

# New Positivity Bounds from Full Crossing Symmetry

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Andrew Tolley, Zi-Yue Wang & **SYZ**, 2011.02400

# What are positivity bounds?

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$$\mathcal{L} = \sum_i \Lambda^4 f_i \mathcal{O}_i \left( \frac{\text{boson}}{\Lambda}, \frac{\text{fermion}}{\Lambda^{3/2}}, \frac{\partial}{\Lambda} \right)$$

$f_i$ : Wilson coefficients

Is every set of Wilson coefficients allowed?

Short answer: **No!**

UV completion satisfies: Lorentz invariance, causality/analyticity, unitarity, crossing symmetry, ...



Positivity bounds on Wilson coefficients

# Some fundamental properties of S-matrix

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**Unitarity:** conservation of probabilities  $S^\dagger S = 1$

**Lorentz invariance:** amplitude  $A(p_i^\mu p_{j\mu})$

**Causality/Analyticity:**  $A(p_i^\mu p_{j\mu})$  as analytic function

**Locality:**  $A(p_i^\mu p_{j\mu})$  is polynomially bounded at high energies

**Crossing symmetry:**  $A(s, t) = A(u, t) = A(t, s)$  (for scalar)

# Simplest example: P(X)

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$$\mathcal{L} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi + \frac{\lambda}{\Lambda^4}(\partial_\mu\phi\partial^\mu\phi)^2 + \dots$$

$$A(s, t = 0) = \frac{4\lambda s^2}{\Lambda^4} + \dots$$

Positivity bound:  $\lambda > 0$

Theories with  $\lambda < 0$  **do not** have a local and Lorentz invariant UV completion

$$\mathcal{L}_{\text{DBI}} \sim -\sqrt{1 + (\partial\phi)^2} \quad \checkmark$$

$$\mathcal{L}_{\overline{\text{DBI}}} \sim -\sqrt{1 - (\partial\phi)^2} \quad \times$$

# Fixed $t$ dispersion relation

$$A(s, t) = \frac{1}{2\pi i} \oint_C ds' \frac{A(s', t)}{s' - s} \quad \text{Analyticity}$$

$$= \frac{\lambda}{m^2 - s} + \frac{\lambda}{m^2 - u} + \int_{C_\infty^\pm} ds' \frac{A(s', t)}{s' - s}$$

$$+ \int_{4m^2}^{\infty} \frac{d\mu}{\pi} \left( \frac{\text{Im}A(\mu, t)}{\mu - s} + \frac{\text{Im}A(\mu, t)}{\mu - u} \right),$$

Froissart-Martin bound

$$\lim_{s \rightarrow \infty} |A(s, t)| < C s^{1+\epsilon(t)}, \quad \epsilon(t) < 1, \quad 0 \leq t < 4m^2$$

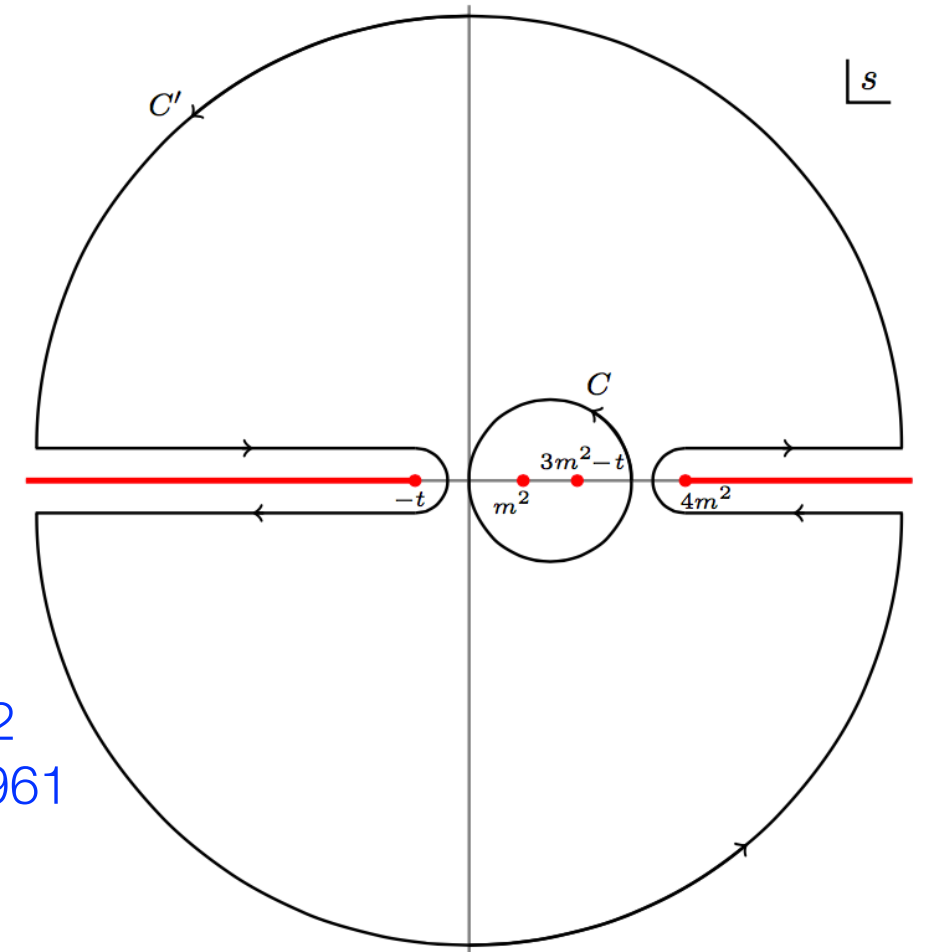
$s \leftrightarrow u$  crossing symmetry

Twice subtracted dispersion relation

$$v = s + \frac{t}{2} - 2m^2$$

$$\bar{\mu} = \mu - \frac{4m^2}{3}$$

$$B(v, t) = a(t) + \int_{4m^2}^{\infty} \frac{d\mu}{\pi(\bar{\mu} + \bar{t}/2)} \frac{2v^2 \text{Im}A(\mu, t)}{(\bar{\mu} + \bar{t}/2)^2 - v^2}$$



Martin 1962  
Froissart 1961

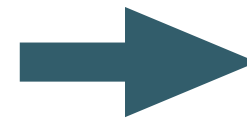
$$B(s, t) = A(s, t) - \frac{\lambda}{m^2 - s} - \frac{\lambda}{m^2 - u} - \frac{\lambda}{m^2 - t}$$

# What does the dispersion relation imply?

$$B^{(2N,M)}(t) = \frac{1}{M!} \partial_v^{2N} \partial_t^M B(v, t) \Big|_{v=0} = \sum_{k=0}^M \frac{(-1)^k}{k! 2^k} I^{(2N+k, M-k)}$$

$$I^{(q,p)}(t) = \frac{q!}{p!} \frac{2}{\pi} \int_{4m^2}^{\infty} \frac{d\mu}{(\bar{\mu} + \bar{t}/2)^{q+1}} \partial_t^p \text{Im} A(\mu, t)$$

partial wave unitarity  
positivity of Legendre polynomials  
analyticity



$$\frac{\partial^n}{\partial t^n} \text{Im}[A(s, t)] > 0$$

$$s \geq 4m^2, \quad 0 \leq t < 4m^2$$

→  $I^{(q,p)}(t) > 0$

**Strategy:** linearly combine different  $\partial_s^{2N} \partial_t^M B(s, t)$  to overcome the  $(-1)^k$

$$I^{(q,p)} < \frac{q}{\mathcal{M}^2} I^{(q-1,p)} \quad \mathcal{M}^2 = (t + 4m^2)/2$$

