#### Bootstrapping the Abelian Lattice Gauge Theories

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#### Motivation: The renaissance of the bootstrap method

#### • Classical approach in QFT:

- UV Lagrangian
- Perturbative computations
- 8 RG flow

#### • Modern conformal bootstrap approach:

- Consistency conditions, e.g., unitarity
- 2 Crossing symmetry
- Ositivity/Convexity

#### Remarkable successes: critical 3D Ising model



#### (Simmons-Duffin, 2016)

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#### Motivation: Bootstrap strongly coupled gauge theories

Strong coupling phenomena in QCD<sub>4</sub>:

Conformal window, Confinement, Chiral symmetry breaking

A simplified version– QED<sub>3</sub>:  $\mathcal{L} = \frac{1}{4e^2} F_{\mu\nu} F^{\mu\nu} + \sum_{i=1}^{N_f} \bar{\psi}_i \sigma^{\mu} (\partial_{\mu} + iA_{\mu}) \psi^i$ 

- The flavor number  $N_f \in 2\mathbb{Z}$  to avoid parity anomaly (*Witten 2016*)
- QED<sub>3</sub> is asymptotically free (gauge coupling  $e^2$  has mass unit)
- Large  $N_f$ : IR fixed point at  $e_*^2 = 6\pi^2/N_f$  (Appelquist et al 1988)
- Small N<sub>f</sub>: Chiral symmetry breaking (Appelquist et al 1985)
- $N_f = 0$ : Gauge confinement (*Polyakov 1975, 77*)



#### Motivation: What bootstrap can do

• Isolate  $N_f = 4 \text{ QED}_3 \text{ CFT}$  data into a small island (with extra input):



• Fix the critical flavor number  $N_f^* \in (2,4)$  (combined with MC data).

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$N_f^* \in 2\mathbb{Z}$ for $QED_3$	Method	Year and Reference	
$2 < N_f^* < 4$	conformal bootstrap	2018-22 Li & Li et al	
$\frac{64}{\pi^2} \approx 6.5$	Schwinger-Dyson equations	1984-88 Pisarski,Appelquist et al	
8.6	Schwinger-Dyson equations	1996-97 Maris,Aitchison, et al	
≤ 3	thermal free energy	1999-2004 Appelquist et al	
<u>≤</u> 4	hybrid Monte Carlo	2002-04 Hands et al	
4.3	divergence of the chiral susceptibility	2002 Franz et al	
8	covariant solutions for propagators	2004 Fischer et al	
12	perturbative RG in the large-N <sub>f</sub> limit	2004 Kaveh et al	
1013	comparison to the Thirring model	2007-12 Christofi, Janssen, et al	
3	lattice simulations	2008 Strouthos et al	
$8 \leq N_f^* \leq 20$	functional RG	2014 Braun et al	
≤ 8	F-theorem 2015 Klebanov et al		
$\leq$ 4	one-loop $\epsilon$ -expansion	2015 Komargodski et al	
5.7	$1/N_f$ expansion	2016 Gusynin et al	
5.8	$\epsilon$ -expansion	2016 Herbut et al	
< 2	lattice simulations	2017 Zi-Yang Meng et al	
< 2	lattice simulations	s 2015-20 Narayanan et al	

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## Motivation: What bootstrap can NOT do

- Hard to distinguish different conformal gauge theories:
  - Local gauge invariant operators:
    - No explicitly information on gauge interactions
  - Bootstrap bound coincidence among different symmetries: Hidden algebraic structure in the conformal four-point crossing equation
- Non-conformal phase confinement, chiral symmetry breaking, etc.

#### Goal of this work:

- Bootstrapping non-local gauge invariant operators
- Confined phase of lattice gauge theories

Why lattice?

- 1 Lattice gauge theories and loop equations
- 2 Positivities in lattice theories and bootstrap implementation
- 3 Where is the limit of the constraint from loop equations + positivity?
- 4 Bootstrapping the 3D U(1) and  $\mathbb{Z}_2$  lattice gauge theories
- 5 Towards bootstrapping the string tension and glueball mass

# 1. Lattice gauge theories and loop equations

To understand the dynamics of Wilson lines/loops (WLs):

- Effective field theory description?
- A widely open question: What is the bootstrap setup for the WLs:
  - Correlation functions of WLs?
  - Operator product expansion of WLs?

