

# NEC Violation in the Very Early Universe

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- 1 Motivation
- 2 No-Go or feasible
- 3 Observable effects
- 4 Summary

**Phys.Rev.Lett.** 133 (2024) 2, 021001;

**Phys.Rev.D** 103 (2021) 8, 083521;

**Phys.Rev.D** 107 (2023) 6, 063512;

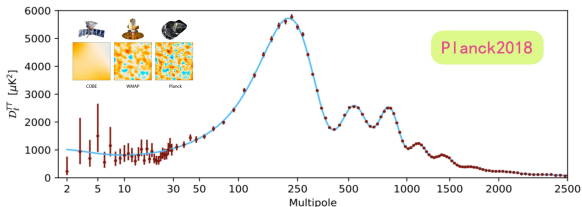
**JHEP** 06 (2022) 067;

**JHEP** 02 (2024) 008;

**JHEP** 09 (2024) 067.

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# Motivations



- The choice of the **initial state** for **inflationary** primordial perturbations is troubled by the **cosmological singularity problem**.

$$\lambda \propto a \Rightarrow \lambda \simeq \mathcal{O}(1/\Lambda) \Rightarrow \text{higher-order derivative operators}$$

$$u_k'' + \left( k^2 - \frac{z''}{z} \right) u_k = 0$$

Bunch-Davis vacuum

→

$$u_k'' + \left( \sim \sum_p \frac{k^{2p}}{\Lambda^{2p}} \right) k^2 u_k = 0, \quad p \geq 0.$$

?

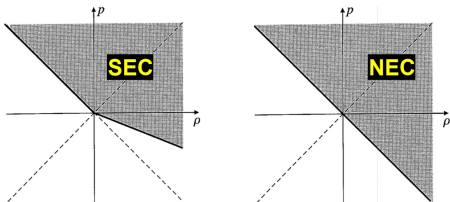
# Motivations

- The **past-completion** requires that the null energy condition (**NEC**) must be **violated**, at least for some period, i.e.,

$$\boxed{\text{NEC violation}} \Rightarrow \boxed{R_{\mu\nu}k^\mu k^\nu < 0} \Rightarrow \boxed{\dot{H} > 0} \Rightarrow \boxed{\epsilon \equiv -\dot{H}/H^2 < 0},$$

since  $R_{\mu\nu}k^\mu k^\nu = -2\dot{H}(k^0)^2$  for the *spatially flat FLRW metric*.

- One possible source of the **stochastic GW background** at the **PTA** scale: NEC violation during inflation.
- NEC is quite **robust** in nature.
- Can the NEC be **healthily violated**?
- What **observable effects** would arise if NEC violation occurred in the very early universe?



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## Why is it difficult to achieve NEC violation?

- A healthy NEC violation: **background** + **perturbations**.
- In **unitary gauge**,  $S_\zeta^{(2)} = \int d^4x a^3 Q_s \left[ \dot{\zeta}^2 - c_s^2 \frac{(\partial\zeta)^2}{a^2} \right]$ ,  $Q_s > 0$ ,  $c_s^2 > 0$ .

$$Q_s < 0 \rightarrow \text{ghost instability}$$

$$c_s^2 < 0 \rightarrow \text{gradient instability}$$

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R + \mathbf{P}(\phi, \mathbf{X}) \right], \quad X = \nabla_\mu \phi \nabla^\mu \phi = -\dot{\phi}^2,$$

$$Q_s = c_1 = \epsilon M_p^2 + 2 \frac{\dot{\phi}^4}{H^2} P_{XX}, \quad c_s^2 = \frac{2\dot{H}M_p^2}{2\dot{H}M_p^2 - 4\dot{\phi}^4 P_{XX}} = \boxed{\frac{\epsilon}{Q_s} M_p^2}.$$

When  $Q_s > 0$ , we will have  $c_s^2 < 0$  during the **NEC violation** ( $\epsilon < 0$ ).

