

# Analyzing gravitational wave effects in general modified gravity

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Based on:

Yu-Qi Dong, Yu-Qiang Liu, and YXL, RRD 109, 044013 (2024).  
Yu-Qi Dong, Xiao-Bin Lai, Yu-Qiang Liu, and YXL, arXiv: 2409.11838.

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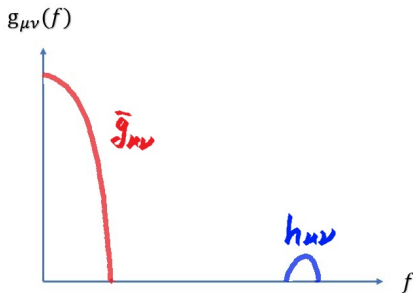
# 1. Introduction

- There are numerous modified gravity theories, each with distinct predictions about GW effects.
- The detection of gravitational waves (GWs) offers a powerful tool for testing various modified gravity theories.
- The detectable physical quantities of GWs:
  - ▶ Polarizations of GWs [Y. Gong et al, PRD 95, 104034 (2017); EPJC 78, 378(2018); Universe 7, 9 (2021).] [P. Wagle et al, PRD 100, 124007 (2019).]
  - ▶ Dispersion relationships of various polarization modes
  - ▶ Radiated energy/angular momentum
  - ▶ Memory effect of GWs (nonlinear effects) [D. Christodoulou, PRL 67, 1486 (1991).] [L. Heisenberg et al, PRD 108, 024010 (2023).]
  - ▶ .....
- Can a model-independent general framework be constructed to uniformly analyze the GW effects across various theories?

## 2. Gravitational wave effects and Isaacson picture

**The Isaacson picture** [Phys. Rev. 166, 1263 (1968); Phys. Rev. 166, 1272 (1968)]

- Definition of GWs



- **Low-frequency background** and **high-frequency perturbation** (GWs):

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}. \quad (1)$$

## 2. Gravitational wave effects and Isaacson picture

**The Isaacson picture** [Phys. Rev. 166, 1263 (1968); Phys. Rev. 166, 1272 (1968)]

- Expand Einstein field equation:

$$G_{\mu\nu} = G_{\mu\nu}^{(0)} [\bar{g}_{\mu\nu}] + G_{\mu\nu}^{(1)} [\bar{g}_{\mu\nu}, h_{\mu\nu}] + G_{\mu\nu}^{(2)} [\bar{g}_{\mu\nu}, h_{\mu\nu}] + \dots \quad (2)$$

- High-frequency equation:

$$G_{\mu\nu}^{(1)} = 8\pi T_{\mu\nu}^{H,(0)}. \quad (3)$$

⇒ Polarization modes and dispersion relationships.

- Low-frequency equation:

$$G_{\mu\nu}^{(0)} = 8\pi \left( T_{\mu\nu}^{L,(0)} + t_{\mu\nu} \right), \quad t_{\mu\nu} = -\frac{1}{8\pi} \left\langle G_{\mu\nu}^{(2)} \right\rangle. \quad (4)$$

⇒ Effective energy-momentum tensor and memory effect.

- The Isaacson picture also applies to modified gravity theories with  $N$ -order derivatives, where  $N < 19$ .

### 3. Perturbation action method

- Consider the following theory:

$$S[\phi] = \int d^4x \mathcal{L}[\phi], \quad \delta S = \int d^4x \mathcal{F}[\phi] \delta\phi. \quad (5)$$

- Dividing  $\phi$  into the background part  $\phi_0$  and the perturbation part  $\varphi$ :

$$\phi = \phi_0 + \varphi, \quad (6)$$

$$S[\phi] = \sum_{i=0}^{\infty} S^{(i)}[\phi_0 + \varphi], \quad (7)$$

$$\mathcal{F}[\phi] = \sum_{i=0}^{\infty} \mathcal{F}^{(i)}[\phi_0 + \varphi]. \quad (8)$$

- The relationship between perturbation quantities is

$$\mathcal{F}^{(i)} = \frac{\delta S^{(i+1)}}{\delta\varphi} = \frac{\delta S^{(i)}}{\delta\phi_0}, \quad i \in \mathbb{N}. \quad (9)$$