Difficulties for WLs in quantum gauge field theories, like QCD:

- **1** Infinite number of D.O.Fs, **divergence and RG flow**
- Strongly coupled

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Lattice gauge theories (LGTs):
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Discretizing space or spacetime  $\implies$  Finite number of D.O.Fs.

#### Lattice gauge theories (LGTs):

Discretizing space or spacetime  $\implies$  Finite number of D.O.Fs.

Gauge field on the lattice:



 ${\rm Link} \Leftrightarrow {\rm Parallel \ transport:}$ 

$$U_{\langle x,y
angle} = P \exp\left( ig \int_{y}^{x} A_{\mu}(z) dz^{\mu} 
ight)$$

Parallel transport along a path:

$$C_{yx} = U_{\ell_1}U_{\ell_2}U_{\ell_3}U_{\ell_4}$$

Wilson loop:
$$W_C = \operatorname{tr}\left(\prod_{\ell_i \in C} U_{\ell_i}\right)$$

Plaquette: a minimal closed path

$$U_{P} = \operatorname{tr}\left(U_{\langle x,y\rangle}U_{\langle y,u\rangle}U_{\langle u,v\rangle}U_{\langle v,x\rangle}\right)$$

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#### Lattice gauge theory and Wilson action

Fundamental D.O.F in LGT with lattice length a:

link 
$$x \to x + \hat{\mu}$$
:  $U_{\mu}(x) = e^{iaA_{\mu}(x)}$ 

Gauge transformation in continuum field theory and lattice:

on lattice: 
$$U_{\mu}(x) \to \Omega(x)U_{\mu}(x)\Omega(x+\hat{\mu})$$
  
continuum:  $U_{\langle x,y \rangle} \to \Omega(x)U_{\langle x,y \rangle}\Omega^{\dagger}(y)$ 

Gauge invariant plaquette  $U_P$ :

$$U_{P} = \operatorname{tr}\left(U_{\mu}(x)U_{\nu}(x+\hat{\mu})U_{\mu}^{\dagger}(x+\hat{\nu})U_{\nu}^{\dagger}(x)\right) = -\frac{a^{4}}{2}\operatorname{tr}F_{\mu\nu}F_{\mu\nu} + \cdots$$

Wilson action for LGTs:

$$S_{
m Wilson} = -rac{1}{\lambda}\sum_P (U_P + P_P^\dagger)$$

Match the continuum Yang-Mills action to the order  $O(a^2)$ .

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## From Wilson action to Loop equations

The physical observables: averaged Wilson loops  $W[C] \equiv tr(\prod_{\ell \in C} U_{\ell})$ :

$$\langle W[C] 
angle = rac{1}{Z} \int \prod_{x,\mu} dU_{\mu}(x) W[C] e^{-S_{
m Wilson}}$$

**Loop equations**: Schwinger-Dyson equations for  $\langle W[C] \rangle$ .

Take the variation of the link variables

$$U_{\mu} 
ightarrow (1+i\epsilon) U_{\mu}, U^{\dagger}_{\mu} 
ightarrow U^{\dagger}_{\mu} (1-i\epsilon), S(W) 
ightarrow S(W) + \delta_{\epsilon} S(W)$$

Invariance of the integral  $\int DU \delta_{\epsilon} \left( W_{C} e^{-S} \right)$  leads to the loop equations

$$\sum_{|\alpha|\neq\mu} \langle W[\mu\alpha\bar{\mu}\bar{\alpha}\mu C] \rangle - \langle W[\alpha\mu\bar{\alpha}C] \rangle + n\lambda W[\mu C] = 0$$

Loop equations are (non-)linear for (non-)Abelian LGTs.