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R + P(\phi, X) + \mathbf{G}(\phi, \mathbf{X}) \square \phi \right],$$

$$c_s^2 = \frac{H\gamma - \gamma^2 - \dot{\gamma}}{\gamma^2 Q_s} M_p^2, \quad \gamma = H - \frac{\dot{\phi}^3 G_X}{M_p^2} \Rightarrow \boxed{\text{NEC} \neq \text{instabilities}}$$

D. A. Easson, I. Sawicki and A. Vikman, **JCAP** 1111, 021 (2011);

A. Ijjas and P. J. Steinhardt, **Phys.Rev.Lett.** 117, no. 12, 121304 (2016).

# From “no-go” to the EFT of fully stable nonsingular cosmology

## “no-go” theorem

- “no-go” theorem for **cubic Galileon**: healthy nonsingular cosmological models based on the cubic Galileon does not exist.

M. Libanov, S. Mironov and V. Rubakov, **JCAP** 1608, 08, 037 (2016)

- “no-go” theorem for full **Horndeski** theory.

T. Kobayashi, **Phys.Rev.D** 94, no. 4, 043511 (2016)

- loophole: **strong gravity** in the past? Y. Ageeva, P. Petrov, V. Rubakov, **Phys.Rev.D** 104 (2021) 6, 063530

## The EFT of **fully stable** nonsingular cosmology

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_P^2}{2} f(t) R - \Lambda(t) - c(t) g^{00} + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} - m_4^2(t) (\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu}) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \right]$$

The **covariant** Lagrangian belongs to the **beyond Horndeski** theory (**GLPV**).

YC, Y. Wan, H. G. Li, T. Qiu and Y. S. Piao, **JHEP** 1701, 090 (2017), arXiv:1610.03400;

YC, H.-G. Li, T. Qiu, and Y.-S. Piao, **Eur.Phys.J.C** 77, 369 (2017), arXiv:1701.04330;

YC and Y. S. Piao, **JHEP** 1709, 027 (2017), arXiv:1705.03401.



## Consistency requirements of EFT?

- Do some fundamental properties of **UV-complete** theories or the **consistency requirements of EFT** (such as unitarity, causality, or local UV completion) **forbid** a violation of the NEC?

### Bound of tree-level perturbative unitarity

$$|\mathcal{M}(A \rightarrow \{q_i\})| \leq E^{4-(n_A+n_{q_i})} \simeq s^{2-(n_A+n_{q_i})/2}.$$

C. de Rham and S. Melville, **Phys.Rev.D** 95 (2017) 123523, arXiv: 1703.00025;

S. Kim, T. Noumi, K. Takeuchi and S. Zhou, **JHEP** 07 (2021) 018, arXiv: 2102.04101.

- We worked in the contexts of both **Galileon** and “**beyond Horndeski**” **genesis** cosmology. [YC, J. Xu, S. Zhao, S. Zhou, **JHEP** 10 (2022) 140, arXiv:2207.11772.]
- This direction requires further study.

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## Primordial perturbations

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt), \quad h_{ij} = a^2 e^{2\zeta} (e^\gamma)_{ij}, \quad \gamma_{ii} = 0 = \partial_i \gamma_{ij}$$

### Tensor perturbation (GWs)

$$S_\gamma^{(2)} = \frac{M_p^2}{8} \int d^4x a^3 Q_T \left[ \dot{\gamma}_{ij}^2 - c_T^2 \frac{(\partial_k \gamma_{ij})^2}{a^2} \right],$$

$$\boxed{u_T'' + \left( c_T^2 k^2 - \frac{z_T''}{z_T} \right) u_T = 0}, \quad u_T = z_T \gamma_{\mathbf{k}}, \quad z_T = \frac{M_p \sqrt{Q_T} a}{2}, \quad \boxed{P_T \equiv \frac{k^3}{2\pi^2} |\gamma_{\mathbf{k}}|^2}.$$

### Scalar perturbation

$$S_\zeta^{(2)} = \int d^4x a^3 Q_s \left[ \dot{\zeta}^2 - c_s^2 \frac{(\partial \zeta)^2}{a^2} \right].$$

$$\boxed{u_s'' + \left( c_s^2 k^2 - \frac{z_s''}{z_s} \right) u_s = 0}, \quad u_s = z_s \zeta_{\mathbf{k}}, \quad z_s = \sqrt{2a^2 Q_s}, \quad \boxed{P_\zeta \equiv \frac{k^3}{2\pi^2} |\zeta_{\mathbf{k}}|^2}.$$

- The power spectrum depends on: (1) the evolution of background at **horizon crossing**, (2) the evolution of perturbations on **super-horizon** scales.