### 3. Perturbation action method

- Perturbation action method in general relativity

$$\frac{\delta S}{\delta g_{\mu\nu}} = -\frac{1}{16\pi}\sqrt{-g}G^{\mu\nu} = 0. \quad (10)$$

$$\frac{\delta S^{(0)}}{\delta \bar{g}_{\mu\nu}} = \frac{\delta S^{(1)}}{\delta h_{\mu\nu}} = -\frac{1}{16\pi}\sqrt{-g^{(0)}}G^{(0)\mu\nu}, \quad (11)$$

$$\frac{\delta S^{(1)}}{\delta \bar{g}_{\mu\nu}} = \frac{\delta S^{(2)}}{\delta h_{\mu\nu}} = -\frac{1}{16\pi}\left(\sqrt{-g^{(0)}}G^{(1)\mu\nu} + \sqrt{-g^{(1)}}G^{(0)\mu\nu}\right), \quad (12)$$

$$\frac{\delta S^{(2)}}{\delta \bar{g}_{\mu\nu}} = \frac{\delta S^{(3)}}{\delta h_{\mu\nu}} = -\frac{1}{16\pi}\left(\sqrt{-g^{(0)}}G^{(2)\mu\nu} + \sqrt{-g^{(1)}}G^{(1)\mu\nu} + \sqrt{-g^{(2)}}G^{(0)\mu\nu}\right). \quad (13)$$

It can be seen that the relationship between the variation of the perturbed action and the perturbed Einstein tensor is **not simply order-by-order** correspondence.

- Analyzing GW effects requires knowledge only of  $S^{(1)}$  and  $S^{(2)}$ .

### 3. Perturbation action method

- Two definitions of the effective energy-momentum tensor of GWs:

$$t_{\mu\nu} = -2 \left\langle \left( \frac{1}{\sqrt{-g}} \frac{\delta S}{\delta g^{\mu\nu}} \right)^{(2)} \right\rangle = -\frac{1}{8\pi} \langle G_{\mu\nu}^{(2)} \rangle, \quad \text{by Isaacson,} \quad (14)$$

$$\begin{aligned} \tilde{t}_{\mu\nu} &= -2 \left\langle \frac{1}{\sqrt{-\bar{g}}} \frac{\delta S^{(2)}}{\delta \bar{g}^{\mu\nu}} \right\rangle, \quad \text{by Stein and Yunes [PRD 83, 064038 (2011)]} \\ &= -\frac{1}{8\pi} \left\langle G_{\mu\nu}^{(2)} + \frac{\sqrt{-g}^{(1)}}{\sqrt{-g}^{(0)}} G_{\mu\nu}^{(1)} + \frac{\sqrt{-g}^{(2)}}{\sqrt{-g}^{(0)}} G_{\mu\nu}^{(0)} \right\rangle. \end{aligned} \quad (15)$$

- In general,  $t_{\mu\nu} \neq \tilde{t}_{\mu\nu}$ . However, when considering asymptotic Minkowski spacetime far from the source and on-shell GWs, we have  $t_{\mu\nu} = \tilde{t}_{\mu\nu}$ .
- The perturbation action method in modified gravity theory is entirely analogous to that in general relativity.



### 3. Perturbation action method

- Consider the case **far from the source** in an **asymptotic Minkowski spacetime**:

$$\bar{g}_{\mu\nu} = \eta_{\mu\nu} + \mathfrak{d}\bar{g}_{\mu\nu}, \quad g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}. \quad (16)$$

In the Isaacson picture and in a vacuum, **high-frequency equation** is

$$G_{\mu\nu}^{(1)}[\eta_{\mu\nu}, h_{\mu\nu}] = 0. \quad (17)$$

and **low-frequency equation** is

$$G_{\mu\nu}^{(1)}[\eta_{\mu\nu}, \mathfrak{d}\bar{g}_{\mu\nu}] = 8\pi t_{\mu\nu}, \quad t_{\mu\nu} = -\frac{1}{8\pi} \left\langle G_{\mu\nu}^{(2)}[\eta_{\mu\nu}, h_{\mu\nu}] \right\rangle. \quad (18)$$

- In an asymptotic Minkowski spacetime far from the source, analyzing GW effects requires knowledge only of  $S^{(2)}$ .

