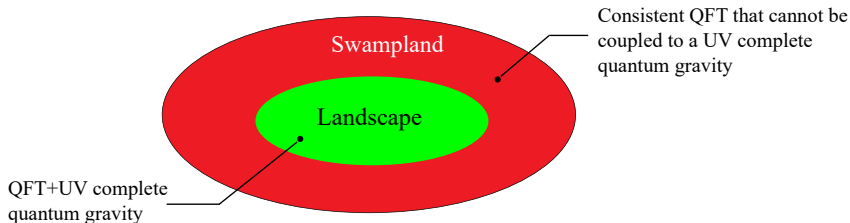
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Introduction

- (1) Construct non-trivial QFTs in various space-time dimensions
- (2) Construct UV complete quantum gravity theories
- (3) Decide which low energy effective field theory can be coupled to gravity

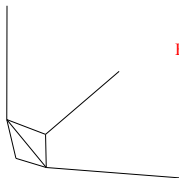


- In this talk, assuming Minkowski space-time
- Einstein gravity in the low energy limit

Geometric framework

- Superstring/M/F-theory on a geometric space, get lower dimensional theory in $\mathbb{R}^{1,d-1}$
- Typically preserves a fraction of SUSY

QFT: Non-compact geometry



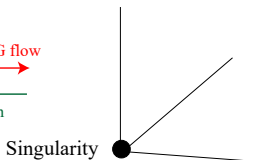
End point of RG flow



Deformation



SCFT



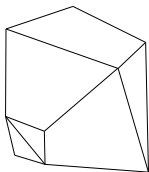
Couple with gravity



Decouple gravity



QG: compact geometry



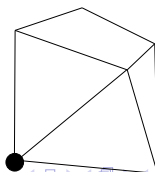
Couple with gravity



Decouple gravity



SCFT couple to gravity



- Spacetime dimensions and SUSY

(1) 6d (1,0)

(2) 5d $\mathcal{N} = 1$

(3) 4d $\mathcal{N} = 1$

(4) 2d (0,2)

6d (1,0) theory

- 6d (1,0) supermultiplets:

(1) Tensor multiplet: self-dual tensor $B_{\mu\nu}$, 1 chiral spinor Ψ , real scalar Φ

(2) Vector multiplet: A_μ , 1 anti-chiral spinor χ

(3) Hypermultiplet: 1 chiral spinor ψ , 2 complex scalars ϕ

- Bosonic action

$$S = 2\pi \int \eta^{ij} \left(-\frac{1}{4} \partial_\mu \Phi_i \partial^\mu \Phi_j - \frac{1}{2} H_i \wedge *H_j + \frac{1}{4} \Phi_i \text{Tr}(F_j \wedge *F_j) + \frac{1}{4} B_i \wedge F_j \wedge F_j + \dots \right) \quad (1)$$

- Tensor-gauge quiver

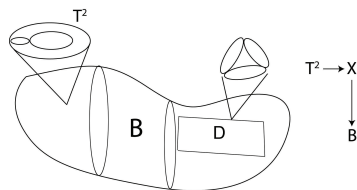
$$\begin{array}{ccccccc} & \mathfrak{g}_1 & \mathfrak{g}_2 & & \mathfrak{g}_n & & \\ [G_L] & -\eta^{11}- & \eta^{22} & -\dots- & \eta^{nn} & - & [G_R] \\ & [G_{F,1}] & [G_{F,2}] & & [G_{F,n}] & & \end{array} \quad (2)$$

- \mathfrak{g}_i : gauge group; $G_L, G_R, G_{F,j}$: flavor symmetry group

6d (1,0) theory

- Geometric classification of 6d (1,0) SCFTs in F-theory framework (Heckman, Morrison, Vafa 13', Heckman, Morrison, Rudelius, Vafa 15')(Bhardwaj 19')
- F-theory: IIB superstring theory with a varying axiodilaton

$$\tau = C_0 + ig_s^{-1}$$



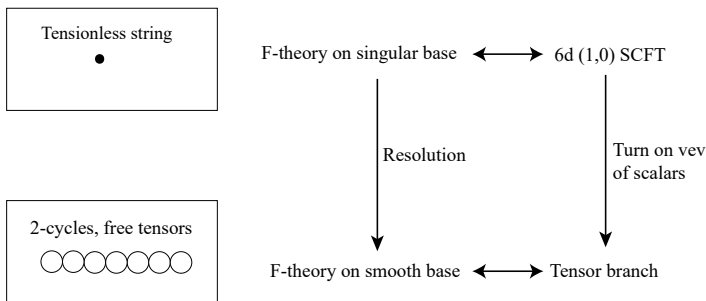
- Elliptic threefold X over a non-Ricci-flat complex surface B
 - $\tau \cong$ modulus of the T^2 fiber
 - Degenerate $T^2 \rightarrow$ location of 7-branes in IIB superstring theory
- (1) Geometric gauge group
 - (2) Matter fields