- **Sub-horizon (Initial condition):** BD vacuum or Minkowskian vacuum.
- **Horizon-crossing:**  $c_T^2 k^2 \simeq z_T''/z_T$ .
- **Super-horizon:**  $u_T''/u_T \approx z_T''/z_T \Rightarrow \boxed{\gamma_k = \gamma_{\text{const}} + \gamma_{\text{evol}}}$ ,

$$\gamma_{\text{const}} = C_k, \quad \boxed{\gamma_{\text{evol}} = D_k \int z_T^{-2} d\tau}, \quad z_T = \frac{M_p \sqrt{Q_T} a}{2},$$

$$\boxed{z_T^2 \sim |\tau|^n}, \tau < 0 \rightarrow |\gamma_{\text{evol}}| \sim |\tau|^{1-n} \rightarrow \begin{cases} n < 1, & |\gamma_{\text{evol}}| \text{ is a **decaying** mode.} \\ n > 1, & |\gamma_{\text{evol}}| \text{ is a **growing** mode.} \end{cases}$$

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} f(t) R - \Lambda(t) - c(t) g^{00} \right. \\ \left. + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} - m_4^2(t) (\delta K^2 - \delta K_{\mu\nu} \delta K^{\mu\nu}) + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \right]$$

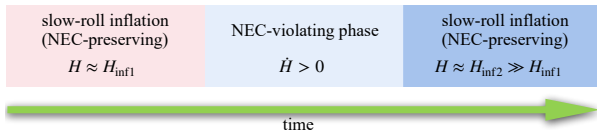
$$f(t) = Q_T c_T^2, \quad m_4^2 = \frac{M_p^2}{2} f(t) \left( \frac{1}{c_T^2} - 1 \right)$$

# Primordial GWs

- For canonical **slow-roll inflation**,  $Q_T = 1$ ,  $a \propto |\tau|^{-1} \Rightarrow n = -2$ ,  $|\gamma_{\text{evol}}|$  is the **decaying mode**,  $\gamma_k \approx \gamma_{\text{const}}$  on **super-horizon** scales.
- For an **expanding universe**, in order for  $|\gamma_{\text{evol}}|$  to grow,  $Q_T \equiv f + 2 \frac{m_4^2}{M_p^2}$  must **decrease** over time, which can **only happen if  $f$  decreases or  $c_T^2$  increases** with time.  
 $f(t) \downarrow \Rightarrow$  **strong gravity?**       $c_T^2 \uparrow \Rightarrow$  **superluminal?**
- Additionally, an **increasing  $c_T$**  would alter the horizon-crossing condition, leading to **more suppression** at horizon crossing **than the growth** outside the horizon. Overall,  $P_T \simeq c_T^{-1}$  [YC, Y.-T. Wang, Y.-S. Piao, *Phys.Rev.D* 93 (2016) 6, 063005; *Phys.Rev.D* 94 (2016) 4, 043002].
- Considering  $f = 1$ ,  $m_4^2 = 0$ , i.e.,  $Q_T = 1$ ,  $c_T^2 = 1$ , we enhance  $P_T$  by modifying the **background** evolution (i.e.,  $H$ ).
- How about **bounce-inflation** or **Genesis-inflation** scenarios? (focusing on **CMB** scale)

# Primordial GWs

- A scenario motivated by PTA data: **inf 1 + NEC violation + inf 2**,  $P_T \propto H^2$



$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{\text{P}}^2}{2} R + P(\phi, X) + L_{\delta g^{00} R^{(3)}} \right],$$

where  $X = \nabla_\mu \phi \nabla^\mu \phi$ ,  $L_{\delta g^{00} R^{(3)}} = \frac{f(\phi)}{2} \delta g^{00} R^{(3)}$ ,  $P(\phi, X) = -\frac{g_1(\phi)}{2} M_{\text{P}}^2 X + \frac{g_2(\phi)}{4} X^2 - M_{\text{P}}^4 V(\phi)$ .