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#### Comments on the loop equations in 2D

**2D** U(1) **LGT:** After taking gauge fixing on the lattice, the fundamental Wilson loops are  $w_m \equiv U_P^m$ :

$$w_m - w_{m-2} + (m-1)\lambda w_{m-1} = 0, \quad w_0 = 1.$$

**2D**  $\mathbb{Z}_2$  **LGT**  $(J = 1/\lambda)$ : Since  $U_{\ell} \in \{1, -1\}$ ,  $U_{\ell}^2 = 1$ , the fundamental Wilson loops satisfy  $w_2 = 1$ , there is only one independent observables  $w_1$ 

$$-\frac{1}{2}\sinh{(4J)} + (1 + \cosh{(4J)})w_1 - \frac{1}{2}\sinh{(4J)}w_1^2 = 0,$$

which is solved by  $w_1 = \tanh J$ , the solution of the 1D Ising model.

**Duality from loop equations:**  $2D \mathbb{Z}_2 \text{ LGT} \iff 1D \text{ Ising model}$ 

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# 2. Positivities in lattice theories and bootstrap implementation

# Square positivity in LGTs

**Square positivity** (Anderson and Kruczenski, 2016):

Consider the Hilbert space  $\mathcal{H}$  spanned by the Wilson lines  $W_i$ , any state  $\mathcal{O} = \sum_i c_i W_i \in \mathcal{H}$  has non-negative inner product:

$$\langle \mathcal{O} | \mathcal{O} 
angle = c_j^* \langle W_j | W_i 
angle c_i \geqslant 0, \Longleftrightarrow \langle W_j | W_i 
angle \succeq 0.$$

#### An illustrative example:

Consider two Wilson lines  $W_{1/2}$  along a path 1/2 from  $0 \rightarrow x$ :

Then the square positivity condition  $\langle W_j | W_i \rangle \succeq 0$  requires

$$1-u_p^2 \ge 0.$$

#### Reflection positivity in LGTs

Choose a direction  $\rho$  on the lattice as the "time" direction, the Hilbert space  $\mathcal{H}$  is separated into two parts:  $\mathcal{H}^+, \rho > \rho_0$  and  $\mathcal{H}^-, \rho < \rho_0$ . Reflection map:  $\Theta : \mathcal{H}^+ \to \mathcal{H}^-$ :

$$\Theta \cdot W[C] = W[R \cdot C]^{\dagger}.$$

**Reflection positivity**:  $\langle \Theta \cdot \mathcal{O} | \mathcal{O} \rangle \ge 0$  (Osterwalder and Seiler 1978, Kazakov and Zheng, 2022)



**Target:** constraints on the averaged Wilson loops:  $\langle W[C] \rangle$ 

#### **Bootstrap computations:**

- **()** Select Wilson lines  $W_i$  up to the maximum length  $L \leq L_{\max}/2$ ;
- ② Construct all the semi-positive matrices  $M_a \succeq 0$  from square and reflection positivity

$$M_{a}^{ij} \equiv \langle W_i | W_j \rangle, \ M_{a'}^{ij} \equiv \langle \Theta \cdot W_i | W_j \rangle;$$

- Senerate the loop equations for the Wilson loops in  $M_a$ ;
- Using the loop equations to reduce the free variables in M<sub>a</sub>;
- Solution Extract the constraints on  $\langle W[C] \rangle$  for each fixed gauge coupling  $\lambda$

$$M_a \succeq 0 \implies x_{\min} \leqslant \langle W[C] \rangle \leqslant x_{\max}$$

Positivity/convexity has been extensively studied long before the modern bootstrap endeavor!

Griffiths' inequalities are satisfied by correlators in many lattice theories

- Ferromagnets Ising model (Griffiths, 1967, 1969)
- More spin lattice models (Kelly and Sherman, 1968)
- General lattice theories including the LGTs (Ginibre, 1970)
- Their roles in bootstrap studies (Cho et al., 2022)

## Griffiths' inequalities: general form

Consider a compact space X and a convex function space Q on X: • For any  $p(x), q(x) \in Q$  and  $a, b \ge 0$ , the functions

 $ap(x) + bq(x), p(x)q(x), p^*(x) \in \mathcal{Q}.$ 

• For any finite set of functions  $p_i \in \mathcal{Q}$ 

$$\int d\mu(x)d\mu(y)\prod_{i=1}^n(p_i(x)\pm p_i(y))\geq 0.$$

Then the functions  $p_i(x) \in Q$  satisfy:  $\int d\mu(x) \prod_i p_i(x) \ge 0$ . **Griffiths' inequalities**: If a lattice action  $-S(x) \in Q$ , then

$$\begin{split} I: Z_{S} &= \int d\mu(x) e^{-S(x)} > 0, \langle p(x) \rangle = Z_{S}^{-1} \int d\mu(x) p(x) e^{-S(x)} \ge 0; \\ II: \langle p(x)q(x) \rangle - \langle p(x) \rangle \langle q(y) \rangle \ge 0. \end{split}$$