### 3. Perturbation action method

- The **second-order perturbation action**  $S_{flat}^{(2)}$ , with the **Minkowski metric as the background**, contains all necessary information to construct the Isaacson picture far from the source:

$$G_{\mu\nu}^{(1)}[\eta_{\mu\nu}, h_{\mu\nu}] \quad \text{can be derived from} \quad \frac{\delta S_{flat}^{(2)}}{\delta h^{\mu\nu}},$$

$$\left\langle G_{\mu\nu}^{(2)}[\eta_{\mu\nu}, h_{\mu\nu}] \right\rangle \quad \text{can be derived from} \quad \left\langle \frac{\delta S_{flat}^{(2)}}{\delta \eta^{\mu\nu}} \right\rangle.$$

The proof can be found in our paper [arXiv: 2409.11838] or in [Heisenberg, Yunes, and Zosso, PRD **108**, 024010 (2023)].

- Constructing a model-independent framework  $\Rightarrow$   
Constructing the most general  $S_{flat}^{(2)}$ .

## 4. Construction of most general 2nd-order actions

- Lovelock's theorem [JMP 12, 498 (1971)]: 4D, GR.

### Various methods for modifying general relativity

- Add additional fields
- Higher-order derivative theory
- Use more or fewer than four spacetime dimensions
- Non-Riemannian geometry
- Other methods, etc

## 4. Construction of most general 2nd-order actions

- We can construct the most general **pure metric theory**  $S[g_{\mu\nu}]$  and **scalar-tensor theory**  $S[g_{\mu\nu}, \Psi]$  that satisfies the following assumptions in a **Minkowski background**:

### Required assumptions

- (1) Spacetime is a 4D Riemannian manifold.
- (2) The theory satisfies the least action principle.
- (3) The theory is generally covariant ( $S_{flat}^{(2)}$  is gauge invariant).
- (4) The action of a free particle is  $\int ds = \int \sqrt{|g_{\mu\nu} dx^\mu dx^\nu|}$ .

## 4. Construction of most general 2nd-order actions

- Gravity is described by Riemannian geometry.

### Elements required for constructing action

- The metric  $g_{\mu\nu}$
  - The 4D totally antisymmetric tensor  $E_{\mu\nu\lambda\rho}$
  - The partial derivative  $\partial_\mu$
  - The scalar field  $\Psi$  ( for scalar-tensor theory)
- 
- Each term in  $S_{flat}^{(2)}$  can be represented as a combination of  $\eta_{\mu\nu}$ ,  $h_{\mu\nu}$ ,  $E^{\mu\nu\lambda\rho}$ ,  $\partial_\mu$ , ( $\Psi_0$ ,  $\psi$  for scalar-tensor theory) and the theoretical parameters.

## 4. Construction of most general 2nd-order actions

- For pure metric theory

$$S_{flat}^{(2)} = S_1 + \sum_{I \geq 2}^N S_I, \quad (19)$$

where

$$S_1 = \int d^4x a_1 h^{\mu\nu} [2\partial_\nu \partial^\lambda h_{\mu\lambda} - \square h_{\mu\nu} - 2\partial_\mu \partial_\nu h + \eta_{\mu\nu} \square h], \quad (20)$$

$$S_I = \int d^4x h^{\mu\nu} \left[ (a_I + b_I) \square^{I-2} \partial_\mu \partial_\nu \partial^\lambda \partial^\rho h_{\lambda\rho} - 2b_I \square^{I-1} \partial_\nu \partial^\lambda h_{\mu\lambda} \right. \\ \left. + b_I \square^I h_{\mu\nu} - 2a_I \square^{I-1} \partial_\mu \partial_\nu h + a_I \eta_{\mu\nu} \square^I h \right]. \quad (21)$$

- In order to reduce to general relativity, we should have  $a_1 = 1/2$ .