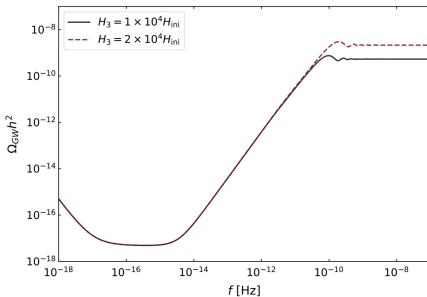
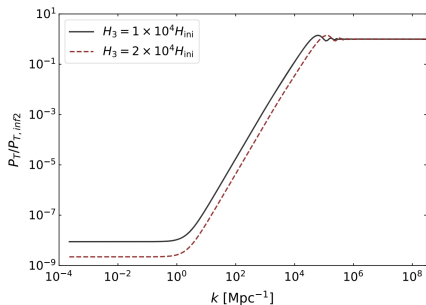
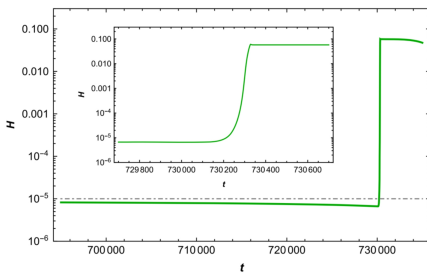
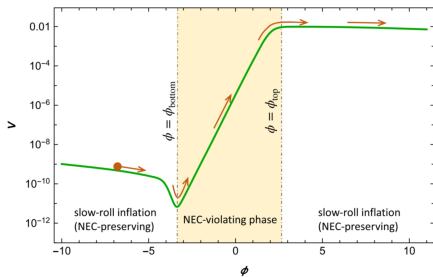
$$3H^2 M_{\text{P}}^2 = \frac{M_{\text{P}}^2}{2} g_1 \dot{\phi}^2 + \frac{3}{4} g_2 \dot{\phi}^4 + M_{\text{P}}^4 V,$$

$$0 = \left( g_1 + \frac{3g_2 \dot{\phi}^2}{M_{\text{P}}^2} \right) \ddot{\phi} + 3g_1 H \dot{\phi} + \frac{1}{2} g_{1,\phi} \dot{\phi}^2 + \frac{3g_2 H \dot{\phi}^3}{M_{\text{P}}^2} + \frac{3g_{2,\phi} \dot{\phi}^4}{4M_{\text{P}}^2} + M_{\text{P}}^2 V_{,\phi},$$

We set  $g_1 < 0$  and  $g_2 > 0$  around NEC violation, so that  $3g_1 H \dot{\phi}$  acts as an **anti-friction** force, thereby **accelerating** the  $\phi$  field.

YC, Y.-S. Piao, *Phys.Rev.D* 103 (2021) 8, 083521.

# Primordial GWs



# Primordial GWs

- **inf 1 + NEC violation + inf 2**:  $Q_T = 1, c_T = 1, P_T \propto H^2$ .

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R - \Lambda(t) - c(t) g^{00} + \frac{M_2^4(t)}{2} (\delta g^{00})^2 - \frac{m_3^3(t)}{2} \delta K \delta g^{00} + \frac{\tilde{m}_4^2(t)}{2} R^{(3)} \delta g^{00} \right],$$

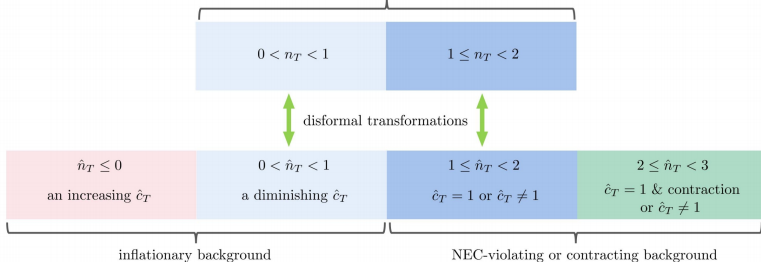
- **slow-roll inflation with  $c_T(\tau)$** ,  $\hat{Q}_T = \hat{c}_T^{-2}, \hat{c}_T^2 = \left(1 + \frac{2\hat{m}_4^2}{M_p^2}\right)^{-1}, P_T \propto H^2/c_T$ .

$$S = \int dt d^3\hat{x} \sqrt{-\hat{g}} \left[ \frac{M_p^2}{2} \hat{R} - \hat{\Lambda}(t) - \hat{c}(t) \hat{g}^{00} - \hat{m}_4^2(t) (\delta \hat{K}^2 - \delta \hat{K}_{\mu\nu} \delta \hat{K}^{\mu\nu}) \right],$$