# The $Y$ positivity bounds

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Recurrence relation:

de Rham, Melville, Tolley & **SYZ**, 1702.06134

$$Y^{(2N,M)} = \sum_{r=0}^{M/2} c_r B^{(2N+2r, M-2r)} + \frac{1}{\mathcal{M}^2} \sum_{k \text{ even}}^{(M-1)/2} (2(N+k)+1) \beta_k Y^{(2(N+k), M-2k-1)} > 0$$

$$B^{(2N,M)}(t) = \frac{1}{M!} \partial_v^{2N} \partial_t^M \tilde{B}(v, t) \Big|_{v=0}$$

$$\operatorname{sech}(x/2) = \sum_{k=0}^{\infty} c_k x^{2k} \quad \text{and} \quad \tan(x/2) = \sum_{k=0}^{\infty} \beta_k x^{2k+1}$$

$$\mathcal{M}^2 = (t + 4m^2)/2$$

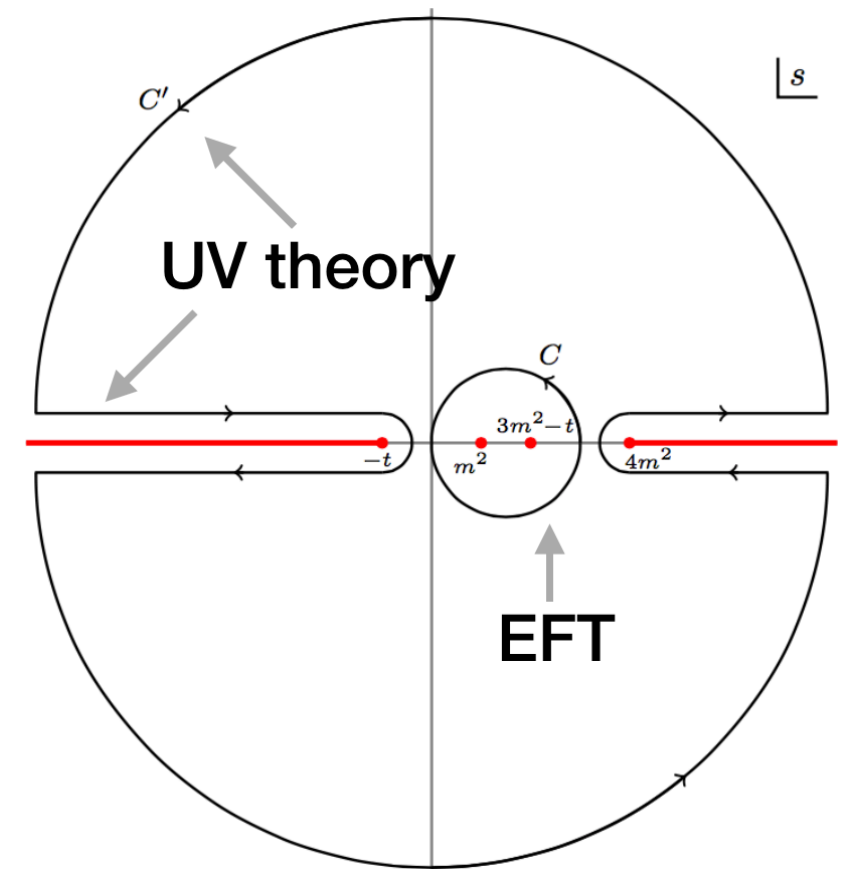
# What role do the EFT play?

$$Y^{(2N,M)} \sim \sum_{n,m} c_{n,m} \partial_s^n \partial_t^m A(s, t) > 0$$

At low energies, EFT amplitude  $\simeq$  full amplitude

$$Y_{\text{EFT}}^{(2N,M)} \sim \sum_{n,m} c_{n,m} \partial_s^n \partial_t^m A_{\text{EFT}}(s, t) > 0$$

contains Wilson coeff's



## Inequalities on Wilson coefficients



# $Y$ bounds for spinning particles

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## Difficulties with nonzero spins

- nontrivial crossing
- kinematic singularities

## Making use of regularized transversely amplitude

$Y$  bounds **formally the same** as scalar case:

$$Y_{\tau_1\tau_2}^{(2N,M)}(t) = \sum_{r=0}^{M/2} c_r B_{\tau_1\tau_2}^{(2N+2r,M-2r)}(t) + \frac{1}{\mathcal{M}^2} \sum_{\text{even } k=0}^{(M-1)/2} (2N + 2k + 1) \beta_k Y_{\tau_1\tau_2}^{(2N+2k,M-2k-1)}(t) > 0$$

# Improved positivity bounds

$A(s, t)$  calculable within EFT ( $E < \epsilon\Lambda$ ,  $\epsilon \lesssim 1$ )

Low energy subtracted amplitude:

$$B_{\epsilon\Lambda}(v, t) \equiv B(v, t) - \int_{4m^2}^{(\epsilon\Lambda)^2} \frac{d\mu}{(\dots)} \text{Im}A(\mu, t) = \int_{(\epsilon\Lambda)^2}^{\infty} \frac{d\mu}{(\dots)} \text{Im}A(\mu, t)$$

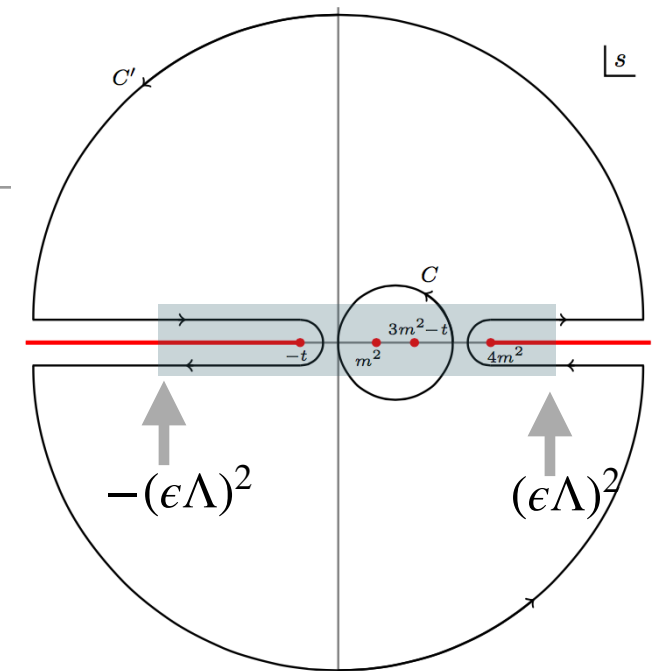


Improved bounds

$$Y_{\epsilon\Lambda}^{(2N, M)}(t) > 0$$

Tree amplitude positivity bounds (weak coupling  $g \ll 1$ )

$\epsilon\Lambda = \Lambda_{\text{th}}$ : UV heavy mass scale



# Positivity Bounds from Full Crossing Symmetry

Fixed  $t$  dispersion relation is only  $s \leftrightarrow u$  symmetric.

Full crossing symmetry:  $B(u, t) = B(s, t) = B(t, s)$

# Moment of positive distribution (without $t$ derivatives)

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Partial wave expansion:  $A(s, t) \sim \sum_{\ell=0}^{\infty} (2\ell + 2\alpha) C_{\ell}^{(\alpha)}(\cos \theta) a_{\ell}(s)$

$C_{\ell}^{(\alpha)}(x)$ : Gegenbauer polynomials

Partial wave unitary bounds:

$$\text{Im } a_{\ell}(s) \geq |a_{\ell}(s)|^2$$

Positive “distribution”:  $\rho_{\ell}(\mu) \sim \text{Im } a_{\ell}(\mu) > 0$

$$f^{(2N,0)} \sim \partial_s^{2N+2} B_{\epsilon\Lambda}(s, t) \Big|_{\substack{m^2 \rightarrow 0 \\ s, t \rightarrow 0}} = \sum_{\ell} \int d\mu \rho_{\ell, \alpha}(\mu) \frac{1}{\mu^{2N}} > 0$$

Define “moment”

$$\left\langle \left\langle \frac{1}{\mu^{2N}} \right\rangle \right\rangle = \frac{\sum_{\ell} \int d\mu \rho_{\ell, \alpha}(\mu) \frac{1}{\mu^{2N}}}{\sum_{\ell} \int d\mu \rho_{\ell, \alpha}(\mu)} = \frac{f^{(2N,0)}}{f^{(0,0)}}$$

# Nonlinear $s$ derivative bounds

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Cauchy-Schwarz inequality

$$\left\langle\left\langle \frac{1}{\mu^{2I}} \right\rangle\right\rangle \left\langle\left\langle \frac{1}{\mu^{2J}} \right\rangle\right\rangle \geq \left\langle\left\langle \frac{1}{\mu^{I+J}} \right\rangle\right\rangle^2 \quad \longrightarrow \quad f^{(2I,0)} f^{(2J,0)} \geq (f^{(I+J,0)})^2$$

Low energy expansion

$$B_{\epsilon\Lambda}(s, t = 0) = \frac{\tilde{a}_{1,0}}{\Lambda^4} s^2 + \frac{\tilde{a}_{2,0}}{\Lambda^8} s^4 + \frac{\tilde{a}_{3,0}}{\Lambda^{12}} s^6 + \dots$$

Constraints on Wilson coeffs:  $\tilde{a}_{2I,0} \tilde{a}_{2J,0} \geq (\tilde{a}_{I+J,0})^2$

$$\longrightarrow \quad 0 < \tilde{a}_{I,0} < \tilde{a}_{1,0}$$

Constrained the  $s$  terms from both sides!