# Applications of the Griffiths' inequalities

Consider a finite-size lattice  $\Lambda \subset \mathbb{Z}^D$ , the action of the U(1) LGT:

$$S_{\Lambda} = -\frac{2}{\lambda} \sum_{P \in \Lambda} \cos \theta_P, \quad -S \in \mathcal{Q}_{U(1)}.$$

For another lattice  $\Lambda' = \Lambda + \overline{\Lambda}$  with action  $S_{\Lambda} - S_{\Lambda'} = \beta \sum_{P \in \overline{\Lambda}} \cos \theta_P \in \mathcal{Q}_{U(1)}$ 

$$\frac{d}{d\beta}\langle\cos\theta_{C}\rangle = \frac{1}{Z_{\Lambda'}^{2}}\sum_{P\in\bar{\Lambda}}\langle\cos\theta_{C}\cos\theta_{P}\rangle - \langle\cos\theta_{C}\rangle\langle\cos\theta_{P}\rangle \ge 0.$$

Prove the thermodynamics limit:

 $\langle \cos \theta_C \rangle$  is monotonic and bounded, so it has a unique limit  $\Lambda \to \mathbb{Z}^D$ . Bound  $\langle W[C] \rangle$  in general D:

Take  $\Lambda = \mathbb{Z}^{D-1}, \Lambda' = \mathbb{Z}^D$ , since  $S_{\Lambda'}|_{\beta=0} = S_{\Lambda}$ , the monotonicity gives

$$\langle W[C]\rangle_D \geqslant \langle W[C]\rangle_{D-1} \geqslant \ldots \geqslant \langle W[C]\rangle_{D=2}.$$

3. Where is the limit of the constraint from loop equations + positivity?



# Lattice bootstrap bounds and Wilson line truncation $L_{\max}$

Lattice bootstrap bounds: strong but not enough



 $SU(\infty)$  LGT bootstrap bounds  $L_{\max} \leq 16$  3D Ising nearest-neighbor correlator (Kazakov and Zheng, 2022) (Cho et al., 2022)

**Question**: can the bound converge to high precision with larger  $L_{max}$ ? or, are the loop equations and positivity sufficient to pin down the LGTs?

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#### Explore the limit of bootstrap bound: a 2D example



The path integral with variable  $\theta_P$  factorized to incomplete Bessel functions:

$$I_m(2/\lambda) = \int_0^{2\pi} d\theta_P e^{\frac{2}{\lambda}\cos\theta_P}\cos m\theta_P$$

and the Wilson loop averages are

$$w_m \equiv W[P^m] = I_m/I_0.$$

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Take the Wilson lines  $W_i = P^m, m = 1, 2, ..., n$ , its positivity matrix is

$$\mathcal{M}_{2D} = \begin{pmatrix} w_0 & w_1 & w_2 & \cdots & w_n \\ w_1 & w_0 & w_1 & \cdots & w_{n-1} \\ w_2 & w_1 & w_0 & \cdots & w_{n-2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ w_n & w_{n-1} & w_{n-2} & \cdots & w_0 \end{pmatrix} \succeq 0,$$

associated with the loop equations and boundary condition

$$w_m - w_{m-2} + (m-1)\lambda w_{m-1} = 0, \quad w_0 = 1.$$

The averaged Wilson loops  $w_m$  can be restricted to extremely high precision!