YC, Y.-S. Piao, *JHEP* 06 (2022) 067.

$$\hat{g}_{\mu\nu} \rightarrow \hat{c}_T^{-1} [\hat{g}_{\mu\nu} + (1 - \hat{c}_T^2) \hat{n}_\mu \hat{n}_\nu]$$

intermittent NEC violation during inflation with  $c_T = 1$



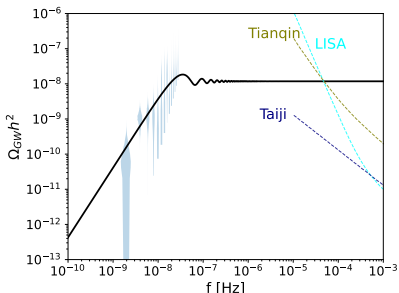


## Parameterization of the power spectrum

$$P_T = P_{T,1} + \frac{\pi}{4}(2 - n_T) \frac{k}{k_2} |g(k)|^2 P_{T,2}, \quad P_{T,2} \gg P_{T,1}, \quad f_c \equiv 2\pi k_2$$

$$g = H_{\frac{3-n_T}{2}}^{(1)} \left[ \frac{2-n_T}{2} \frac{k}{k_2} \right] \sin \frac{k}{k_2} + H_{\frac{1-n_T}{2}}^{(1)} \left[ \frac{2-n_T}{2} \frac{k}{k_2} \right] \left( \cos \frac{k}{k_2} - \frac{k_2}{k} \sin \frac{k}{k_2} \right).$$

$$\Omega_{\text{GW}} = \frac{k^2}{12a_0^2 H_0^2} \left[ \frac{3\Omega_{\text{m}j}(k\tau_0)}{k\tau_0} \sqrt{1.0 + 1.36 \frac{k}{k_{\text{eq}}} + 2.50 \left( \frac{k}{k_{\text{eq}}} \right)^2} \right]^2 P_T$$



Parameter	Prior
$\log_{10} P_{T,2}$	$[-5, 0]$
$n_T$	$[0, 2]$
$\log_{10} f_c$	$[-9, -5]$

G. Ye, M. Zhu, **YC**, *JHEP* 02 (2024) 008.

# Primordial GWs

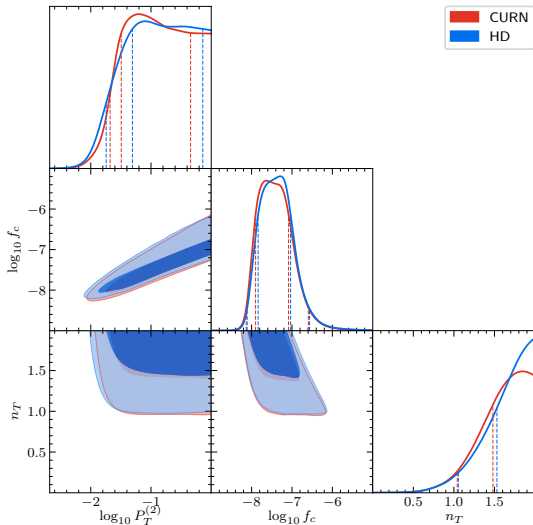


Fig: 68% and 95% posterior distributions of model parameters

# Parity-violating primordial GWs from NEC violation

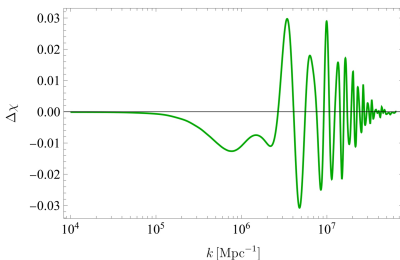
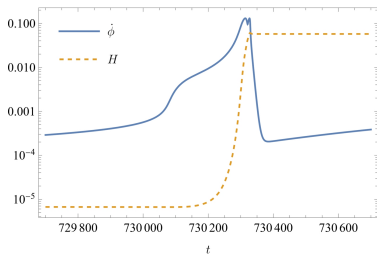
$$S = \int d^4x \sqrt{-g} \left[ \frac{M_p^2}{2} R - M_p^2 \frac{g_1(\phi)}{2} X + \frac{g_2(\phi)}{4} X^2 - M_p^4 V(\phi) + \frac{g_3(\phi)}{8} R \wedge R + L_{\text{HD}} \right],$$