# EFThedron

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This can be generalized to “EFThedron”:

positivity of Hankel matrix of Wilson coefficients ( $s$  derivatives)

$$\det \begin{bmatrix} \tilde{a}_{1,0} & \tilde{a}_{2,0} & \cdots & \tilde{a}_{\frac{i}{2}+1,0} \\ a_{2,0} & \tilde{a}_{3,0} & \cdots & \tilde{a}_{\frac{i}{2}+2,0} \\ \vdots & \vdots & \vdots & \vdots \\ \tilde{a}_{\frac{i}{2}+1,0} & \tilde{a}_{\frac{i}{2}+2,0} & \cdots & \tilde{a}_{i+1,0} \end{bmatrix} \geq 0$$

# What about $t$ derivatives?

Add on  $s \leftrightarrow t$  crossing!

Consider 1st  $t$  derivative  $f^{(0,1)} \sim \partial_t B(s, t)$

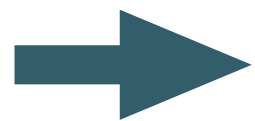
$$\left( \frac{f^{(0,1)}}{f^{(0,0)}} + \left\langle\left\langle \frac{3}{2\mu} \right\rangle\right\rangle \right)^2 = \left\langle\left\langle \frac{2(D-3)\ell + 2\ell^2}{(D-2)\mu} \right\rangle\right\rangle^2 \leq \left\langle\left\langle \left( \frac{2(D-3)\ell + 2\ell^2}{(D-2)\mu} \right)^2 \right\rangle\right\rangle$$

Cauchy-Schwarz

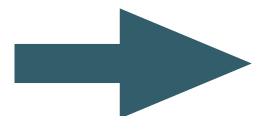
Impose  $s \leftrightarrow t$  on  $su$  dispersion relation

Tolley, Wang & **SYZ**, 2011.02400

$$B_{\text{tr}}(s, t) = B_{\text{tr}}(t, s)$$



$$\left\langle\left\langle \frac{\ell(\ell + D - 3)[4 - 5D - 2(3 - D)\ell + 2\ell^2]}{\mu^2} \right\rangle\right\rangle + \mathcal{O}\left(\frac{1}{\mu^3}\right) = 0$$



$$-\frac{3}{2\Lambda^2} f^{(0,0)} < f^{(0,1)} < \frac{5D - 4}{(D - 2)\Lambda^2} f^{(0,0)}$$

# Constraining $t$ dependent terms

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Low energy expansion with  $t \neq 0$

$$B_{\text{tr}}(s, t) = \frac{\tilde{a}_{1,0}}{\Lambda^4} x + \frac{\tilde{a}_{0,1}}{\Lambda^6} y + \frac{\tilde{a}_{2,0}}{\Lambda^8} x^2 + \dots$$

$$x \equiv -(st + su + tu), \quad y \equiv -stu$$

Triple crossing bound

$$-\frac{3}{2} \tilde{a}_{1,0} < \tilde{a}_{0,1} < \frac{5D-4}{(D-2)} \tilde{a}_{1,0}$$

**Now, the  $y$  coefficient is constrained from both sides!**



# Implications for soft theories

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## Weakly broken Galileon theory

$$\Lambda_3^{4-D} \mathcal{L}_{\text{mg}} = -\frac{1}{2} \partial_\mu \pi \partial^\mu \pi - \frac{1}{2} m^2 \pi^2 + \sum_{n=3}^{D+1} \frac{g_n}{\Lambda_3^{3n-3}} \pi \partial^{\mu_1} \partial_{[\mu_1} \pi \partial^{\mu_2} \partial_{\mu_2} \pi \cdots \partial^{\mu_n} \partial_{\mu_n]} \pi + \dots$$

$$B_{\text{mg}}(s, t) \sim \frac{1}{\Lambda_3^{D-4}} \left( \frac{m^2}{\Lambda_3^6} x + \frac{1}{\Lambda_3^6} y + \frac{1}{\Lambda_3^8} x^2 + \dots \right)$$

$$\left. \begin{array}{l} \tilde{a}_{1,0} \sim g^2 \text{ with } g \ll 1 \\ \tilde{a}_{N+1,0} \tilde{a}_{1,0}^{N-1} \geq \tilde{a}_{2,0}^N \\ -\frac{3}{2} \tilde{a}_{1,0} < \tilde{a}_{0,1} < \frac{5D-4}{(D-2)} \tilde{a}_{1,0} \end{array} \right\} \Rightarrow B(s, 0) \sim \frac{g^2}{\Lambda^{D-4}} \left( \frac{x}{\Lambda^4} + \frac{y}{\Lambda^6} + \frac{x^2}{\Lambda^8} + \dots \right)$$

$$\Rightarrow \frac{g^2}{\Lambda^D} \sim \frac{m^2}{\Lambda_3^{D+2}}, \quad \frac{g^2}{\Lambda^{D+2}} \sim \frac{1}{\Lambda_3^{D+2}} \Rightarrow \Lambda \sim m,$$

No healthy hierarchy as EFT, so no standard UV completion!

# Positivity Bounds from Full Crossing Symmetry

— — Generalizations

$s \leftrightarrow u$  symmetric expansion

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Introduce a new variable  $w = -su$

Expand the dispersion relation

$$B_{\epsilon\Lambda}(s, t) = b(t) + \sum_{\ell} \int d\mu \rho_{\ell, \alpha}(\mu) \mu^2 \frac{2 + \frac{t}{\mu}}{1 + \frac{t}{\mu} - \frac{w}{\mu^2}} \frac{C_{\ell}^{(\alpha)}(1 + \frac{2t}{\mu})}{2C_{\ell}^{(\alpha)}(1)}$$
$$= \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} c_{m,n} w^m t^n$$

by brute force

$$c_{m,n} \equiv \left\langle \frac{D_{m,n}(\eta)}{\mu^{2m+n-2}} \right\rangle$$

$$\eta = \ell(\ell + 2\alpha)$$

$$[\text{in 4D } \eta = \ell(\ell + 1)]$$

$$D_{m,n}(\eta) = d_n \eta^n + d_{n-1} \eta^{n-1} + \dots + d_0 \text{ with } d_n > 0$$

# $D^{\text{su}}$ bounds

Tolley, Wang & **SYZ**, 2011.02400

Find the minimum of  $D_{m,n}(\eta)$   $c_{m,n} = \left\langle \frac{D_{m,n}(\eta)}{\mu^{2m+n-2}} \right\rangle \geq \min_{\eta} (D_{m,n}(\eta)) \left\langle \frac{1}{\mu^{2m+n-2}} \right\rangle$