6d (1,0) theory

- In F-theory, consider 2-cycles $C_i \subset B$.
- D3 brane wrapping $C_i \rightarrow$ String that coupled to $B_{\mu\nu,i}$ with tension

$$T_i \propto \text{Vol}(C_i) \propto |\langle \Phi_i \rangle|. \quad (3)$$

- (1) All $T_i > 0$: IR “tensor branch” description of the 6d theory.
- (2) All $T_i = 0$: SCFT with tensionless strings.



Examples

- 6d (1,0) SCFTs are classified by their tensor branch (shrinkable geometry)

(1) Rank-1 E-string

$$[E_8] - 1 \quad (4)$$

(2) Minimal (E_8, E_8) Conformal Matter (Del Zotto, Heckman, Tomasiello, Vafa 14')

$$[E_8] - 1 - 2 - \overset{\text{su}(2)}{2} - \overset{\mathfrak{g}_2}{3} - 1 - \overset{\mathfrak{f}_4}{5} - 1 - \overset{\mathfrak{g}_2}{3} - \overset{\text{su}(2)}{2} - 2 - 1 - [E_8] . \quad (5)$$

(3) Non-minimal (E_8, E_8) Conformal Matter with order N

- Glue N copies of these chains by gauging $[E_8]$

Couple to gravity

- Question: what is the 6d (1,0) SCFT with the longest tensor branch that can be coupled to supergravity?
- F-theory on a compact elliptic CY3 X_3 with $(h^{1,1}, h^{2,1}) = (491, 11)$
(Morrison, Taylor 12')(Taylor 12')
- Base geometry (toric):

$$(-12// - 11//(-12//))^{13}, -11// - 12, 0). \quad (6)$$

$$// \equiv -1, -2, -2, -3, -1, -5, -1, -3, -2, -2, -1. \quad (7)$$

- Gravity coupled to the tensor branch of non-minimal (E_8, E_8) conformal matter with order 16.
- # Tensor multiplets = 193.
- How to prove this physically?

5d $\mathcal{N} = 1$ theories

- 5d $\mathcal{N} = 1$ SUSY gauge theory, supermultiplets:

(1) Vector multiplet with gauge group G : A_μ, λ, ϕ

(2) Hypermultiplets in rep. R : $\psi, h \oplus h^c$

$$S = \int d^5x \left[\frac{1}{g_{\text{YM}}^2} \text{Tr}(F^{\mu\nu} F_{\mu\nu}) + i\bar{\psi} \not{D}\psi + \dots \right] \quad (8)$$

- Action is always non-renormalizable!
- For some 5d $\mathcal{N} = 1$ gauge theories, it can be UV completed to a strongly coupled SCFT when $g_{\text{YM}} \rightarrow \infty$ (Seiberg 96')(Intriligator, Morrison, Seiberg 96').
- The UV flavor symmetry is enhanced from IR flavor symmetry $G_{F,IR}$ to

$$G_F \supset G_{F,IR}. \quad (9)$$

- Seiberg E_{N_f+1} theories: $SU(2) + N_f \mathbf{F}$ ($N_f \leq 7$),

$$G_F = E_{N_f+1} \supset SO(2N_f) = G_{F,IR}. \quad (10)$$

5d $\mathcal{N} = 1$ theories

(1) What if $N_f = 8$?

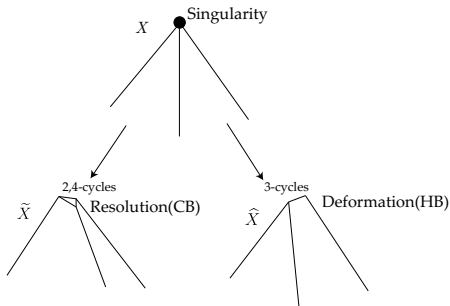
- KK reduction of rank-1 E-string theory on S^1 !
- Known as the “marginal theory”, has a 6d UV completion.

(2) What if $N_f > 8$?

- No UV completion by itself.