$$u_{\mathbf{k}}^{(s)''} + \left[ \left( c_{\text{T}\mathbf{k}}^{(s)} \right)^2 k^2 - \frac{z_{\text{T}}^{(s)''}}{z_{\text{T}}^{(s)}} \right] u_{\mathbf{k}}^{(s)} = 0, \quad u_{\mathbf{k}}^{(s)} \equiv z_{\text{T}}^{(s)} \gamma_{\mathbf{k}}^{(s)},$$

$$c_{\text{T}\mathbf{k}}^{(s)} = 1, \quad z_{\text{T}}^{(s)} = \frac{a}{2} \sqrt{1 - \lambda^{(s)} \frac{k}{a^2} \frac{g_3'}{M_p^2}}, \quad \frac{k}{a^2} \frac{g_3'}{M_p^2} < 1, \quad g_3(\phi) = \phi,$$

$$P_{\text{T}}^{(s)} = \frac{k^3}{2\pi^2} |\gamma_{\mathbf{k}}^{(s)}|^2,$$

$$\Delta\chi = \frac{P_{\text{T}}^{(\text{L})} - P_{\text{T}}^{(\text{R})}}{P_{\text{T}}^{(\text{L})} + P_{\text{T}}^{(\text{R})}}.$$



# Parity-violating primordial GWs from NEC violation

- NEC violation during inflation naturally enhances both  $P_T$  and  $\Delta\chi$ , and the scales at which they reach their maximum values are nearly identical.  
YC, Phys.Rev.D, 107 (2023) 6, 063512.
- What would happen if the spectator couples to the parity-violating term? The Chern-Simons term poses a ghost risk, so we consider the Nieh-Yan term instead.

$$\mathcal{L}_\phi + \mathcal{L}_\psi + \mathcal{L}_{NY} = \mathcal{L}_\phi - \nabla_\mu \psi \nabla^\mu \psi / 2 - U(\psi) + \frac{\xi F(\psi)}{4} \mathcal{T}_{A\mu\nu} \tilde{\mathcal{T}}^{A\mu\nu},$$
$$u_k^{(s)''} + \left[ c_T^{(s)2} k^2 - \frac{a''}{a} \right] u_k^{(s)} = 0, \quad c_T^{(s)2} \equiv 1 + \mu^{(s)}, \quad \mu^{(s)} = \lambda^{(s)} \xi F' / k.$$

$|\dot{\psi}| \ll |\dot{\phi}|$ ,  $\psi$  does not have the acceleration mechanism that  $\phi$  has.

$$\int_{t_i}^t \frac{c_T^{(s)}(\tilde{t})}{a(\tilde{t})} d\tilde{t} \simeq \frac{2\pi}{k}$$

If  $\xi\psi$  reaches a level comparable to the maximum value of  $\xi\dot{\phi}$ ,  $k_{\max}^{(s)}$  will be much smaller. As a result, the scales at which  $\Delta\chi$  and  $P_T$  reach their maximum values will be offset.

Z.-W. Jiang, YC, F. Wang, Y.-S. Piao, JHEP 09 (2024) 067.

# Primordial curvature perturbations

- **Sub-horizon (Initial condition)**: BD vacuum or Minkowskian vacuum.
- **Horizon-crossing**:  $c_s^2 k^2 \simeq z_s''/z_s$ .
- **Super-horizon**:  $u_s''/u_s \approx z_s''/z_s$ ,

$$\zeta \equiv u_s/z_s = \zeta_c + \zeta_e, \quad \zeta_c = \text{const}, \quad \zeta_e \propto \int \frac{d\tau}{z_s^2} \rightarrow |\dot{\zeta}| = \frac{1}{a} |\zeta'| = \frac{D_k}{az_s^2},$$

where  $z_s = \sqrt{2a^2 Q_s}$ ,  $D_k$  is a  $k$ -dependent integration constant.