More generally, find minimum of  $D_{m,n}^{\text{su}}(\eta, k) \equiv \sum_{i \geq 0} k_i D_{m+i, n-2i}(\eta)$ ,  
 $k_0 > 0$

$$c_{m,2k} + \sum_{i \geq 1} k_i c_{m+i, 2k-2i} > S_{m,2k}(k) c_{m+k,0},$$
$$c_{m,2k+1} + \sum_{i \geq 1} k_i c_{m+i, 2k+1-2i} > S_{m,2k+1}(k) \sqrt{c_{m+k,0} c_{m+k+1,0}},$$

choose any set of  $k_i$

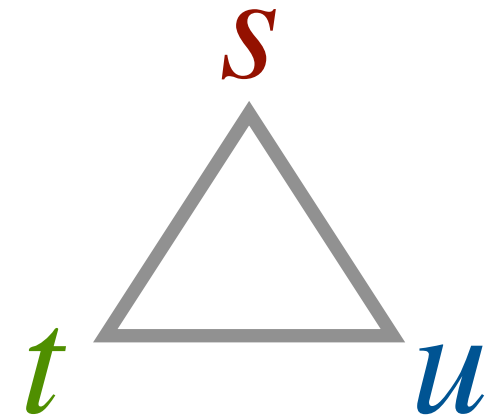
$$S_{m,n}(k) = \min_{\eta} D_{m,n}^{\text{su}}(\eta, k).$$

# $stu$ triple crossing symmetry

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Impose  $s \leftrightarrow t$  on  $su$  dispersion relation

$$B_{\text{tr}}(s, t) = B_{\text{tr}}(t, s)$$



$$B(s, t) = \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} c_{m,n} w^m t^n \quad c_{m,n} \equiv \left\langle \frac{D_{m,n}(\eta)}{\mu^{2m+n-2}} \right\rangle \quad B(s, t) = B(u, t) = B(t, s)$$

➔ **Triple crossing constraints**

$$\left\langle \frac{\Gamma_{m,n}(\eta)}{\mu^{2m+n-2}} \right\rangle = 0$$

$$\Gamma_{m,m+1}(\eta) = D_{m,m+1}(\eta) - 2D_{m+1,m-1}(\eta),$$

$$\Gamma_{m,n}(\eta) = \Gamma_{m+1,n}(\eta) + \Gamma_{m,n-1}(\eta).$$

# $\Gamma_{m,n}$ constraints

	$\eta^0$	$\eta^2$	$\eta^4$	$\eta^6$	$\eta^8$	$\eta^{10}$	$\eta^{12}$	$\Gamma_{m,n}$ constraints from $s \leftrightarrow t$ symmetry
$\mu^2$	$c_{0,0}$							
1	$c_{1,0}$	$c_{0,2}$						
$\frac{1}{\mu^2}$	$c_{2,0}$	$c_{1,2}$	$c_{0,4}$					$c_{1,2} - 2c_{2,0} = 0$
$\frac{1}{\mu^4}$	$c_{3,0}$	$c_{2,2}$	$c_{1,4}$	$c_{0,6}$				$c_{1,4} - 3c_{3,0} = 0$
$\frac{1}{\mu^6}$	$c_{4,0}$	$c_{3,2}$	$c_{2,4}$	$c_{1,6}$	$c_{0,8}$			$c_{2,4} - c_{3,2} - 2c_{4,0} = 0, c_{1,6} - 2c_{2,4} - 2c_{3,2} - 8c_{4,0} = 0$
$\frac{1}{\mu^8}$	$c_{5,0}$	$c_{4,2}$	$c_{3,4}$	$c_{2,6}$	$c_{1,8}$	$c_{0,10}$		$c_{3,4} - 2c_{4,2} = 0, c_{2,6} + c_{3,4} - 3c_{4,2} - 5c_{5,0} = 0, c_{1,8} + 4c_{2,6} + 3c_{3,4} - 10c_{4,2} - 25c_{5,0} = 0$
$\frac{1}{\mu^{10}}$	$c_{6,0}$	$c_{5,2}$	$c_{4,4}$	$c_{3,6}$	$c_{2,8}$	$c_{1,10}$	$c_{0,12}$	$c_{3,6} - 3c_{5,2} - 2c_{6,0} = 0, c_{2,8} + 3c_{3,6} - 10c_{5,2} - 15c_{6,0} = 0, c_{1,10} + 6c_{2,8} + 12c_{3,6} - 42c_{5,2} - 84c_{6,0} = 0$

	$\eta^1$	$\eta^3$	$\eta^5$	$\eta^7$	$\eta^9$	$\eta^{11}$	$\Gamma_{m,n}$ constraints from $s \leftrightarrow t$ symmetry
$\mu$	$c_{0,1}$						
$\frac{1}{\mu}$	$c_{1,1}$	$c_{0,3}$					
$\frac{1}{\mu^3}$	$c_{2,1}$	$c_{1,3}$	$c_{0,5}$				$c_{1,3} - c_{2,1} = 0$
$\frac{1}{\mu^5}$	$c_{3,1}$	$c_{2,3}$	$c_{1,5}$	$c_{0,7}$			$c_{2,3} - 2c_{3,1} = 0, c_{1,5} + c_{2,3} - 3c_{3,1} = 0$
$\frac{1}{\mu^7}$	$c_{4,1}$	$c_{3,3}$	$c_{2,5}$	$c_{1,7}$	$c_{0,9}$		$c_{2,5} - 3c_{4,1} = 0, c_{1,7} + 3c_{2,5} - 10c_{4,1} = 0$
$\frac{1}{\mu^9}$	$c_{5,1}$	$c_{4,3}$	$c_{3,5}$	$c_{2,7}$	$c_{1,9}$	$c_{0,11}$	$c_{3,5} - c_{4,3} - 2c_{5,1} = 0, c_{2,7} + 2c_{3,5} - 2c_{4,3} - 8c_{5,1} = 0, c_{1,9} + 5c_{2,7} + 7c_{3,5} - 7c_{4,3} - 35c_{5,1} = 0$

# $D^{stu}$ bounds

Tolley, Wang & SYZ, 2011.02400

Now,  $\Gamma_{m,n}(\eta) = e_n \eta^n + e_{n-1} \eta^{n-1} + \dots + e_0$

Define  $D_{m,n}^{stu}(\eta, k, \kappa) = D_{m,n}^{su}(\eta, k) + \sum_{\substack{j \geq 0 \\ n' < n}} \kappa_j \Gamma_{m'+j, n'-2j}(\eta),$

$$D_{m,n}^{stu}(\eta, k, \kappa) = d'_n \eta^n + d'_{n-1} \eta^{n-1} + \dots + d'_0 \text{ with } \bar{d}_n > 0$$

Find the minimum of  $D_{m,n}^{stu}$

this improves the  $D^{su}$  bounds

$$c_{m,2k} + \sum_{i \geq 1} k_i c_{m+i, 2k-2i} > U_{m,2k}(k) c_{m+k,0},$$

$$c_{m,2k+1} + \sum_{i \geq 1} k_i c_{m+i, 2k+1-2i} > U_{m,2k+1}(k) \sqrt{c_{m+k,0} c_{m+k+1,0}},$$

$$U_{m,n}(k) = \max_{\kappa} \min_{\eta} D_{m,n}^{stu}(\eta, k, \kappa),$$

# $\bar{D}^{stu}$ bounds

Tolley, Wang & SYZ, 2011.02400

Define  $\bar{D}_{m,n}^{stu}(\eta, k, \kappa) = -D_{m,n}^{su}(\eta, k) + \sum_{j \geq 0} \kappa_j \Gamma_{m'+j, n'-2j}(\eta)$ ,  
 $\kappa_0 > 0, n' > n$

$$\bar{D}_{m,n}^{stu}(\eta, k, \kappa) = \bar{d}_n \eta^n + \bar{d}_{n-1} \eta^{n-1} + \cdots + \bar{d}_0$$