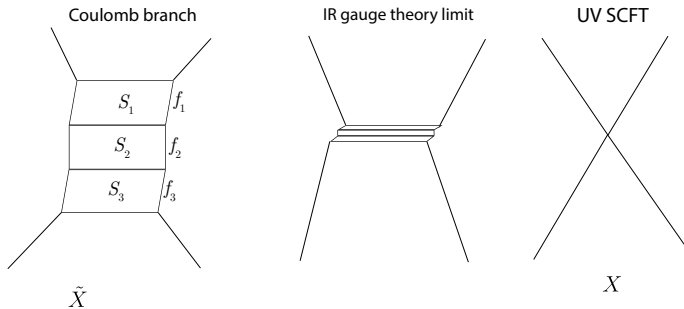
5d $\mathcal{N} = 1$ theories

- Natural realization: 11d M-theory on canonical threefold singularity
 - Classification of canonical threefold singularities $X \rightarrow$ partial classification of 5d $\mathcal{N} = 1$ SCFT \mathcal{T}_X^{5d} ! (Xie, Yau 15')
 - Goal: read off information of \mathcal{T}_X^{5d} using geometric data of X .
 - G_F , IR gauge theory limits. . .
- (1) $\langle \phi \rangle \neq 0$: Coulomb branch (CB)
 - (2) $\langle h \rangle \neq 0$: Higgs branch (HB)



Coulomb branches

- Effective theory: $U(1)^r$ gauge theory + matter fields
- Real dimension of CB r : rank of 5d $\mathcal{N} = 1$ SCFT
- Resolution of X : \tilde{X} , a non-compact Calabi-Yau threefold (Ricci-flat)
- $r = \#$ of compact 4-cycles S_i
- Gauge boson and matter hypermultiplets arise from M2-brane wrapping 2-cycles in \tilde{X}
- IR non-Abelian gauge theory description: ruling structure of S_i



Geometric Classifications

(1) Partial classification of 5d SCFTs based on the geometries of S ;

[Bhardwaj, Jefferson, Katz, Kim, Tarazi, Vafa, Zafir...](#)

- Up to rank $r = 3$, or IR gauge theory with a simple gauge group.

(2) Resolution of non-isolated singularities

- Apruzzi, Lawrie, Lin, Schafer-Nameki, YNW, "5d Superconformal Field Theories and Graphs", Physics Letters B 800, (2020) 135077
- Apruzzi, Lawrie, Lin, Schafer-Nameki, YNW, "Fibers add Flavor, Part I: Classification of 5d SCFTs, Flavor Symmetries and BPS States", JHEP 11 (2019) 068
- Apruzzi, Lawrie, Lin, Schafer-Nameki, YNW, "Fibers add Flavor, Part II: 5d SCFTs, Gauge Theories, and Dualities", JHEP 03 (2020) 052
- Apruzzi, Schafer-Nameki, YNW, "5d SCFTs from Decoupling and Gluing", JHEP 08 (2020) 153

(3) Resolution and deformation of isolated singularities

- Closset, Schafer-Nameki, YNW, "Coulomb and Higgs Branches from Canonical Singularities: Part 0", JHEP 02 (2021) 003
- Closset, Giacomelli, Schafer-Nameki, YNW, "5d and 4d SCFTs: Canonical Singularities, Trinions and S-Dualities", JHEP 05 (2021) 274

Non-isolated singularities

- Resolutions of non-minimal Weierstrass models in 6d F-theory (Apruzzi, Lawrie, Ling, Schafer-Nameki, YNW 19')

- Example: rank-1 E-string

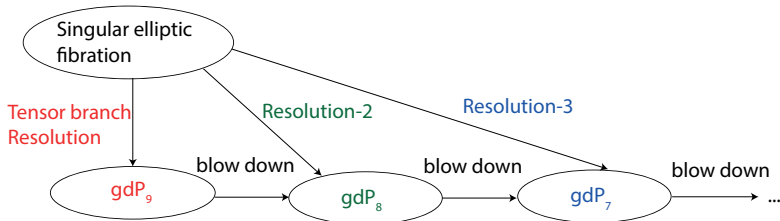
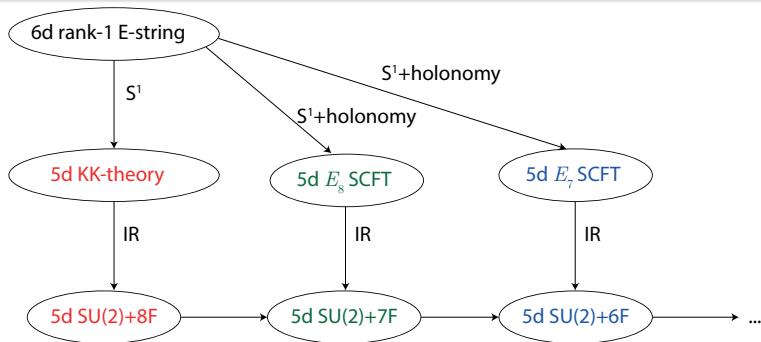
$$[E_8] - 1 \quad (11)$$