### Bootstrap bounds on the 2D U(1) LGT



# Bootstrap bounds with $L_{\text{max}} = 60$ on the 2D U(1) LGT

#### The first 10 digits of the bootstrap bounds on $w_1$ in 2D U(1)

$\lambda$	Lower bound	Upper bound	Exact value
0.01	0.99749685 <mark>14</mark>	0.997496859 <mark>5</mark>	0.9974968592
0.1	0.97467050 <mark>40</mark>	0.974670517 <mark>2</mark>	0.9746705078
0.2	0.9485998 <mark>188</mark>	0.9485998 <mark>342</mark>	0.9485998259
0.4	0.89338313 <mark>27</mark>	0.8933831 <mark>412</mark>	0.8933831370
0.6	0.83190007 <mark>05</mark>	0.8319000717	0.8319000711
0.8	0.764996747 <mark>0</mark>	0.76499674 <mark>81</mark>	0.7649967475
1.0	0.697774657 <mark>5</mark>	0.69777465 <mark>83</mark>	0.6977746579
2.0	0.446389965 <mark>6</mark>	0.44638996 <mark>61</mark>	0.4463899659
4.0	0.2424996125	0.242499612 <mark>6</mark>	0.2424996125
6.0	0.1643939155	0.1643939155	0.1643939155

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## What we learn from the 2D U(1) LGT bootstrap

- Where is the limit of the lattice bootstrap? For  $L_{max} \simeq 60$ , the lattice bootstrap can numerically pin down the 2D U(1) LGT!
- "Scaling law" in lattice bootstrap: The precision of the bootstrap bounds  $\ln \delta w_1$  is improved linearly with increasing  $L_{\max}$ .



# 4. Bootstrapping the 3D U(1) and $\mathbb{Z}_2$ lattice gauge theories



**3D** U(1) **LGT**: one of the simplest examples for **gauge confinement**!

- On the lattice, the compact gauge group U(1) can generate U(1) monopoles;
- In the long distance limit, the monopole gas can screen the electric interaction, lead to the gauge confinement! (*Polyakov*, 1975, 77);
- One of the very few examples for which the confinement can be understood analytically;
- An important target for quantum simulation (e.g., *Zohar et al., 2012, Paulson et al., 2021*).

# What we know about 3D U(1) LGT

- The theory is confined in the long distance for general λ;
- Plaquette average in the weak coupling limit (Horsley and Wollf, 1981)

$$u_P = 1 - \frac{1}{6}\lambda - \frac{1}{72}\lambda^2 - 0.0041375\lambda^3 - 0.000175\lambda^4 + \cdots$$

• Plaquette average in the strong coupling limit (Balian et al., 1975)

$$u_P = \frac{1}{\lambda} - \frac{1}{2\lambda^3} + \frac{7}{3\lambda^5} - \frac{395}{48\lambda^7} + \frac{1173}{40\lambda^9} - \frac{507803}{4320\lambda^{11}} + \frac{7352027}{15120\lambda^{13}} - \frac{443004913}{215040\lambda^{15}} + \cdots$$

- Monte Carlo simulation with intermediate  $\lambda$  (Loan, et al., 2002);
- Effective string and flux tube description of the confinement (Caselle, et al., 2014, 2016);
- Monte Carlo simulation for the glueball mass spectrum and string tension (Athenodorou and Teper, 2019).

## Bootstrap bounds with $L_{\text{max}} = 16$ on the 3D U(1) LGT



Black dot-dashed line: 2D U(1) lattice gauge theory  $w_1$ ; red dashed lines: strong and weak coupling expansions; green dots: Monte Carlo results.

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- **Strong/weak couplings:** the two-sided bootstrap bounds converge quickly and consistent with the perturbative results;
- Intermediate coupling: the two-sided bootstrap bounds well agree with the Monte Carlo results, but precision is weaker;

#### A short review of the 3D $\mathbb{Z}_2$ LGT

- Dual to the 3D Ising model, 3D version of the Kramers-Wannier duality, provides phase transition with topological order;
- Plaquette average in the strong coupling limit (Balian et al., 1975):

$$u_P = J - \frac{J^3}{8} + \frac{7J^5}{48} - \frac{395J^7}{3072} + \frac{1173J^9}{10240} - \frac{507803J^{11}}{4423680} + \frac{7352027J^{13}}{61931520} - \frac{443004913J^{15}}{3523215360} + \cdots$$
  
Monte Carlo results on 3D Ising model can be transferred to the 3D  
 $\mathbb{Z}_2$  LGT through transformation

$$F_{\text{Ising}}(J') = F_{\mathbb{Z}_2 \text{ gauge}}(J) - \frac{3}{2}\ln\sinh(2J) + \frac{1}{2}\ln 2.$$

- Second order phase transition at *J* = 0.761413292(11) (Ferrenberg et al., 2018)
- Important applications in quantum computing, higher form symmetry, etc.