Find the minimum of  $\bar{D}_{m,n}^{stu}$

$$c_{m,2k} + \sum_{i \geq 1} k_i c_{m+i, 2k-2i} < -T_{m,2k}(k) c_{m+k,0},$$

$$c_{m,2k+1} + \sum_{i \geq 1} k_i c_{m+i, 2k+1-2i} < -T_{m,2k+1}(k) \sqrt{c_{m+k,0} c_{m+k+1,0}},$$

$$T_{m,n}(k) = \max_{\kappa} \min_{\eta} \bar{D}_{m,n}^{stu}(\eta, k, \kappa),$$

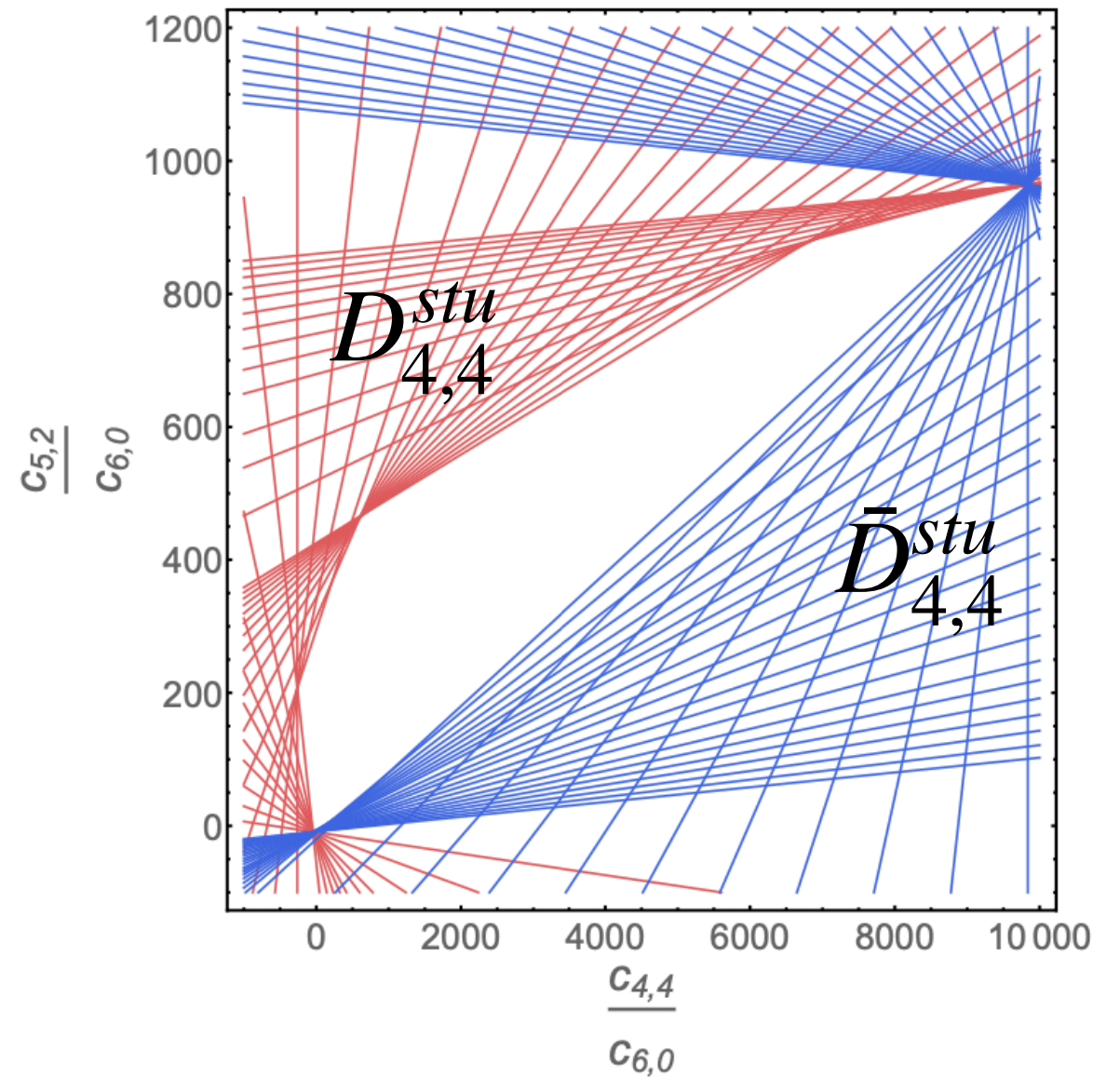
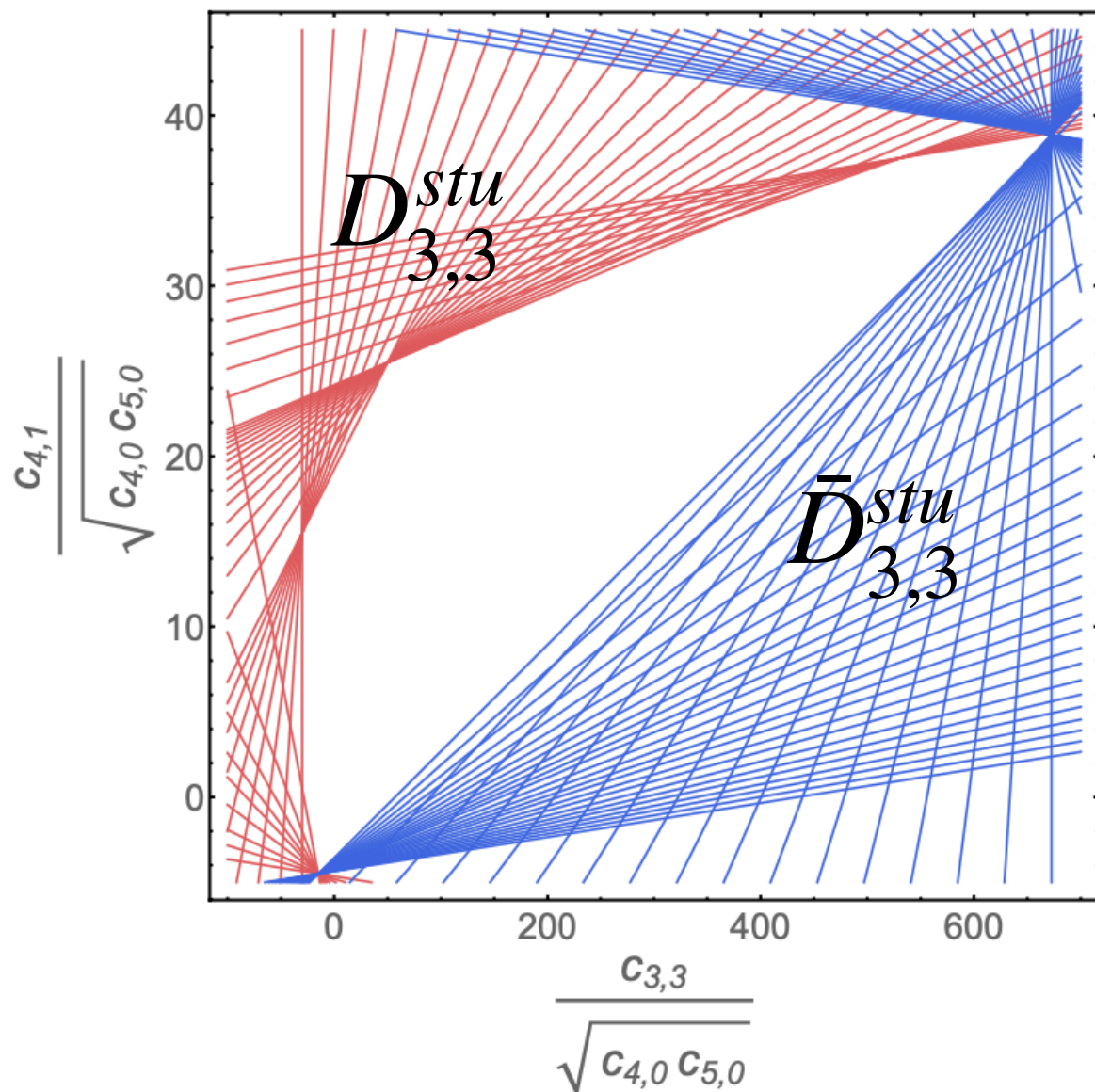
**Bounding coefficients from the opposite side!**