$$z_s^2 \sim |\tau|^n, \tau < 0 \rightarrow |\zeta_e| \sim |\tau|^{1-n} \rightarrow \begin{cases} n < 1, & |\zeta_e| \text{ is a **decaying** mode.} \\ n > 1, & |\zeta_e| \text{ is a **growing** mode.} \end{cases}$$

- ▶ **Canonical slow-roll inflation**:  $z_s^2 = 2a^2 Q_s$ ,  $Q_s = \epsilon M_p^2$ ,  $a \sim |\tau|^{-1}$ ,  $z_s^2 \sim \epsilon |\tau|^{-2}$ , if  $\epsilon = \text{const.}$ , then  $n = -2$ ,  $\zeta_e$  is the **decaying mode**,  $\zeta \approx \zeta_c$  on **super-horizon** scales.
- ▶ **Ultra slow-roll inflation**:  $\epsilon \sim |\tau|^m$ ,  $\eta \equiv \frac{\dot{\epsilon}}{\epsilon H} = -m$ ,  $\eta = -6 \rightarrow m = 6$ ,  $\epsilon \sim |\tau|^6 \searrow$ ,  $z_s^2 \sim \epsilon |\tau|^{-2} \sim |\tau|^4$ . Therefore,  $\zeta_e$  is a **growing mode**,  $\zeta \approx \zeta_e$  on **super-horizon** scales.

# Primordial curvature perturbations

- slow-roll inflation:  $P_\zeta \propto H^2/\epsilon$
- inf 1 + NEC violation ( $\dot{H} > 0$ ) + inf 2:

$$\dot{H} > 0 \Rightarrow H \uparrow \quad \text{and} \quad |\epsilon| \equiv |\dot{H}/H^2| \downarrow \quad (|\epsilon| \gg 1)$$

During NEC violation,  $Q_s \approx 3|\epsilon|$ .

background at **horizon-crossing** ( $H \uparrow$ )  
growth of  $\zeta_e$  on **super horizon** scales ( $|\epsilon| \downarrow$ ) }  $\Rightarrow$  **enhancement of  $P_\zeta$** .



- ✓ **Primordial black holes**
- ✓ **Scalar-induced GWs**
- ✓ **Primordial GWs**

# Primordial curvature perturbations

- Primordial curvature perturbations:

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{\text{P}}^2}{2} R + P(\phi, X) + L_{\delta g^{00} R^{(3)}} \right], \quad L_{\delta g^{00} R^{(3)}} = \frac{f(\phi)}{2} \delta g^{00} R^{(3)},$$

$$Q_s = \frac{2\dot{\phi}^4 P_{XX} - M_{\text{P}}^2 \dot{H}}{H^2}, \quad c_s^2 = \frac{M_{\text{P}}^2}{Q_s} \left( \frac{\dot{c}_3}{a} - 1 \right) = 1, \quad c_3 = a \left( 1 + \frac{2f}{M_{\text{P}}^2} \right) / H$$

$$\boxed{v_k'' + \left( k^2 - \frac{z_s''}{z_s} \right) v_k = 0}, \quad v_k = z_s \zeta, \quad z_s = \sqrt{2a^2 Q_s} \Rightarrow \boxed{\mathbf{P}_\zeta}$$

- Primordial black holes:

$$\beta(R) \simeq \frac{\sigma_R}{\sqrt{2\pi} \delta_c} e^{-\frac{\delta_c^2}{2\sigma_R^2}}, \quad \sigma_R^2 \equiv \langle \delta_R^2 \rangle = \int_0^\infty \frac{dk}{k} W^2 \frac{16}{81} (kR)^4 T^2(k, \tau = R) \mathbf{P}_\zeta(\mathbf{k}),$$

$$T(k, \tau) \equiv \frac{9\sqrt{3}}{(k\tau)^3} \left[ \sin\left(\frac{k\tau}{\sqrt{3}}\right) - \frac{k\tau}{\sqrt{3}} \cos\left(\frac{k\tau}{\sqrt{3}}\right) \right],$$

$$R \equiv k^{-1}, \quad \frac{M}{M_\odot} \simeq \left( \frac{\gamma}{0.2} \right) \left( \frac{g^*}{10.75} \right)^{-\frac{1}{6}} \left( \frac{k}{1.9 \times 10^6 \text{Mpc}^{-1}} \right)^{-2},$$

$$\mathbf{f}_{\text{PBH}}(\mathbf{M}) = \frac{\beta(M)}{2.70 \times 10^{-8}} \left( \frac{k}{1.9 \times 10^6 \text{Mpc}^{-1}} \right)^{-2}.$$