## 4. Construction of most general 2nd-order actions

- For scalar-tensor theory

$$S_{flat}^{(2)} = S_1 + \sum_{I \geq 2}^N S_I, \quad (22)$$

where

$$S_1 = \int d^4x a_1 h^{\mu\nu} \left[ 2\partial_\nu \partial^\lambda h_{\mu\lambda} - \square h_{\mu\nu} - 2\partial_\mu \partial_\nu h + \eta_{\mu\nu} \square h \right] \\ + \int d^4x \psi \left[ c_0 \psi + c_1 \square \psi + d_1 \partial_\mu \partial_\nu h^{\mu\nu} - d_1 \square h \right], \quad (23)$$

$$S_I = \int d^4x h^{\mu\nu} \left[ (a_I + b_I) \square^{I-2} \partial_\mu \partial_\nu \partial^\lambda \partial^\rho h_{\lambda\rho} - 2b_I \square^{I-1} \partial_\nu \partial^\lambda h_{\mu\lambda} \right. \\ \left. b_I + \square^I h_{\mu\nu} - 2a_I \square^{I-1} \partial_\mu \partial_\nu h + a_I \eta_{\mu\nu} \square^I h \right] \\ + \int d^4x \psi \left[ c_I \square^I \psi + d_I \square^{I-1} \partial_\mu \partial_\nu h^{\mu\nu} - d_I \square^I h \right]. \quad (24)$$

## 4. Construction of most general 2nd-order actions

- We can construct the **second-order action of the most general vector-tensor theory** that satisfies the following assumptions in a **Minkowski background**:

### Required assumptions

- (1) Spacetime is a 4D Riemannian manifold.
- (2) The theory satisfies the least action principle.
- (3) The theory is generally covariant ( $S_{flat}^{(2)}$  is gauge invariant).
- (4) The field equations are second-order.

(5) The action of a free particle is 
$$\int ds = \int \sqrt{|g_{\mu\nu} dx^\mu dx^\nu|}.$$

- Each term in  $S_{flat}^{(2)}$  can be represented as a combination of  $\eta_{\mu\nu}$ ,  $\eta^{\mu\nu}$ ,  $h_{\mu\nu}$ ,  $A^\mu$  (background vector),  $B^\mu$  (perturbation),  $E^{\mu\nu\lambda\rho}$ ,  $\partial_\mu$ , and the theoretical parameters.



## 4. Construction of most general 2nd-order actions

- For vector-tensor theory

$$S_{flat}^{(2)} = S_0^{(2)} + S_1^{(2)} + S_2^{(2)} = \int d^4x \sqrt{-\eta} (\mathcal{L}_0 + \mathcal{L}_1 + \mathcal{L}_2), \quad (25)$$

where

$$\begin{aligned} \mathcal{L}_0 &= A_{(0)} A^\mu A^\nu A^\lambda A^\rho h_{\mu\nu} h_{\lambda\rho} + 4A_{(0)} A^\mu A^\nu A^\lambda h_{\mu\nu} B_\lambda \\ &+ 4A_{(0)} A_\mu A_\nu B^\mu B^\nu, \end{aligned} \quad (26)$$

$$\begin{aligned} \mathcal{L}_1 &= A_{(1)} (E^{\mu\lambda\sigma\gamma} A^\nu A^\rho A_\sigma \partial_\gamma h_{\mu\nu}) h_{\lambda\rho} + B_{(1)} ((A \cdot \partial) A_\mu A_\nu h) h^{\mu\nu} \\ &- 2B_{(1)} (A^\mu A^\nu \partial^\lambda h_{\mu\nu}) B_\lambda + 2A_{(1)} (E^{\mu\lambda\sigma\gamma} A_\sigma \partial_\gamma A^\nu h_{\mu\nu}) B_\lambda \\ &+ 2B_{(1)} ((A \cdot \partial) A^\mu h) B_\mu \\ &- 4B_{(1)} (A_\mu \partial_\nu B^\mu) B^\nu - A_{(1)} (E^{\mu\nu\lambda\rho} \partial_\lambda A_\rho B_\mu) B_\nu. \end{aligned} \quad (27)$$