# Leading $D^{stu}$ and $\bar{D}^{stu}$ bounds

$(m, n)$	$D_{m,n}^{stu}$ bound	$\bar{D}_{m,n}^{stu}$ bound
(1, 1)	$c_{1,1} > -\frac{3}{2}\sqrt{c_{1,0}c_{2,0}}$	$c_{1,1} < 8\sqrt{c_{1,0}c_{2,0}}$
(2, 1)	$c_{2,1} > -\frac{5}{2}\sqrt{c_{2,0}c_{3,0}}$	$c_{2,1} < \frac{465}{38}\sqrt{c_{2,0}c_{3,0}}$
(2, 2)	$c_{2,2} > -\frac{9}{2}c_{3,0}$	$c_{2,2} < \frac{2961}{58}c_{3,0}$
(3, 1)	$c_{3,1} > -\frac{7}{2}\sqrt{c_{3,0}c_{4,0}}$	$c_{3,1} < \frac{1097}{58}\sqrt{c_{3,0}c_{4,0}}$
(3, 2)	$c_{3,2} > -7c_{4,0}$	$c_{3,2} < \frac{10027}{59}c_{4,0}$
(3, 3)	$c_{3,3} + \frac{3}{4}c_{4,1} > -\frac{147}{8}\sqrt{c_{4,0}c_{5,0}},$ $c_{3,3} - 8c_{4,1} > -154\sqrt{c_{4,0}c_{5,0}},$ $c_{3,3} - \frac{481}{12}c_{4,1} > -\frac{7777}{8}\sqrt{c_{4,0}c_{5,0}},$ $c_{3,3} - 104c_{4,1} > -3369\sqrt{c_{4,0}c_{5,0}}$	$c_{3,3} - \frac{650}{41}c_{4,1} < -\frac{2310}{41}\sqrt{c_{4,0}c_{5,0}}$
(4, 2)	$c_{4,2} > -\frac{17}{2}c_{5,0}$	$c_{4,2} < \frac{3923}{12}c_{5,0}$
(4, 3)	$c_{4,3} + \frac{3}{4}c_{5,1} > -\frac{253}{8}\sqrt{c_{5,0}c_{6,0}},$ $c_{4,3} - \frac{180}{41}c_{5,1} > -\frac{8705}{82}\sqrt{c_{5,0}c_{6,0}},$ $c_{4,3} - \frac{325}{12}c_{5,1} > -\frac{16825}{24}\sqrt{c_{5,0}c_{6,0}},$ $c_{4,3} - \frac{169}{2}c_{5,1} > -\frac{11187}{4}\sqrt{c_{5,0}c_{6,0}}$ $c_{4,3} - \frac{743}{4}c_{5,1} > -\frac{63279}{8}\sqrt{c_{5,0}c_{6,0}}$	$c_{4,3} - \frac{73153}{1748}c_{5,1} < -\frac{708543}{3496}\sqrt{c_{5,0}c_{6,0}}$
(4, 4)	$c_{4,4} + \frac{25}{24}c_{5,2} > -\frac{147}{8}c_{6,0},$ $c_{4,4} - \frac{125}{37}c_{5,2} > -\frac{71175}{74}c_{6,0},$ $c_{4,4} - \frac{785}{52}c_{5,2} > -\frac{83490}{13}c_{6,0},$ $c_{4,4} - \frac{2485}{69}c_{5,2} > -\frac{1144125}{46}c_{6,0}$	$c_{4,4} - 15c_{5,2} < -\frac{195}{2}c_{6,0},$ $c_{4,4} + \frac{368085}{36544}c_{5,2} < -\frac{2365845}{18272}c_{6,0}$

# Enclosed convex region from bounds



Constraining coefficients from both sides!

# The $PQ$ bounds: refinement of the $Y$ bounds

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Relaxing inequality:  $\frac{1}{(\epsilon\Lambda)^2} \left\langle \frac{L_\ell^i}{\mu^{j-1}} \right\rangle > \left\langle \frac{L_\ell^i}{\mu^j} \right\rangle > (\epsilon\Lambda)^2 \left\langle \frac{L_\ell^i}{\mu^{j+1}} \right\rangle$

$$P_{m,n} \equiv c_{m,n} + \frac{1}{(\epsilon\Lambda)^2} \sum_{i=1}^{\lfloor \frac{n+1}{2} \rfloor} M_m^{2i-1} P_{m+i-1, n+1-2i} - \sum_{j=1}^{\lfloor \frac{n}{2} \rfloor} M_m^{2j} Q_{m+j, n-2j},$$

$$Q_{m,n} \equiv c_{m,n} + (\epsilon\Lambda)^2 \sum_{i=1}^{\lfloor \frac{n+1}{2} \rfloor} M_m^{2i-1} Q_{m+i, n+1-2i} - \sum_{j=1}^{\lfloor \frac{n}{2} \rfloor} M_m^{2j} P_{m+j, n-2j},$$

Tolley, Wang & **SYZ**, 2011.02400

Linear bounds

$$P_{m,n} > (\epsilon\Lambda)^{4k} Q_{m+k,n}, \quad k = 0, 1, 2, \dots,$$

$P_{m,n} > 0$  is roughly  $Y^{(2N,M)} > 0$

Nonlinear bounds

$$P_{m,n} P_{m+2,n} > (Q_{m+1,n})^2.$$

Cauchy-Schwarz inequality

# We are not alone in thinking this way!

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Caron-Huot & Van Duong, 2011.02957