- Start with a non-isolated singularity

$$y^2 = x^3 + u^4 x + u^5 v. \quad (12)$$

- non-compact elliptic Calabi-Yau threefold with base $B = \mathbb{C}^2$
- After the resolution, a single compact 4-cycle S_1 and a number of new non-compact 4-cycles D_α .
- Topology of S_1 depends on the resolution sequence

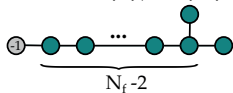
Resolution of rank-1 theories



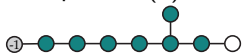
Combined Fiber Diagram

- Using the intersection numbers between non-compact and compact 4-cycles after the resolution, define a **Combined Fiber Diagram (CFD)**
 - The non-Abelian UV flavor symmetry $G_{F,nA}$ is given by the Dynkin diagram in green color
 - Possible IR gauge theory descriptions are constrained by the subgraph structure of CFD;

E. g. $SU(2)/Sp(N) + N_f \mathbf{F}$: $G_{F,IR} = SO(2N_f)$

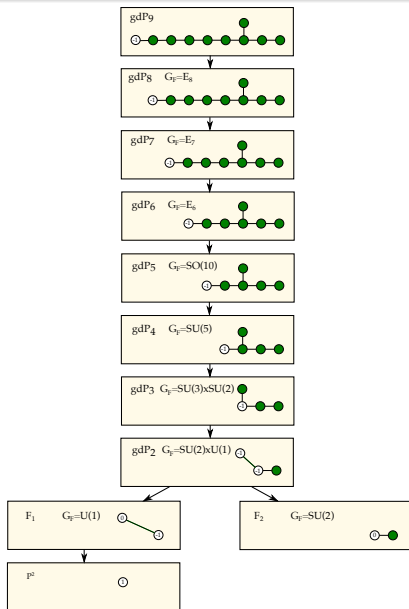


- Example: $SU(2) + 7\mathbf{F}$



- CFD transition* (mass deformation of IR gauge theory): removing (-1) -vertex, transform the others

Combined Fiber Diagram for rank-1 theories

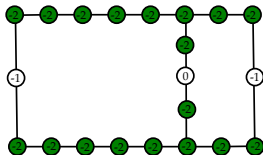


(E_8, E_8) Conformal Matter

(1) Minimal (E_8, E_8) Conformal Matter

$$[E_8] - 1 - 2 - \overset{\text{su}(2)}{2} - \overset{\mathfrak{g}_2}{3} - 1 - \overset{\mathfrak{f}_4}{5} - 1 - \overset{\mathfrak{g}_2}{3} - \overset{\text{su}(2)}{2} - 2 - 1 - [E_8]. \quad (13)$$

- From the topology of 21 compact surfaces \rightarrow CFD for the KK theory

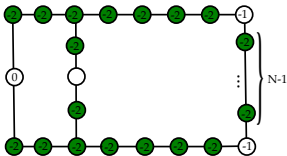


(2) Non-minimal (E_8, E_8) Conformal Matter with order N

- From the marginal resolution, $29N - 8$ compact surfaces.
- To get a 5d UV SCFT description, needs to decompactify $N - 1$ surfaces (Apruzzi, Schafer-Nameki, YNW 19').

(E_8, E_8) Conformal Matter

- CFD for a 5d SCFT with $G_F = E_8^2 \times SU(N)$ constructed in this way:



- IR quiver gauge theory description (Ohmori, Shimizu, Tachikawa, Yonekura 19’):

$$\begin{array}{ccccccccccc}
 & & & & & & & & & & SU(3N) \\
 & & & & & & & & & & | \\
 [SU(N)] - SU(2N) - SU(3N) - SU(4N) - SU(5N) - & SU(6N) & - SU(4N) - SU(2N) \\
 & & & & & & & & & & (14)
 \end{array}$$

5d gauge theory coupled to gravity

- What is the largest non-Abelian gauge theory coupled to 5d supergravity?
- M-theory on the CY3 X_3 with $(h^{1,1}, h^{2,1}) = (491, 11)$
- X_3 also has an elliptic fibration structure, non-minimal (E_8, E_8) conformal matter with order 16!
- Non-Abelian quiver gauge theory (YNW 20'):

$$SU(16) - SU(32) - SU(48) - SU(64) - SU(80) - \begin{array}{c} SU(48) \\ | \\ SU(96) \end{array} - SU(64) - SU(32). \quad (15)$$

- $SU(96)$ is the largest $SU(N)$ gauge group coupled to 5d $\mathcal{N} = 1$ supergravity?