# Primordial curvature perturbations

- **Scalar-induced GWs:**

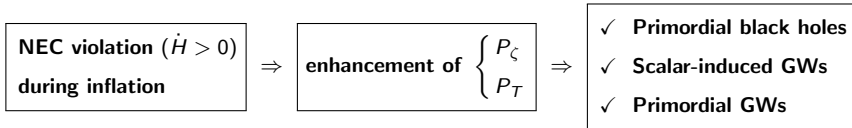
$$P_h(\tau, k) = 576 \int_0^\infty dt \int_{-1}^1 ds P_\zeta \left( k \frac{t+s+1}{2} \right) \\ \times P_\zeta \left( k \frac{t-s+1}{2} \right) \frac{[-5+s^2+t(2+t)]^4}{(1-s+t)^6(1+s+t)^6} \\ \times \left\{ \left[ \frac{s^2 - (t+1)^2}{-5+s^2+t(2+t)} + \frac{1}{2} \ln \left| \frac{-2+t(2+t)}{3-s^2} \right| \right]^2 + \frac{\pi^2}{4} \Theta(t - \sqrt{3} + 1) \right\}.$$

- **Primordial tensor perturbations (GWs):**

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt), \quad h_{ij} = a^2 e^{2\zeta} (e^\gamma)_{ij}, \quad \gamma_{ii} = 0 = \partial_i \gamma_{ij}$$

$$P_T \equiv \frac{k^3}{2\pi^2} |\gamma_{\mathbf{k}}|^2.$$

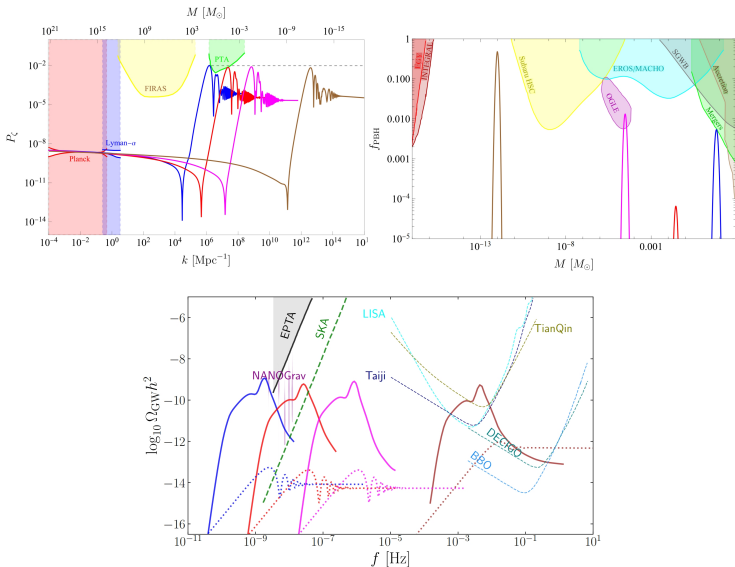
- **Distinctive features:**



YC, M. Zhu, Y.-S. Piao, *Phys.Rev.Lett.* 133 (2024) 2, 021001. arXiv: 2305.10933.



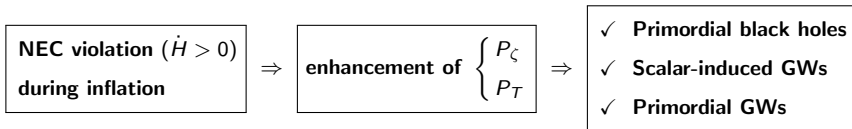
# Primordial curvature perturbations



- 1 Motivation
- 2 No-Go or feasible
- 3 Observable effects
- 4 Summary**

# Summary

- NEC is **crucial** to the proof of the Penrose's singularity theorem.
- NEC is quite **robust** in nature.
- A **fully stable** NEC violation can be realized in the “**beyond Horndeski**” (GLPV) theory.
- A combination of PBHs, SIGW signals, and primordial GWs can serve as a valuable probe for exploring the NEC violation during inflation.



**Thanks!**