# 4. Construction of most general 2nd-order actions

$$\begin{aligned}
\mathcal{L}_2 = & A_{(2)} \left( \square A^\mu A^\nu A^\lambda A^\rho h_{\mu\nu} \right) h_{\lambda\rho} + B_{(2)} \left( (A \cdot \partial)^2 A^\mu A^\nu A^\lambda A^\rho h_{\mu\nu} \right) h_{\lambda\rho} \\
& + C_{(2)} \left( (A \cdot \partial) A^\mu A^\nu A^\lambda \partial^\rho h_{\mu\nu} \right) h_{\lambda\rho} + D_{(2)} \left( A^\mu A^\nu \partial^\lambda \partial^\rho h_{\mu\nu} \right) h_{\lambda\rho} \\
& + E_{(2)} \left( A^\mu A^\lambda \partial^\nu \partial^\rho h_{\mu\nu} \right) h_{\lambda\rho} - E_{(2)} \left( \square A^\lambda A_\rho h_{\mu\lambda} \right) h^{\mu\rho} \\
& + F_{(2)} \left( (A \cdot \partial)^2 A^\lambda A_\rho h_{\mu\lambda} \right) h^{\mu\rho} + G_{(2)} \left( (A \cdot \partial) A^\lambda \partial_\rho h_{\mu\lambda} \right) h^{\mu\rho} \\
& - 2H_{(2)} \left( \partial^\lambda \partial_\rho h_{\mu\lambda} \right) h^{\mu\rho} + H_{(2)} \left( \square h_{\mu\nu} \right) h^{\mu\nu} + 2H_{(2)} \left( \partial_\mu \partial_\nu h \right) h^{\mu\nu} - H_{(2)} \left( \square h \right) h \\
& + I_{(2)} \left( (A \cdot \partial)^2 h_{\mu\nu} \right) h^{\mu\nu} - D_{(2)} \left( \square A_\mu A_\nu h \right) h^{\mu\nu} + J_{(2)} \left( (A \cdot \partial)^2 A_\mu A_\nu h \right) h^{\mu\nu} \\
& - G_{(2)} \left( (A \cdot \partial) A_\mu \partial_\nu h \right) h^{\mu\nu} + K_{(2)} \left( (A \cdot \partial)^2 h \right) h \\
& + \left( 4A_{(2)} + C_{(2)} \right) \left( \square A^\mu A^\nu A^\lambda h_{\mu\nu} \right) B_\lambda + 4B_{(2)} \left( (A \cdot \partial)^2 A^\mu A^\nu A^\lambda h_{\mu\nu} \right) B_\lambda \\
& + \left( C_{(2)} + 2J_{(2)} \right) \left( (A \cdot \partial) A^\mu A^\nu \partial^\lambda h_{\mu\nu} \right) B_\lambda + 2 \left( C_{(2)} + F_{(2)} \right) \left( (A \cdot \partial) A^\mu \partial^\nu A^\lambda h_{\mu\nu} \right) B_\lambda \\
& + \left( 2E_{(2)} - G_{(2)} \right) \left( A^\mu \partial^\nu \partial^\lambda h_{\mu\nu} \right) B_\lambda + \left( 2D_{(2)} + G_{(2)} \right) \left( \partial^\mu \partial^\nu A^\lambda h_{\mu\nu} \right) B_\lambda \\
& + \left( -2E_{(2)} + G_{(2)} \right) \left( \square A^\mu h_{\mu\lambda} \right) B^\lambda + 2F_{(2)} \left( (A \cdot \partial)^2 A^\mu h_{\mu\lambda} \right) B^\lambda \\
& + \left( G_{(2)} + 4I_{(2)} \right) \left( (A \cdot \partial) \partial^\mu h_{\mu\lambda} \right) B^\lambda + \left( -2D_{(2)} - G_{(2)} \right) \left( \square A^\mu h \right) B_\mu \\
& + 2J_{(2)} \left( (A \cdot \partial)^2 A^\mu h \right) B_\mu + \left( -G_{(2)} + 4K_{(2)} \right) \left( (A \cdot \partial) \partial^\mu h \right) B_\mu \\
& + \left( 4A_{(2)} + 2C_{(2)} + F_{(2)} \right) \left( \square A_\mu A_\nu B^\mu \right) B^\nu + 4B_{(2)} \left( (A \cdot \partial)^2 A_\mu A_\nu B^\mu \right) B^\nu \\
& + \left( 2C_{(2)} + 2F_{(2)} + 4J_{(2)} \right) \left( (A \cdot \partial) A_\mu \partial_\nu B^\mu \right) B^\nu \\
& + \left( E_{(2)} + 2I_{(2)} - G_{(2)} + 4K_{(2)} \right) \left( \partial_\mu \partial_\nu B^\mu \right) B^\nu \\
& + \left( -E_{(2)} + G_{(2)} + 2I_{(2)} \right) \left( \square B_\mu \right) B^\mu + F_{(2)} \left( (A \cdot \partial)^2 B_\mu \right) B^\mu,
\end{aligned}$$