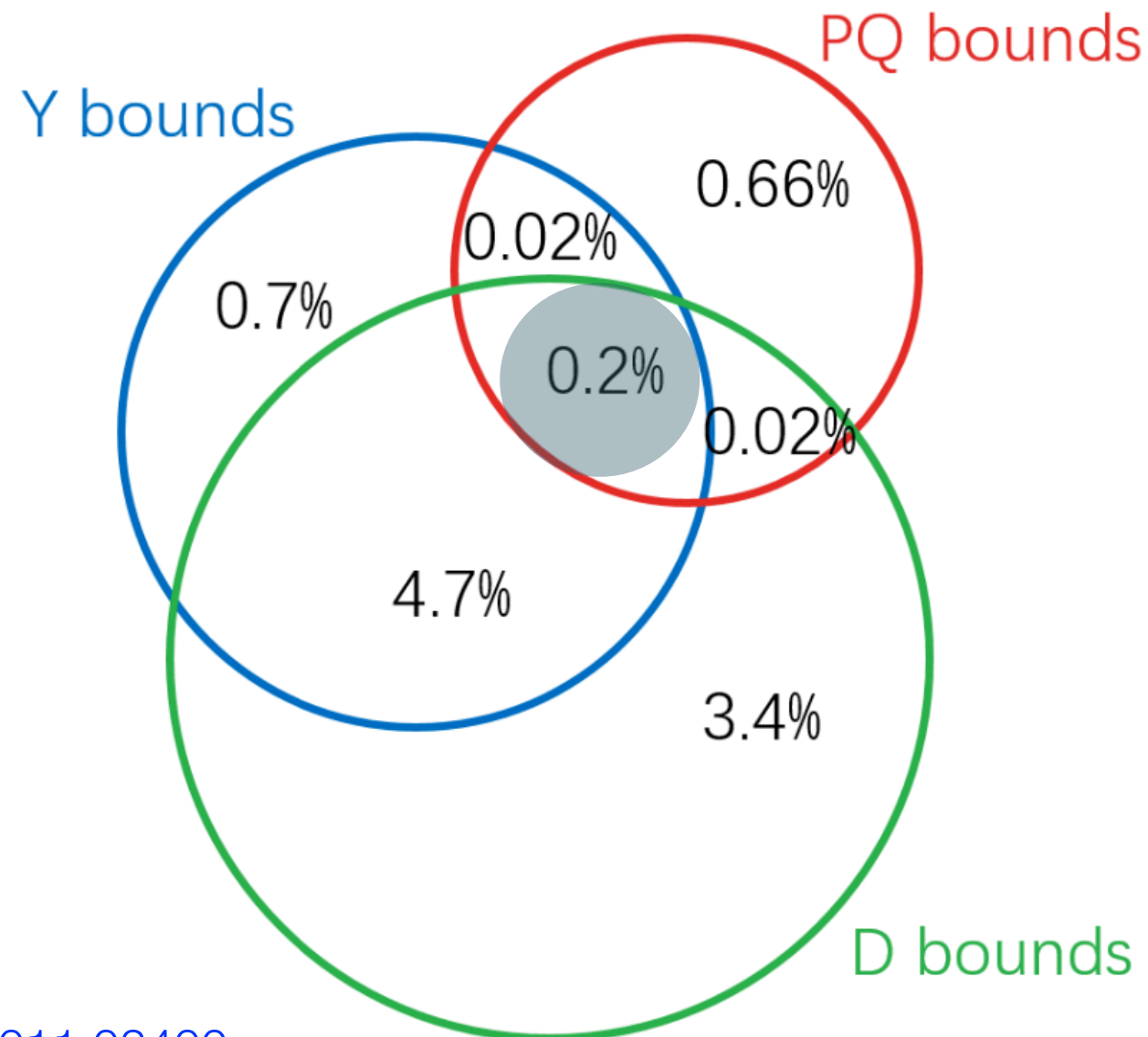
Used powerful semi-definite programming

<b>EFT coefficient</b>	<b>Lower bound</b>	<b>Upper bound</b>
$\tilde{g}_3$	-10.346	3
$\tilde{g}_4$	0	0.5
$\tilde{g}_5$	-4.096	2.5
$\tilde{g}_6$	0	0.25
$\tilde{g}'_6$	-12.83	3
$\tilde{g}_7$	-1.548	1.75
$\tilde{g}_8$	0	0.125
$\tilde{g}'_8$	-10.03	4
$\tilde{g}_9$	-0.524	1.125
$\tilde{g}'_9$	-13.60	3
$\tilde{g}_{10}$	0	0.0625
$\tilde{g}'_{10}$	-6.32	3.75

# Comparison of different bounds

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First few bounds (up to level  $\mu^{-4}$ )





# Application: Bounds on SU(2) ChPT

$$\mathcal{L}_{\text{chpt}} = \frac{F^2}{4} \langle u_\mu u^\mu + \chi_+ \rangle + \frac{l_1}{4} \langle u^\mu u_\mu \rangle^2 + \frac{l_2}{4} \langle u_\mu u_\nu \rangle \langle u^\mu u^\nu \rangle + \dots$$

$$U = \sqrt{1 - \frac{\pi^a \pi^a}{F^2}} \mathbf{1} + i \frac{\pi^a \tau^a}{F}$$

$$u_\mu = i u^\dagger \partial_\mu U u^\dagger = u_\mu^\dagger \quad u = \sqrt{U}$$

$$l_1^r = \frac{1}{96\pi^2} \left( \bar{l}_1 + \ln \frac{M_\pi^2}{\mu^2} \right), \quad l_2^r = \frac{1}{48\pi^2} \left( \bar{l}_2 + \ln \frac{M_\pi^2}{\mu^2} \right)$$

$Y^{(2,2)}(t = 4m^2)$  bounds

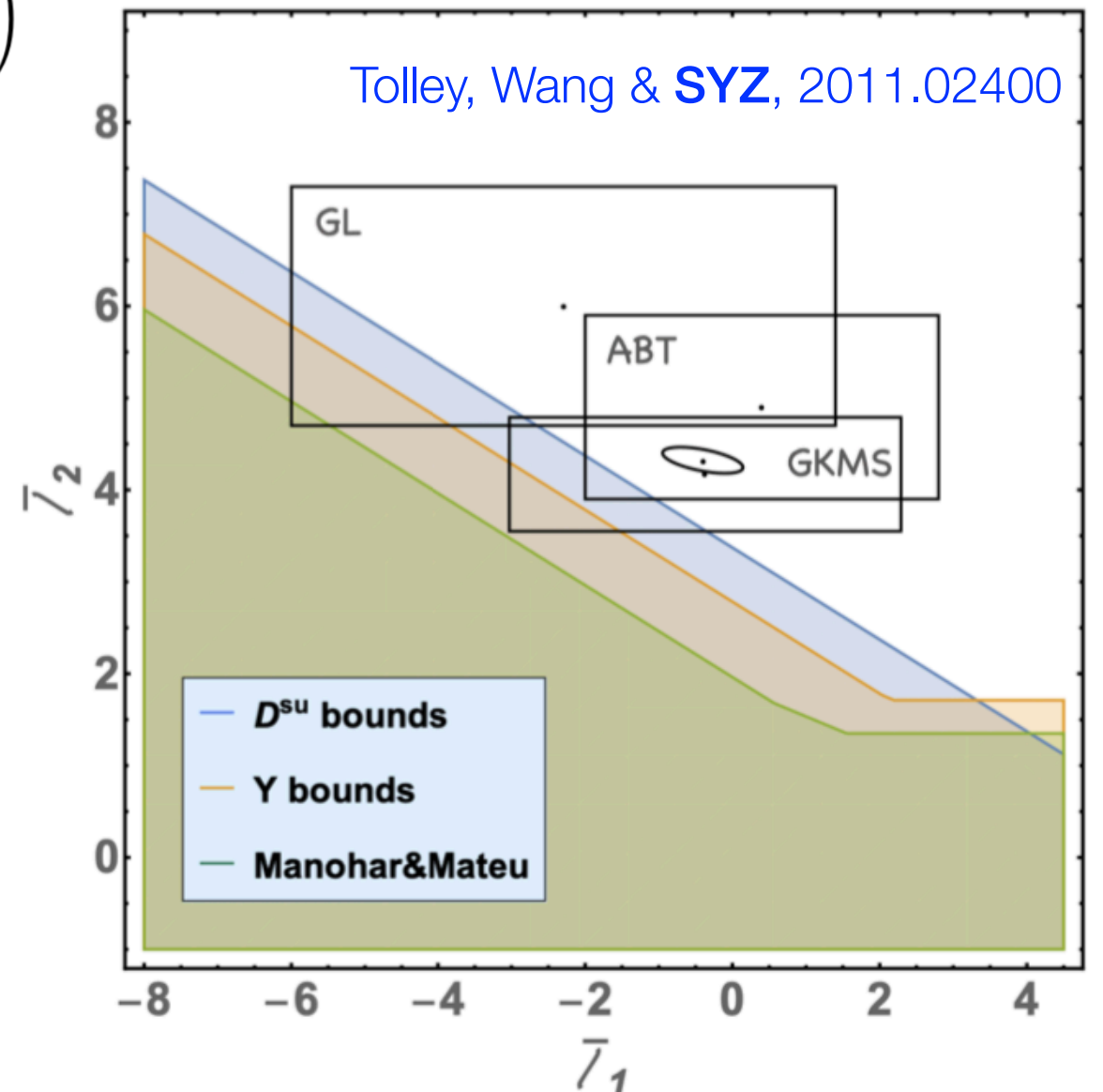
$$\bar{l}_1 + 2\bar{l}_2 > \frac{1559}{280}, \quad \bar{l}_2 > \frac{719}{420},$$

1st nonlinear  $PQ$  bound

$$c_{1,0} c_{3,0} > c_{2,0}^2 \implies \bar{l}_1 + 2\bar{l}_2 > 3.85,$$

1st  $D^{\text{SU}}$  bound

$$c_{1,1} + \frac{3}{2} \sqrt{c_{1,0} c_{2,0}} > 0 \implies \bar{l}_1 + 2\bar{l}_2 > 6.74.$$