#### Bootstrap bounds for the 3D $\mathbb{Z}_2$ LGT with $L_{\max} = 16$



Light/dark blue region:  $L_{max} = 12/16$ ; green dots: MC data; red dashed line: strong coupling expansion; black dashed line: solution of 2D  $\mathbb{Z}_2$  LGT,  $\mathbb{Z}_2$  LGT,

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- **Strong/weak couplings:** the two-sided bootstrap bounds converge quickly and consistent with the perturbative/Monte Carlo results;
- **Similar interesting observation:** with large *λ*, both upper and lower bounds quickly converge to the 2D solution! Why?
- Numerical precision: Even with  $L_{max} = 16$ , the numerical precision is notably better than the 3D Ising model bootstrap bounds by the Harvard group (Cho et al., 2022)!

# 5. Towards bootstrapping the string tension and glueball mass



## Confinement: string tension

Confinement: key problem for QCD. Can bootstrap do more?

**String tension**  $\sigma$ : large Wilson loop and area law

$$\langle W[C] \rangle \equiv \langle W[R,T] \rangle \propto e^{-\sigma RT - \gamma (R+T) - a}.$$

Potential between static quark pair and string tension:

$$V(R) = -\lim_{T 
ightarrow \infty} rac{1}{T} \log raket{W[R,T]}, \; \sigma = \lim_{R 
ightarrow \infty} rac{V(R)}{R}$$



Static quark pair with distance R:



Confinement: Deconfinement:	$egin{aligned} &\langle W[R,T]  angle \sim e^{-TV(R)} \sim e^{-\sigma  imes  ext{area}} \ &\langle W[R,T]  angle \sim e^{-TV(R)} \sim e^{-\gamma  imes  ext{perimeter}} \end{aligned}$

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Bootstrap: estimate  $\sigma$  with Wilson loops at finite lengths. Creutz ratio: cancel the linear and constant terms in V(R):

$$\chi(I,J) = -\ln\left(\frac{W[I,J] \ W[I-1,J-1]}{W[I,J-1] \ W[I-1,J]}\right).$$

Strict bounds:

λ	W[3,2]	W[3,1]	W[2,2]	W[2,1]	$\chi_{\rm ext}$	MC
0.6	0.57(16)	0.71(8)	0.66(10)	0.79(6)	0.04	N.A.
0.8	0.44(26)	0.60(14)	0.53(17)	0.70(11)	0.05	0.010
1.0	0.34(34)	0.49(20)	0.42(23)	0.61(16)	0.06	0.050

#### The millennium problem: Mass gap in Yang-Mills theories

Can bootstrap, or positivity play a substantial role for mass gap problem?

Consider two parallel Wilson loops with distance R, the correlation function is dominated by the lowest exciting states:

$$\langle W[P]W[P'] \rangle_{\text{connect}} \simeq \sum_{i} e^{-m_i R}$$



### Primary bootstrap results

#### Parallel plaquettes with distance T = 1, 2, 3, 4:



	au = 1	au = 2	au = 3	au = 4
$\langle P( au)P(0) angle$	0.56(12)	0.58(14)	0.59(15)	0.61(22)
$\langle P( au)^* P(0)  angle$	0.64(15)	0.60(15)	0.59(15)	0.63(22)

**Comments**: Nontrivial constraints but need one order higher precision to evaluate glueball mass.

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## Summary

- Can the loop equations and positivity be enough to pin down a LGT?
   Yes for 2D U(1) LGT! With L<sub>max</sub> = 60, the precision ~ 10<sup>-8</sup>!
- **②** Strong two-sided bootstrap bounds on 3D U(1) and  $\mathbb{Z}_2$  LGT
- Bootstrapping the string tension and glueball mass spectrum. Needs higher precision. To be continue...

Can we solve the *gauge confinement problem* in QCD using **bootstrap**? We will see...

# Thank you!