## 5. Polarization modes of gravitational waves

### Consider

- GWs are weak
- Minkowski background
- The action of a free particle is  $\int ds = \int \sqrt{|g_{\mu\nu} dx^\mu dx^\nu|}$

- Relative displacement  $\eta_i$  of two free test particles

$$\frac{d^2 \eta_i}{dt^2} = -R_{i0j0} \eta^j. \quad (28)$$

- The polarization modes of GWs can be defined by  $R_{i0j0}$ .

## 5. Polarization modes of gravitational waves

- For a plane GW

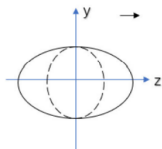
$$R_{i0j0} = AE_{ij}e^{ikx}. \quad (29)$$

- $E_{ij}$  is a  $3 \times 3$  symmetric matrix with only 6 independent components. So GWs have at most 6 independent polarization modes in 4-dimensional space-time.
- Define  $P_1, \dots, P_6$

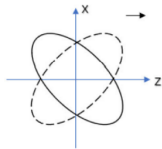
$$E_{ij} = \begin{pmatrix} P_4 + P_6 & P_5 & P_2 \\ P_5 & -P_4 + P_6 & P_3 \\ P_2 & P_3 & P_1 \end{pmatrix}. \quad (30)$$

# 5. Polarization modes of gravitational waves

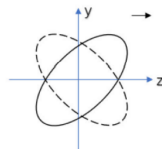
- Six polarization modes of GWs.<sup>1</sup>



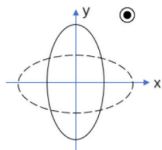
$P_1$ : longitudinal mode



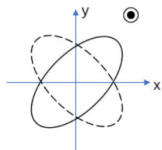
$P_2$ : vector-x mode



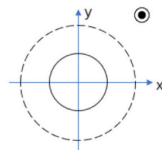
$P_3$ : vector-y mode



$P_4$ : + mode



$P_5$ : x mode



$P_6$ : breathing mode

<sup>1</sup>D. M. Eardley, D. L. Lee, and A. P. Lightman, Phys. Rev. D 8, 3308 (1973)

## 5. Polarization modes of gravitational waves

- We can **uniquely decompose**  $h_{\mu\nu}$  and  $B^\mu$  <sup>2</sup>:

$$\begin{aligned}
 B^0 &= B^0, & B^i &= \partial^i \omega + \mu^i, \\
 h_{00} &= h_{00}, & h_{0i} &= \partial_i \gamma + \beta_i, \\
 h_{ij} &= h_{ij}^{TT} + \partial_i \epsilon_j + \partial_j \epsilon_i + \frac{1}{3} \delta_{ij} H + (\partial_i \partial_j - \frac{1}{3} \delta_{ij} \Delta) \zeta.
 \end{aligned} \tag{31}$$

- Gauge invariants include

$$\begin{aligned}
 h_{ij}^{TT} &= h_{ij}^{TT}, & \Xi_i &= \beta_i - \partial_0 \epsilon_i, & \Sigma_i &= \mu_i + A \partial_0 \epsilon_i, \\
 \Theta &= \frac{1}{3} (H - \Delta \zeta), & \Psi &= \omega + \frac{1}{2} A \partial_0 \zeta, \\
 \phi &= -\frac{1}{2} h_{00} + \partial_0 \gamma - \frac{1}{2} \partial_0 \partial_0 \zeta, \\
 \Omega &= B^0 - A \partial_0 \gamma + \frac{1}{2} A \partial_0 \partial_0 \zeta.
 \end{aligned} \tag{32}$$

$$R_{i0j0}^{(1)} = -\frac{1}{2} \partial_0 \partial_0 h_{ij}^{TT} + \frac{1}{2} \partial_0 \partial_i \Xi_j + \frac{1}{2} \partial_0 \partial_j \Xi_i + \partial_i \partial_j \phi - \frac{1}{2} \delta_{ij} \partial_0 \partial_0 \Theta. \tag{33}$$