Higgs branch from isolated singularities

- G_F of a 5d SCFT can also be encoded in the Higgs branch, computed from **Magnetic quiver MQ⁽⁵⁾** (Ferlito, Hanany, Mekareeya, Zafrir 18').
- Consider isolated hypersurface singularity \mathbf{X}
- IIB on $\mathbf{X} \rightarrow 4d \mathcal{N} = 2$ SCFT $\mathcal{T}_{\mathbf{X}}^{4d}$ was studied by (Shapere, Vafa 96')(Xie, Yau 15', 16')(Wang, Xie, Yau, Yau 16')...
- Define $\text{EQ}^{(4)} :=$ reduction of $\mathcal{T}_{\mathbf{X}}^{4d}$ on S^1 , flow to IR
- $\mathcal{T}_{\mathbf{X}}^{4d}$ and $\text{EQ}^{(4)}$ have CB dimension \hat{r} .
- Conjecture (Closset, Schafer-Nameki, YNW 20'):

$$\text{MQ}^{(5)} \cong \text{EQ}^{(4)} / U(1)^f, \quad d_H = \hat{r} + f \quad (16)$$

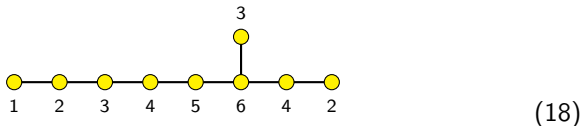
- f is the flavor rank preserved on the HB of $\mathcal{T}_{\mathbf{X}}^{5d}$ and $\mathcal{T}_{\mathbf{X}}^{4d}$

Higgs branch from isolated singularities

- Rank-1 Seiberg E_8 theory:

$$F(x) = x_1^2 + x_2^3 + x_3^6 + x_4^6 = 0. \quad (17)$$

4d $SU(N)$ quiver description of $\mathcal{T}_{\mathbf{x}}^{4d}$, same as EQ⁽⁴⁾:



- 5d magnetic quiver $\text{MQ}^{(5)} \cong \text{EQ}^{(4)}/U(1)^8$, $\text{MQ}^{(5)}$ is a $U(N)$ quiver with the same shape, mod out a common $U(1)$
- Affine E_8 Dynkin diagram $\rightarrow G_F = E_8$
- Studied a large class of non-Lagrangian 4d theories (Closset, Giacomelli, Schafer-Nameki, YNW 20')

4d $\mathcal{N} = 1$ F-theory

- What is the largest gauge group coupled to 4d $\mathcal{N} = 1$ supergravity?
Largest number of axion fields?
- Current record: F-theory on elliptic Calabi-Yau fourfold with the largest $h^{1,1} = 303148$.
 - YNW, “On the Elliptic Calabi-Yau Fourfold with Maximal $h^{1,1}$ ”, JHEP 05 (2020) 043
- Constructed the base threefold and elliptic fibration in full details
- Gauge group from 7-branes (Candelas, Peveralov, Rajesh 97’):

$$G = E_8^{2561} \times F_4^{7576} \times G_2^{20168} \times SU(2)^{30200} \quad (19)$$

- Number of axions

$$N_{\text{axion}} = 181820 \quad (20)$$

- What is the largest gauge group coupled to 2d (0, 2) supergravity?
 - Tian, YNW, "Elliptic Calabi-Yau fivefolds and 2d (0,2) F-theory landscape", JHEP 03 (2021) 069
- Elliptic Calabi-Yau fivefold with

$$(h^{1,1}, h^{2,1}, h^{3,1}, h^{4,1}) = (247538602581, 0, 0, 151701) \quad (21)$$

- Gauge group from 7-branes:

$$G = E_8^{482\,632\,421} \times F_4^{3\,224\,195\,728} \times G_2^{11\,927\,989\,964} \times SU(2)^{25\,625\,222\,180}. \quad (22)$$

Future questions

- How to prove any of these bounds using swampland arguments, or break the records?
- Extend the program to non-geometric setups, e. g. brane webs