Can also bound other parameters

Wang, Feng, Zhang & SYZ, 2004.03992

# Applications in other EFTs

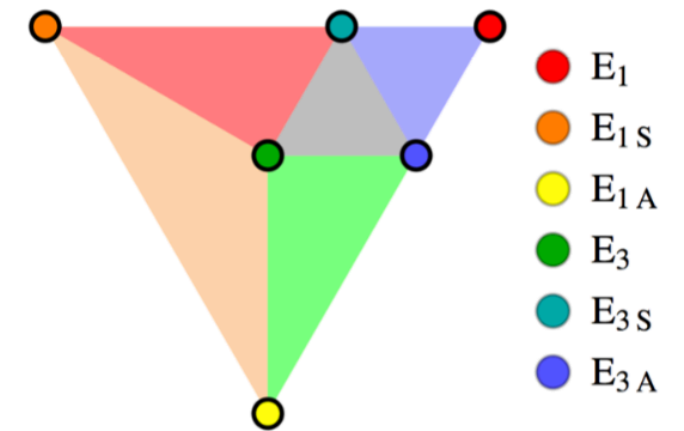
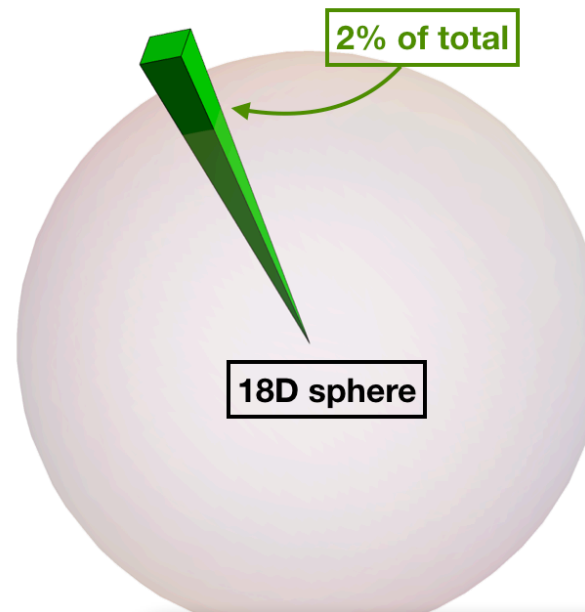
- Standard Model EFT

Yamashita, Zhang & **SYZ**, 2009.04490

Zhang & **SYZ**, 2005.03047

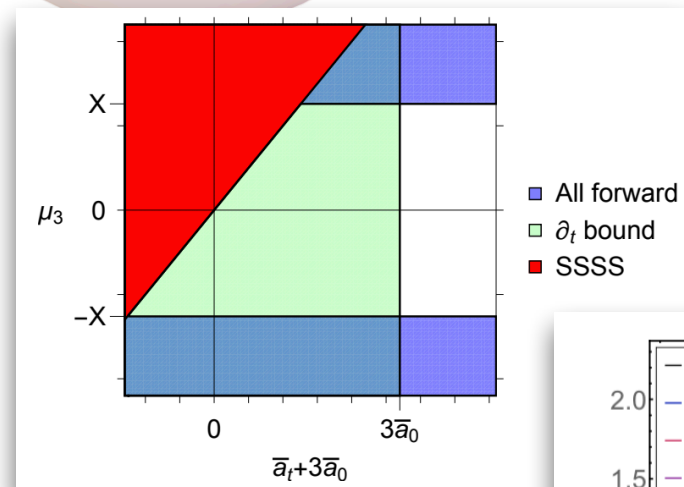
Bi, Zhang & **SYZ**, 1902.08977

Zhang & **SYZ**, 1808.00010



- Proca EFT

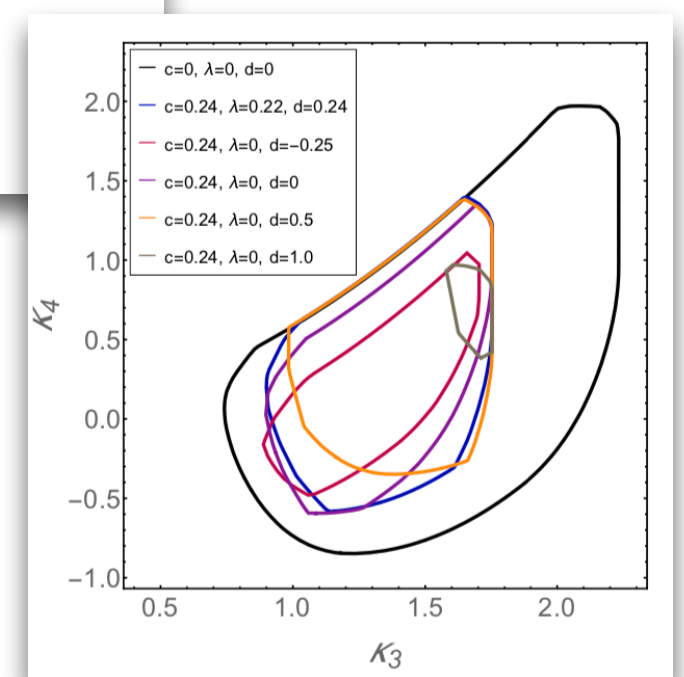
de Rham, Melville, Tolley & **SYZ**, 1804.10624



- Spin-2 EFTs

de Rham, Melville, Tolley & **SYZ**, 1804.10624

Wang, Zhang & **SYZ**, 2011.2011.05190

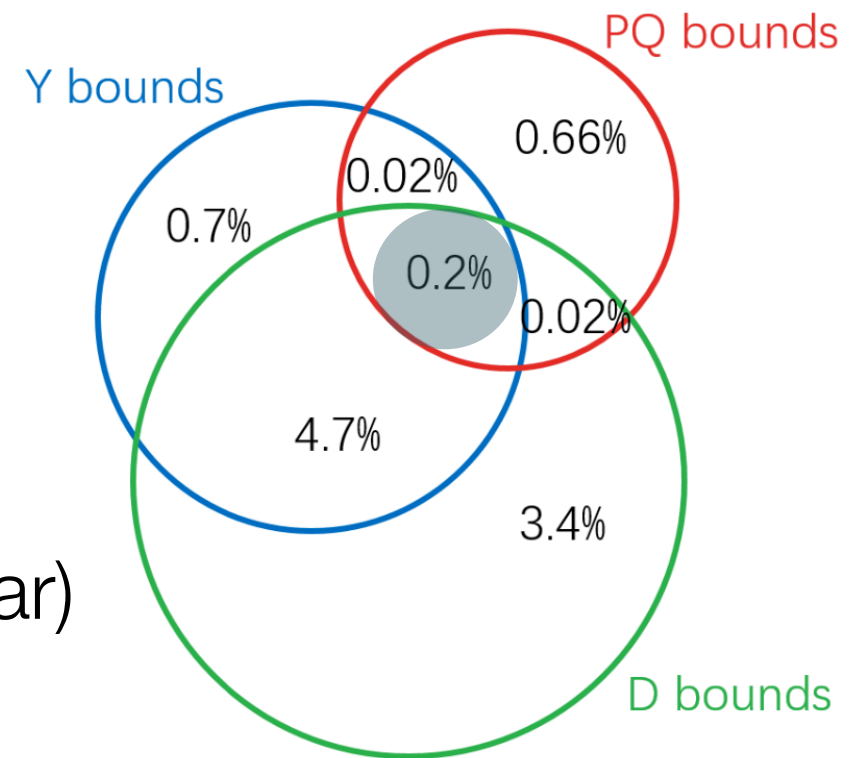


+ many other works by other authors

# Summary(1)

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- Utilizing 1) dispersion relation  
2) partial wave expansion  
3) full crossing symmetry
- Found new sets of bounds
  1.  $PQ$ -type bounds (linear and nonlinear)
  2.  $D^{su}$ -type bounds
  3.  $D^{stu}$  and  $\bar{D}^{stu}$ -type bounds
- **Excluded** some soft theories such as Galileon to have a Wilsonian UV completion





## Summary (2)

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$D^{stu}$  and  $\bar{D}^{stu}$ -type bounds:

$$\mathcal{L} = \sum_i \Lambda^4 f_i \mathcal{O}_i \left( \frac{\text{boson}}{\Lambda}, \frac{\text{fermion}}{\Lambda^{3/2}}, \frac{\partial}{\Lambda} \right)$$

$f_i$ : Wilson coefficients

Not only are  $f_i$ 's bounded,  
but also they are **bounded from both sides**

**which loosely means that  $f_i \sim \mathcal{O}(1)$**

Thank you!