<sup>2</sup>R. Bluhm, S. H. Fung, and V. A. Kostelecky, Phys. Rev. D 77, 065020 (2008)

## 5. Polarization modes of gravitational waves

- For pure metric theory and scalar-tensor theory
- The equation describing the **tensor mode** GWs is

$$\square \left( -2a_1 + \sum_{I \geq 2}^N 2b_I \square^{I-1} \right) h_{ij}^{TT} = 0. \quad (34)$$

- We can write the operator [H. Lu and C.N. Pope, PRL 106, 181302 (2011)]

$$-2a_1 + \sum_{I \geq 2}^N 2b_I \square^{I-1} = \prod_{k=1}^M (\square - m_k^2)^{n_k}. \quad (35)$$

- The equation of **tensor modes** can be rewritten as

$$\square \prod_{k=1}^M (\square - m_k^2)^{n_k} h_{ij}^{TT} = 0. \quad (36)$$

- The equation of **vector modes** is

$$\prod_{k=1}^M (\square - m_k^2)^{n_k} \Xi_i = 0. \quad (37)$$

# 5. Polarization modes of gravitational waves

- Scalar modes under various cases in most general **pure metric** theory.

| Cases    | Conditions   | Breathing mode | Longitudinal mode | Dependent or not | $\mathcal{R} = m^2/\omega^2$ |
|----------|--|----------------|-------------------|------------------|------------------------------|
| case 1.1 | $m^2 \notin \{m_k^2\}, m^2 \notin \{\tilde{m}_k^2\}$                     | ×              | ×                 | -                | -                            |
| case 1.2 | $m^2 \notin \{m_k^2\}, m^2 \in \{\tilde{m}_k^2\}$                        | ✓              | ✓                 | ✓                | ✓                            |
| case 2.1 | $m^2 \in \{m_k^2\}, m^2 \notin \{\tilde{m}_k^2\}, m^2 \notin \{m_k'^2\}$ | ✓              | ✓                 | ✓                | ×                            |
| case 2.2 | $m^2 \in \{m_k^2\}, m^2 \notin \{\tilde{m}_k^2\}, m^2 \in \{m_k'^2\}$    | ×              | ✓                 | -                | -                            |
| case 2.3 | $m^2 \in \{m_k^2\}, m^2 \in \{\tilde{m}_k^2\}, m^2 \notin \{m_k'^2\}$    | ✓              | ✓                 | ✓                | ✓                            |
| case 2.4 | $m^2 \in \{m_k^2\}, m^2 \in \{\tilde{m}_k^2\}, m^2 \in \{m_k'^2\}$       | ✓              | ✓                 | ×                | -                            |



# 5. Polarization modes of gravitational waves

- Scalar modes under various cases in most general scalar-tensor theory.

| Cases      | Conditions  | Breathing mode | Longitudinal mode | Dependent or not | $\mathcal{R} = m^2/\omega^2$ |
|------------|---|----------------|-------------------|------------------|------------------------------|
| case 1.1   | $m^2 \notin \{m_k^2\}, \det(\mathcal{A}) \neq 0$  | ×              | ×                 | -                | -                            |
| case 1.2   | $m^2 \notin \{m_k^2\}, \det(\mathcal{A}) = 0, m^2 \in \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}$   | ✓              | ✓                 | ✓                | ✓                            |
| case 1.3   | $m^2 \notin \{m_k^2\}, \det(\mathcal{A}) = 0, m^2 \in \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}$  | ✓              | ✓                 | ✓                | ✓                            |
| case 1.4   | $m^2 \notin \{m_k^2\}, \det(\mathcal{A}) = 0, m^2 \notin \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}$  | ×              | ×                 | -                | -                            |
| case 1.5   | $m^2 \notin \{m_k^2\}, \det(\mathcal{A}) = 0, m^2 \notin \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}$   | ✓              | ✓                 | ✓                | ✓                            |
| case 2.1   | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) \neq 0, \gamma = 0$   | ×              | ✓                 | -                | -                            |
| case 2.2   | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) \neq 0, \gamma \neq 0$  | ✓              | ✓                 | ✓                | ×                            |
| case 3.1.1 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly independent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}$                                    | ✓              | ✓                 | ✓                | ✓                            |
| case 3.1.2 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly independent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}$                                 | ✓              | ✓                 | ✓                | ✓                            |
| case 3.1.3 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly independent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}$                                 | ×              | ×                 | -                | -                            |
| case 3.1.4 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly independent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}$                              | ✓              | ✓                 | ✓                | ✓                            |
| case 3.2.1 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}, m^2 \in \{m_k^{\prime 2}\}$          | ✓              | ✓                 | ×                | -                            |
| case 3.2.2 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}, m^2 \in \{m_k^{\prime 2}\}$       | ×              | ✓                 | -                | -                            |
| case 3.2.3 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}, m^2 \in \{m_k^{\prime 2}\}$       | ✓              | ✓                 | ×                | -                            |
| case 3.2.4 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}, m^2 \notin \{m_k^{\prime 2}\}$       | ✓              | ✓                 | ✓                | ✓                            |
| case 3.2.5 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}, m^2 \in \{m_k^{\prime 2}\}$    | ✓              | ✓                 | ×                | -                            |
| case 3.2.6 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \in \{\hat{m}_k^2\}, m^2 \notin \{m_k^{\prime 2}\}$    | ✓              | ✓                 | ✓                | ×                            |
| case 3.2.7 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \in \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}, m^2 \notin \{m_k^{\prime 2}\}$    | ✓              | ✓                 | ×                | -                            |
| case 3.2.8 | $m^2 \in \{m_k^2\}, \det(\mathcal{A}) = 0, \alpha, \beta$ linearly dependent, $m^2 \notin \{\tilde{m}_k^2\}, m^2 \notin \{\hat{m}_k^2\}, m^2 \notin \{m_k^{\prime 2}\}$ | ✓              | ✓                 | ×                | -                            |

## 5. Polarization modes of gravitational waves

- Some universal inferences in pure metric theory and scalar-tensor theory.

### Inference 1

- If there exist **vector modes** of GWs with mass  $m$  in this theory, then **tensor modes** with the same mass  $m$  must be present in the theory.
- Correspondingly, if there exist **tensor modes** with mass  $m \neq 0$  in this theory, then **vector modes** with mass  $m$  must be present.

### Inference 2

- If there exist only **tensor modes** propagating at the **speed of light**, then **vector modes** must not be present.

## 5. Polarization modes of gravitational waves

- Some universal inferences in pure metric theory and scalar-tensor theory.

### Inference 3

- If there exist only **tensor modes** propagating at the **speed of light**, then for a **scalar mode** with mass  $m$  and frequency  $\omega$ , it must be a **mixed mode** of the longitudinal mode and breathing mode. And their amplitude ratio is  $m^2/\omega^2$ .

### Inference 4

- If the amplitude ratio of the longitudinal mode to the breathing mode of a scalar GW is **not**  $m^2/\omega^2$ , then the theory must have a field equation **higher than the second derivative** and must have **tensor** and **vector** GWs with velocities **less than the speed of light**.

## 5. Polarization modes of gravitational waves

### For vector-tensor theory

- The properties of polarization modes are different from pure metric theory and scalar-tensor theory.
- **Tensor modes** generally deviate from the speed of light.
- The  $E^{\mu\nu\lambda\rho}$  term will **break the parity symmetry** of **vector modes**. In some cases, there may even be only one of the left-handed or right-handed waves. However,  $E^{\mu\nu\lambda\rho}$  can also lead to **superluminal problem**.
- **Scalar modes** satisfy one of the following three cases:
  - ▶ (1) no scalar mode;
  - ▶ (2) two independent modes: breathing and longitudinal ;
  - ▶ (3) a mixture of two modes.

More details can be found in [arXiv: 2409.11838].

## 6. Conclusion

We have constructed a model-independent general framework, which can be used to uniformly analyze the GW effects of various theories.

Thanks for your listening!